



PUBLIC PROGRAMMES

ABS offers industry relevant public training programmes that cover a comprehensive list of banking areas and are designed and developed in-house by our Specialist Training Consultancy Team or in collaboration with strategic learning partners that includes some of the top business schools in the world.

This publication introduces a suite of programmes available under Investment Banking. The programmes offered have been designed in consultation with investment and capital market practitioners from the banking industry.

Programmes offered are on a six-month interval, which enable us to review and update our course materials based on a more intuitive understanding of what banks need in this fast-changing dynamic financial services landscape.

While the programmes that you will see here on the following pages are offered for open enrolment, they can also be customised as in-house training to suit the needs of your organisation. We also provide a broad spectrum of consultancy services to create tailor-made training programmes that are specifically aligned with your organisation's strategic learning requirements.

To find out more about the other programmes we offer, please email training@asianbankingschool.com to request copies of the brochure or visit www.asianbankingschool.com/our-programmes/public-programmes

OUR PUBLIC PROGRAMMES ARE GROUPED INTO THE BANKING AREAS OF:



Note: All Information in this publication is correct at the time of printing but may be subject to change.

PROGRAMME CALENDAR **FIRST HALF 2020**

CONTENTS / PROGRAMME TITLE	LEARNING LEVEL	PAGE	JAN	FEB	MAR	APR	MAY	JUNE
Key Disclosure Obligations of a Listed Company	Foundation	03		18				
World Market Outlook and Banking Sector Analysis	Intermediate	05			3			
Operational Risk Management	Foundation	07						4
How to Manage Financial Risks	Intermediate	09			18 -19			
Fundamentals of Financial Services: Investment Banking	Foundation	11				7		
Decoding Transaction and RPT Rules	Foundation	15				22		
Developing Structured Products with Derivatives	Intermediate	17						3

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KEY DISCLOSURE OBLIGATIONS OF A LISTED COMPANY

Companies listed on the Main and ACE Markets of Bursa Malaysia are expected to comply strictly with the disclosure framework embedded in the Listing Requirements. A strong compliance culture will promote transparency, aid informed investment decisions and will ensure fair and orderly trading in the listed securities of the company.

PROGRAMME DETAILS

Date : 18 February 2020
Time : 9:00 am – 5:00 pm
Venue: Asian Banking School

PROGRAMME FEES*

RM2,000	RM2,300
AICB Member	Non-Member

*Subject to 6% Service Tax per pax

LEARNING OBJECTIVES

By the end of this programme, participants will be able to:

- Explain objectives of Bursa Malaysia's corporate disclosure framework and various modes of corporate disclosure (including use of social media).
- Describe the financial reporting framework imposed by Bursa Malaysia and the implications of late/inaccurate submission of financial reports.
- Describe the various thresholds (percentage ratios) for announcements of transactions (non-RPT and RPT).
- Explain the guiding principles in evaluating materiality of an event (e.g. entering into MOU etc.) to determine whether an announcement is required.
- Explain the meaning of "immediate" announcement and advise on the importance of timeliness in making material announcements.
- Identify trigger points for immediate announcement of certain material transactions/events.
- Explain implication of a trading halt imposed by Bursa.
- Advise on ensuring of thorough dissemination of material information and avoid divulging undisclosed material information to analyst and media (selective disclosure).
- Explain the restricted circumstances for withholding material information and key obligations thereof.
- Describe and advise on Bursa's expectations in replies to media and UMA queries.
- Explain the importance of an exit clause when entering into non-disclosure agreements with transacting parties.
- Avoid unwarranted promotional disclosures.
- Understand and advise on the importance of establishing an Internal Corporate Disclosure Policy & Procedures.
- Avoid common pitfalls and advise on the following areas:
 - » Modified opinions and MUGC by external auditors; and link to PN17.
 - » Materiality assessment of litigations and winding-up petitions; and link to PN17.
 - » Materiality assessment of default in payments and link to PN17.
 - » Handle material MOUs and link to withholding of immediate announcement.

TRAINING METHODOLOGY

Presentations and discussions with easy-to-understand illustrations using PowerPoint slides, coupled with selected real-life case studies to reinforce the understanding of the guiding principles behind the Listing Requirements.

The trainer will also share his experiences from relevant cases that he has encountered in his capacities as a Bursa Officer and an advisor-in-practice.

