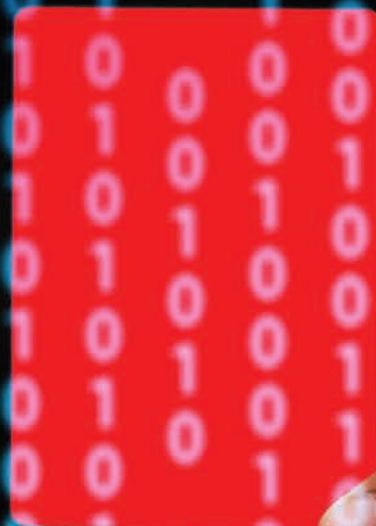


ASIAN
BANKING
SCHOOL



BOND
UNIVERSITY



1 CPD hour for each hour of study time, up to a maximum of 20 CPD hours per course

ABS | Professional Qualifications | Post Graduate Level

ADVANCED CREDENTIAL IN ANTI-MONEY LAUNDERING & COUNTER-FINANCING OF TERRORISM

PROGRAMME DESCRIPTION

The Advanced Credential in Anti-Money Laundering and Counter-Financing of Terrorism (AML/CFT) programme is a collaboration between the Asian Banking School and Bond University, Australia. It was designed by leading senior academics of the Faculty of Law at Bond with the principal objective of providing a comprehensive introduction to the principles, processes, law and practice of AML/CFT in Malaysia and internationally. The overall programme offers two courses, each consisting of five online modules and a 2-day face-to-face intensive workshop. The online modules provide the practical, theoretical and doctrinal foundations for the course, while the workshop focuses upon practical application and discussion. The entire programme (including the two-day workshops and the online instruction) can be completed within 6 months (although some learners may wish to take a longer time to complete).

PROGRAMME FORMAT

Participants can complete the ten online modules at their own pace. They are supported while completing the modules with access to instructors who respond to questions and provide assistance with content. The online modules are hosted on the Bond University Learner Portal: bond.edu.au/microcredentials. Each 2-day intensive workshop will be delivered face-to-face at ABS by Bond's Honorary Adjunct Professor Dr Lee Mei Pheng, supported by various guest presenters.

Upon completion of each course (5 modules + 1 workshop), each learner will receive a Certificate of Achievement. Upon satisfactory completion of all 10 online modules and 2 intensive workshops, each participant will receive an Advanced Credential in Anti-Money Laundering and Counter-Financing of Terrorism, jointly awarded by Bond and ABS. In addition, successful participants will be granted permission by ABS to use the credential 'AC (AML/CFT)' and may be eligible for 20cp credit towards Bond's Master of Legal Administration or other postgraduate programmes.

PARTICIPANT PROFILE

Head of Compliance, AML/CFT, Regulatory Compliance and Financial Crime; Managers and senior staff of Risk Management, Audit (Internal and External); Senior Managers and Heads of other Reporting Institutions, Designated Non-Financial Businesses and Professions (DNFBPs) and Non-Bank Financial Institutions (NBFIs); Company Secretaries, Accountants, Digital Currencies and Fintech Developers/Operators, professionals of the legal fraternity and CAMCO graduates.

PROGRAMME STRUCTURE

Course 1 - International AML / CFT Compliance and Practice

MODULE 1 : MEETING GLOBAL STANDARDS AND REQUIREMENTS

Role of international regulators in global AML/CFT compliance (including FATF, OECD, and other international standard setters). Importance of meeting international standards and requirements.

MODULE 2 : CORPORATE GOVERNANCE AND ETHICS

Corporate governance and ethics. The importance of corporate governance in establishing effective systems and controls. Apportionment of significant responsibilities. Business plan and strategy. Conflicts of interest. Remuneration structure and strategies. Suitability of staff and agents.

MODULE 3 : COMPLIANCE, RISK MANAGEMENT AND AUDIT

Compliance. Risk management. Internal audit. Business continuity planning. Record keeping. Setting the "tone from the top".

MODULE 4 : COMPLIANCE MONITORING PROGRAMS AND COMMUNICATION

The compliance monitoring programme. Scope of programme. Compliance testing. The CMP Report. Other considerations including training & education, internal communication and responding to breaches. Communicating essential compliance issues.

MODULE 5 : BEST PRACTICES ON INTERNAL CONTROL STRUCTURES

International approaches and best practices. Regulators' expectations and requirements. Avoiding conflicts of interest. Anti-bribery and corruption. International standards on building effective control structures.

2-DAY WORKSHOP INTERNATIONAL AML/CFT COMPLIANCE AND PRACTICE IN MALAYSIA

Meeting global standards and requirements: how Malaysia has fared so far, strengths, shortcomings and improvements. Corporate governance and ethics as applied in Malaysia and its financial services sectors. International standards and practices regarding compliance, risk management and audit as applied in Malaysia: a critical analysis. Compliance monitoring and communication issues in the Malaysian context. The practical application of best practices on internal control structures in Malaysia.

PROGRAMME STRUCTURE

Course 2 - International AML / CFT Management and Implementation

MODULE 1 : INTERNATIONAL CASES OF AML/CFT NON-COMPLIANCE

International cases. Legal and regulatory responses, penalties. Avoiding fines, sanctions and reputational damage.

MODULE 2 : CRITICAL ANALYSIS OF INTERNATIONAL CASES OF AML/CFT NON-COMPLIANCE AND RECENT GLOBAL DEVELOPMENTS

What went wrong? Actions taken. Lessons learnt. Critical analysis of recent global developments. Responses and actions taken.

MODULE 3 : DEVELOPING A WORLD-CLASS COMPLIANCE FUNCTION

Achieving full compliance: developing systems and controls. Taking the risk-based approach (RBA). The compliance function. Developing an ethical and compliance culture.