PROGRAMME OUTLINE

- Objectives of corporate disclosure requirements
- Financial reporting framework
 - » Quarterly results
 - » Annual reports
 - » Late submissions
 - » Deviation between audited financial results & 4th quarter unaudited results
- Transaction based disclosures
 - » Various thresholds & obligations for Non-RPT and RPT
- Prescribed material events
 - » Paragraph 9.19 events
- Non-prescribed material events
 - » Paragraph 9.04 events
- Materiality test
 - » Paragraph 9.03 materiality test
- Timeliness of disclosure
 - » Immediate announcement requirements
- Thorough dissemination
 - » Bursa LINK
 - » Trading halt
 - » Request for suspension
- Withholding material information
 - » Permitted exceptional circumstances
 - » Obligations when withholding material information
- Response to queries
 - » Media reports
 - » Unusual market activity (UMA)
 - » Beyond UMA
 - » Avoiding selective disclosure (to media/analyst)
 - » Non-disclosure agreements
- Unwarranted promotional disclosure
- Internal corporate disclosure policy & procedures
- Case Studies
 - » Handling modified opinion or material uncertainty related to going concern (MUGC) by external auditors, key audit matters and link to PN17
 - » Handling letters of demand, litigations, winding-up petitions and link to PN17
 - » Handling defaults in payment, withholding of immediate announcement and link to PN17
 - » Handling MOUs and link to withholding of immediate announcement

ABOUT THE TRAINER

CHEE KAI MUN

Chee Kai Mun is the Director cum Principal Trainer of CKM Advisory Sdn Bhd, a company that focuses on providing specialized training relating to Bursa Malaysia's Main and ACE Markets Listing Requirements (Listing Requirements).

Prior to the formation of CKM Advisory Sdn Bhd, he was the Vice President of Listing Advisory & Development at Bursa Malaysia. He was instrumental in setting up and subsequently spearheading the Listing Advisory division, which is a specialized team in Bursa Malaysia that provides guidance and advice to listed companies and their advisors (namely, investment banks, legal firms, audit firms and secretarial firms) in interpretation and application of the Listing Requirements.

Chee was also Director, Compliance & Advisory of Tricor Corporate Services Sdn Bhd, a corporate advisory firm in Kuala Lumpur. He was responsible for providing advisory services in compliance with Listing Requirements to their corporate secretarial and share registrar teams, as well as clients listed on the official list of Bursa Malaysia.

He has accumulated more than 20 years of extensive experience in corporate advisory work relating to Listing Requirements. Chee has hands-on experience in this field from 3 perspectives – as a regulator with Bursa Malaysia, an advisor-in-practice with Tricor and as a team member of the corporate finance division in a listed company. He has also conducted training in Listing Requirements for Directors, CEOs, CFOs and Company Secretaries of listed companies.

PARTICIPANT PROFILE

- » Corporate finance personnel of investment banks with some knowledge in the subject matter.
- » CMSRL and ERP holders

AICB	8 CPD HOURS
10	SIDC CPE POINTS
3.25	As rated out of 4.0 by participants

WORLD MARKET OUTLOOK AND BANKING SECTOR ANALYSIS

To understand the latest global market trends and apply the fundamental analysis for better investment decisions.

PROGRAMME DETAILS

Date : 3 March 2020
Time : 9:00 am – 5:00 pm
Venue: Asian Banking School

PROGRAMME FEES*

RM1,400	RM1,600
AICB Member	Non-Member

**Subject to 6% Service Tax per pax*

LEARNING OBJECTIVES

By the end of this programme, participants will be able to:

- Discuss the latest economic outlook for the global markets
- Interpret the economic terms in Bank Negara Malaysia's publications
- Apply the fundamental analysis approach on asset selection
- Describe the business model for the banking industry
- Identify what the important factors are that influence share prices for the banking industry

TRAINING METHODOLOGY

Instructor lead lectures and discussions

PARTICIPANT PROFILE

CMSRL/ ERP license holders, public listed company directors, investment advisers, dealer representatives, fund managers, investment bank management and staff, commercial bank management and staff, Islamic bank management and staff, public and industry related professionals, the management of stock broking firms, business reporters, Unit Trust agents and retail investors.

PROGRAMME OUTLINE

World Market Outlook

- » US/ China trade war
- » Donald Trump's US policies
- » China's Belt and Road initiative
- » European Union economic crisis
- » Japan and Asia country economic development

Malaysia's Economic Outlook

- » The Government's direction and challenges
- » 2019 Budget, TN2050, Digital Free Trade Zone
- » Latest BNM annual report
- » GDP, inflation, unemployment rate
- » Monetary policy and Ringgit outlook

Investment Opportunities and Challenges

- » Possible risks and challenges ahead
- » Portfolio strategies / active portfolio management

Sector Analysis on Banking Construction Sector

- » Industry's life-cycle
- » Important factors that influence share prices for the banking industry

ABOUT THE TRAINER

DR. CH'NG HUCK KHOON

Dr. Ch'ng Huck Khoon pursued his PhD studies in Finance at Universiti Sains Malaysia (USM), holds a MBA (Finance) from the University of Stirling (UK) and is an Associate Member of the Centre for Business and Management (CBM) Universiti Tunku Abdul Rahman (UTAR). He is also an Honorary Lecturer at USM.

He is the Founder and CEO of CHK Consultancy Sdn Bhd and conducts seminars for regulators and local and foreign banks on current economic issues, corporate strategies, Sun Tsu Art of War for traders and investors, wealth management, AMLA and the digital economy. He is a HRDF Certified Trainer and on the Academic Council and Examination Board of SDH Institute (Singapore). He is an Independent Non-Executive Director of CNI Holdings Berhad, YGL Convergence Berhad and AT Systematization Berhad, all of which are listed on Bursa Malaysia Securities Berhad.

He is a columnist in CARI internet on stock market matters and is regularly interviewed on financial related topics by the local media. One of his research papers entitled 'How Many Securities Make A Diversified Portfolio: KLSE Stocks?', was selected as the winner of the Security Commission Capital Market Award 2000. His main research interests are in investment and behavioural finance and his research papers have been published in Malaysia, India and Australia. Dr Ch'ng He is the author of "How to Select a Winning Stock".

OPERATIONAL RISK MANAGEMENT

This one-day programme provides insight and practical tools to increase participants' understanding of managing Operational Risk in capital market institutions. It will discuss the framework and knowledge required to use key techniques and tools of Operational Risk Management in the Capital Markets; with learning achieved through theory and approach scenarios, as well as real-life examples.

PROGRAMME DETAILS

Date : 4 June 2020
Time : 9:00 am – 5:00 pm
Venue: Asian Banking School

PROGRAMME FEES*

RM1,400 | RM1,600
AICB Member | Non-Member

**Subject to 6% Service Tax per pax*

LEARNING OBJECTIVES

By the end of this programme, participants will be able to:

- Recognise emerging issues and trends related to operational risk in the capital market industry
- Identify the sources of operational risk and how these arise within the context of capital market institutions' main business activities
- Identify the governance structures, systems, procedures and cultural aspects necessary for capital market institutions to successfully manage operational risk
- Identify risk management practices in capital market institutions
- Recognise the desired behaviours in developing a risk management culture in capital market institutions

TRAINING METHODOLOGY

Lectures and workshop-styled discussions and presentations

PARTICIPANT PROFILE

Operational Risk managers and officers, operations staff, IT staff, Risk Managers, Compliance Officers, Regulators, auditors, supervisors, ERM managers, middle management and individuals requiring an understanding of Operational Risk

PROGRAMME OUTLINE

Risk Management Overview

- » Overview of Risk Management in the capital markets
- » Describe the essential elements of Risk Management
- » Describe what “normally goes wrong” in a capital market institution
- » Describe how risk can be aggregated and mitigated

Introduction to Operational Risk

- » Definitions of Operational Risk
- » Examples of significant Operational Risk losses
- » Current industry drivers of increasing Operational Risk in capital market financial institutions: complexity, innovation, litigation etc.

Definition of Risk Classes

- » Illustrate the potential forms of Operational Risk losses
- » Describe the Basel risk categories for loss data capture and how they are relevant to financial and capital market Institutions

Operational Risk Governance

- » Risk management process
- » Roles and responsibilities of the board, senior management and support functions
- » Defining risk appetite for operational risk
- » Evaluate corporate governance standards
- » Three lines of defence
- » Operational Risk framework
- » Operational Risk cycle – identification, assessment, measurement, mitigation and management
- » The role of culture in enterprise-wide management of operational risk in capital market institutions

Management of Operational Risk

- » Operational Risk policy
- » Control risk self-assessment
- » Key risk indicators
- » Scenario analysis
- » Operational Risk incident reporting
- » Improving risk processes

ABOUT THE TRAINER

CHEAH WEE LEONG

Cheah Wee Leong is the Director of Investment Banking Training at the Asian Banking School and has more than 25 years' experience working in the banking industry. He started his career at Citibank in 1993 before joining US management consultancy firm Accenture in 1997. At Accenture, he was involved in managing large bank merger-integration, process re-engineering and transformation projects. He has worked in projects in various countries including Hong Kong, China, Singapore, Indonesia, Thailand, Mauritius and Saudi Arabia.