MODULE 4 : KEY ATTRIBUTES OF THE GLOBAL COMPLIANCE OFFICER

The Compliance Officer operating globally. Advising, training/educating and monitoring skills. Compliance manuals and documentation. Influencing and negotiation skills. Communication skills. Essential compliance issues. Communicating management information. Board and committee meetings and agenda.

MODULE 5 : MEETING THE EXPECTATIONS AND REQUIREMENTS OF REGULATORS

Complying with the relevant regulatory requirements on AML/CFT including CDD and ECDD. Internal and external Suspicious Activity Reporting. Communicating with the regulator. Keeping up to date with changes in the regulatory environment. International cooperation.

2-DAY WORKSHOP INTERNATIONAL AML/CFT MANAGEMENT AND IMPLEMENTATION IN MALAYSIA

In-depth study and analysis of selected significant cases of AML/CFT non-compliance and the aftermath. Examples of compliance cases: best practices being practised. Recent global AML/CFT developments, including coping with the Covid-19 pandemic. Developing a world-class compliance function operating in Malaysia. The global Compliance Officer operating in Malaysia. Meeting the expectations and requirements of regulators in Malaysia: domestic and foreign institutions.

PROGRAMME FACULTY

Professor Dr Lee Mei Pheng

Honorary Adjunct Professor, Faculty of Law, Bond University, Queensland, Australia

Dr Lee is presently a senior partner, banking law consultant and practitioner at Detta Samen & Co Advocates, one of the most established law firms in Sarawak. She is an Advocate and Solicitor of the High Court in Malaya and an Advocate of the High Court in Sabah and Sarawak. Besides her continuing law practice, she is a Fellow of the Law Faculty, University of Malaya in Kuala Lumpur and had served at OCBC Bank in Malaysia as Head of their Legal department and Assistant Vice President, Kuching Branch in Sarawak for ten years. Since 1984, she has been a leading consultant and lecturer for banks and financial institutions, regulators, large corporations locally and internationally in many areas of banking and finance laws, Islamic banking and finance law, fraud, anti-money laundering and anti-terrorism financing, banking operations, credit, offshore banking, regulations and compliance. She lectures internationally and is a Course Director for EUROMONEY Training in Hong Kong. Dr Lee holds a Doctor of Philosophy (Laws) from the University of Liverpool, England, specialising in Banking and Finance Law, and her PHD research was on banking fraud. She holds an LLB First Class Honours degree from the University of Malaya, and since the year 2000, has been an Adjunct Associate Professor, Faculty of Law at the Bond University, Queensland, Australia. She resides in the Gold Coast in Australia and lectures post-graduate law subjects in that country on various areas of International Banking and Electronic Banking Law subjects. She is a Fellow of the Financial Services Institute of Australasia and Tim Fischer Centre for Global Trade & Finance, as well as a Member of the Law Advisory Board, Bond University, Australia. She is an Associate Fellow of the Institute of Bankers, Malaysia (AICB). Her many other professional contributions include being a consultant, commissioned author and editorial reviewer, and specialist for LexisNexis, AICB and Oxford publications related to Banking Law and Practice and Banking and Finance statutes, an External Assessor for Business law and Company Law, Wawasan Open University, Penang, Malaysia and author of many publications.

REGISTRATION DATES & PROGRAMME FEES

Intake : Course 1: 22-23 May and 16-17 Oct 2021
Course 2: 29-30 May and 23-24 Oct 2021

Registration deadline :

09 April for workshops 22-23 May and 29-30 May 2021
10 September for workshops 16-17 Oct 2021 and 23-24 Oct 2021

COURSE 1

MYR 7,000

COURSE 2

MYR 7,000

COURSE 1 & 2

MYR 13,000

(Fee shown is per participant and subject to 6% Service Tax)

To register for this programme, please visit www.asianbankingschool.com/our-programmes/professional-qualifications

ABOUT ASIAN BANKING SCHOOL

The ASIAN BANKING SCHOOL (ABS) is dedicated to developing talent and is the largest specialised provider of quality banking training programmes in the ASEAN region.

As the industry's preferred partner in learning and development, ABS offers customised and open enrolment training programmes that cover a comprehensive list of banking areas developed by its Specialist Training Consultancy Team or in collaboration with strategic learning partners that includes some of the top business schools in the world. This includes its Executive Education programmes with Cass Business School in London, the University of Cambridge Judge Business School, Frankfurt School of Finance and Management and INSEAD.

ABS also works closely with the Asian Institute of Chartered Bankers in raising competency standards for the industry through the delivery of training workshops related to professional qualifications developed and awarded by the professional body; and is the exclusive training partner for the Chartered Banker Institute in the UK. It plays a significant role in enriching the talent pipeline for the financial services sector through the industry recognised Financial Sector Talent Enrichment Programme (FSTEP) and Graduate Training programmes; and is also responsible for designing, developing and delivering the industry-wide Ethics and AML/CFT programmes.

ABOUT BOND UNIVERSITY

Bond University is Australia's first private not-for-profit university and is located in Robina, Gold Coast, Queensland. Since its opening on 15 May 1989, Bond University has primarily been a teaching-focused higher education institution. The university is ranked #20 in the Times Higher Education (THE) rankings of the Best Small Universities in the World and is the No.1 Australian university for student experience, according to the 2021 edition of The Good Universities Guide. This is the fifteenth consecutive year Bond has received five star ratings for student experience and overall experience.

The Faculty of Law at Bond University designs and delivers exclusive executive and management training courses for both law and non-law professionals. The Faculty is committed to providing an exceptionally high-quality learning experience characterised by a professional and practical emphasis, and personal attention.

To find out more about Bond University, visit bond.edu.au

CONTACT US

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