In 2003, Wee Leong joined CIMB as its Head of Operational Risk Management and led the implementation of the bank's operational risk management and Basel 2 – Operational Risk framework. Subsequently, he assumed various senior roles in Regional Transaction Banking, Corporate Banking, Treasury and Markets division, and Group CEO's office at CIMB. His last role at CIMB was as Director, Group Strategy.

Wee Leong holds a Bachelor of Business Administration from the U.S. and a Master of Business Administration (with Distinction) from the Anglia Ruskin University, United Kingdom. He obtained training from Citibank Asia Pacific Banking Institute in Singapore in 1995. He is a certified Chartered Banker.

HOW TO MANAGE FINANCIAL RISKS

This programme will provide participants with a thorough understanding of a broad range of financial risks faced by companies. There is detailed focus on the Exchange Rate Risks, Interest Rate Risks and Commodities Price Risks that are typically faced by business enterprises. The programme also focuses on how these risks are identified, categorised, managed and mitigated by utilising various risk management tools.

PROGRAMME DETAILS

Date : 18 - 19 March 2020
Time : 9:00 am – 5:00 pm
Venue: Asian Banking School

PROGRAMME FEES*

RM2,200 | RM2,500
AICB Member | Non-Member

*Subject to 6% Service Tax per pax

LEARNING OBJECTIVES

By the end of this programme, participants will be able to:

- Identify and understand Foreign Exchange Rate Risks, Interest Rate Risks, and Commodities Price Risks
- Have a comprehensive overview of the financial risk management process
- Understand how Forward Contracts work
- Know how the bank prices a Forward Contract
- Understand how Forwards are applied in Foreign Exchange Risks and Interest Rate Risks
- Understand how Futures Contracts work
- Understand how Futures are used to hedge financial and commodities risks
- Understand how Swap Contracts work
- Understand how Swaps are used to manage currency and interest rate risks
- Understand how Options work
- Understand how Options are used to manage Interest Rate, Equity and Currency Risks

TRAINING METHODOLOGY

Powerpoints/slides, lecture, whiteboard, the internet, case studies, group discussions, quizzes and multiple choice questions

PARTICIPANT PROFILE

- » Finance personnel in business enterprises, as well as senior management members who are involved in business strategy and risk management of the companies. Also relevant for Relationship Managers in banks, whose clients are corporates and even SMEs.
- » CMSRL and ERP holders

PROGRAMME OUTLINE

Overview of Risk Management Products:

- » Forwards
- » Options
- » Swaps
- » Futures

Overview of the Risk Management Process:

- » Forward Contracts
- » Option Contracts
- » Swap Contracts
- » Futures Contracts

The workings of Forward Contracts and how they are used to manage and mitigate currency risks

- » Circumstances when a currency forward is needed
- » The convention in quoting a currency forward contract
- » A case study on how a business enterprise contracts a currency forward with the bank

How to price a Forward Contract

- » How the treasury of a bank typically uses the interest rate differential between two currencies to work out a currency forward rate
- » Why is it essential that the financial institution has access to a domestic currency in order to work out the forward rate
- » A case study on the mechanism to work out a currency forward rate
- » A case study on the use of Forward Rate Agreement to hedge interest rate risk

The workings of Option Contracts and how they are used to manage and mitigate currency, equity and interest rate risks

- » The four pay-out diagrammes to understand the workings of option contracts; using equity as the underlying asset
- » Demonstration on the leveraged nature of options, and the potential losses that the contracted parties might face

- » Examples on how options are used to hedge currency, interest rate and equity risks
- » Examples on the risks that are inherent in options

The workings of Swap Contracts and how they are used to manage and mitigate currency and interest rate risks

- » Demonstration on a situation where the requirement for cross currency swap arises
- » Mechanism of a cross currency swap
- » Demonstration on a situation where the requirement for interest-rate swap arises
- » Mechanism of an interest-rate swap

The workings of Futures Contracts and how they are used to manage and mitigate commodities price and currency risks

- » Using the case of forward to demonstrate the need of futures contracts
- » A step-by-step introduction of how a futures contract works – the initial margin, mark-to-market, margin call and closing of contracts
- » Using the CPO futures as a case study on the benefit of futures as a hedging instrument and the risks that are inherent in this derivative
- » A case study on the use of futures to hedge currency risks
- » The benefits and risks in using these derivatives products
- » A recapitulation on the topics discussed and a summary on the benefits and risks of each of the derivatives studied in this module

ABOUT THE TRAINER

YEOW TIANG HUI

Yeow Tiang Hui is a Senior Consultant and the Director of Commercial Banking Training at the Asian Banking School. He has 28 years of experience in banking and investment, having first worked with small and medium enterprise clients at United Overseas Bank and Citibank N.A., Singapore. He then moved on to manage multinational accounts in Deutsche Bank AG, which was followed by managing large local corporate clients in Citibank/Citicorp Malaysia, where he was also Vice President in their venture capital outfit and the Head of Commercial Banking.

From 1997 to 2005, he served as Head of the offshore banking business of the French banking group, Crédit Industriel et Commercial covering Malaysia, Greater China and the Philippines. After that, from 2007 till 2016, he served as the Head of Corporate Banking at Kuwait Finance House. His last position was as the Chief Executive Officer of Alkhair International Islamic Bank.

Yeow graduated in Economics from the National University of Singapore where he studied International Finance, Banking & Monetary Economics. He obtained further training in banking and finance from the Institute of Banking & Finance in Singapore, Deutsche Bank Asia Pacific, and Citibank Asia Pacific Banking Institute, where he studied Credit & Financial Analysis, Applied Financial Services, International Trade Finance, Corporate Finance and Financial Derivative Products. He is fluent in Chinese, English, Malay and the Indonesian languages, and has worked in the Singaporean, American, German, French, Middle Eastern and Malaysian environments.

FUNDAMENTALS OF FINANCIAL SERVICES: INVESTMENT BANKING



This programme will provide participants with an understanding of the roles and responsibilities of licensed intermediaries and other representatives, and the regulations governing the business activities and market practices in the Malaysian capital markets. It will also provide an overview of the common forms of financing services.

PROGRAMME DETAILS

Date : 7 April 2020
Time : 9:00 am – 5:00 pm
Venue: Asian Banking School

PROGRAMME FEES*

RM1,400 | RM1,600
AICB Member | Non-Member

**Subject to 6% Service Tax per pax*

LEARNING OBJECTIVES

By the end of this programme, participants will be able to:

- Identify the overall financial systems and regulatory framework that affects the local capital markets
- Explain the capital and money markets and how they can be used by Investment Bankers to help their clients
- Propose relevant financial or capital market instruments to clients

TRAINING METHODOLOGY

Lecture session with discussions and use of case studies for group exercises

PARTICIPANT PROFILE

Analysts, market strategists, financial consultants, relationship managers, sales and corporate planning personnel, risk managers and investment bankers

PROGRAMME OUTLINE

Overall Financial Systems

- » Regulatory developments and supervisory assessments
- » Developments in risk management and regulatory requirements

Capital and Money Markets

- » Understand financial markets including the exchange traded market, over-the-counter market, money market, bond market and equity market
- » Growth of capital market products and services to promote economic growth

Investment Decisions in the Financial System

- » Sources of financing decision, trends in rising capital, capital structure, classification of bond market MGS and corporate bond
- » Debt financing services, bond valuations, convertible bonds and credit rating

Recent Evolution in Investment Banking

- » Digitalisation and technology trends impacting the capital markets
- » New products and solutions

ABOUT THE TRAINER

CHEAH WEE LEONG

Cheah Wee Leong is the Director of Investment Banking Training at the Asian Banking School and has more than 25 years' experience working in the banking industry. He started his career at Citibank in 1993 before joining US management consultancy firm Accenture in 1997. At Accenture, he was involved in managing large bank merger-integration, process re-engineering and transformation projects. He has worked in projects in various countries including Hong Kong, China, Singapore, Indonesia, Thailand, Mauritius and Saudi Arabia.

In 2003, Wee Leong joined CIMB as its Head of Operational Risk Management and led the implementation of the bank's operational risk management and Basel 2 – Operational Risk framework. Subsequently, he assumed various senior roles in Regional Transaction Banking, Corporate Banking, Treasury and Markets division, and Group CEO's office at CIMB. His last role at CIMB was as Director, Group Strategy.

Wee Leong holds a Bachelor of Business Administration from the U.S. and a Master of Business Administration (with Distinction) from the Anglia Ruskin University, United Kingdom. He obtained training from Citibank Asia Pacific Banking Institute in Singapore in 1995. He is a certified Chartered Banker.



GOOD COURSE, COMPACT AND
USEFUL TO KNOW ABOUT
STRUCTURED PRODUCTS IN
DERIVATIVES IN ONE DAY

— *Participant*
Developing Structured Products with Derivatives
Trainer: Chong Jin Yoong



I HAVE A BETTER
UNDERSTANDING IN THE
OVERALL PICTURE OF THE
FINANCIAL SERVICES INDUSTRY

— *Participant*
Fundamentals of Financial Services: Investment Banking
Trainer: Cheah Wee Leong



DECODING TRANSACTION AND RPT RULES

Companies listed on the Main Market of Bursa Malaysia enter into transactions, be it related party transactions (RPT) or otherwise (i.e. Non-RPT), on a regular basis. A good understanding of the rules governing Non-RPT and RPT, as well as an appreciation of the guiding principles behind such rules are paramount to compliance with Chapter 10 of the Listing Requirements (LR).

PROGRAMME DETAILS

Date : 22 April 2020
Time : 9:00 am – 5:00 pm
Venue: Asian Banking School

PROGRAMME FEES*

RM2,000	RM2,300
AICB Member	Non-Member

*Subject to 6% Service Tax per pax

LEARNING OBJECTIVES

By the end of this programme, participants will be able to:

- Determine and explain the extent to which Chapter 10 of LR will apply to a listed company and its group of companies
- Explain accounting definitions of subsidiary companies, joint arrangements and associated companies; and the application of the same in Chapter 10 of LR.
- Explain and distinguish the narrower definition of “transactions” for Non-RPT
- Explain the extended definition of “transactions” pertaining to disposal of developmental rights of real estate for Non-RPT; and identify real life scenarios for application of the rule thereof
- Explain rationale and apply the rule relating to acquisitions/disposal of landbanks
- Explain and identify the wider definition of “transactions” for RPT
- Identify related parties and persons connected to directors and major shareholders
- Explain rationale behind percentage ratios, summarise various thresholds and determine obligations of listed companies for Non-RPT and RPT
- Adopt an effective approach in reading of various RPT exemptions in paragraphs 10.08(9) and 10.08(11) of LR
- Identify RPT scenarios and avoid common mistakes in RPT provisions with various case studies

TRAINING METHODOLOGY

Presentations and discussions with easy-to-understand illustrations using PowerPoint slides, coupled with selected real-life case studies to reinforce the understanding of the guiding principles behind the Listing Requirements. The trainer will also share his experiences from relevant cases that he has encountered in his capacity as a Bursa Officer and an advisor-in-practice.

PARTICIPANT PROFILE

Corporate finance personnel of investment banks with some knowledge and at least 1 year hands-on experience in the subject matter

PROGRAMME OUTLINE

Scope of Chapter 10

- » Transactions by listed issuer or subsidiaries
- » Transactions between listed issuer & wholly-owned subsidiaries
- » Definition of subsidiaries, joint arrangements and associated companies

Non-Related Party Transactions (Non-RPT)

- » Narrower definition of “transactions” for Non-RPT
- » Disposal of developmental rights
- » Acquisitions/disposal of landbanks

Related Party Transactions (RPT)

- Wider definition of “transactions” for RPT
 - » Acquisition, disposal or leasing of assets
 - » Establishment of joint ventures
 - » Provision of financial assistance
 - » Provision or receipt of services
 - » Other business transaction or arrangement
- Definition of “interest” involving related parties
- Identifying related parties
 - » Directors and major shareholders (MS)
 - » Directors/MS and 6-month rule
 - » Persons connected with Directors and MS
 - Family members
 - Persons (or body corporate) accustomed to act in accordance to wishes of director/MS
 - Persons (or body corporate) in accordance with whose wishes director/MS is accustomed to act
 - Body corporate in which director/MS controls $\geq 20\%$ voting shares in the body corporate

Percentage Ratios & Obligations

- » Ground rules in computation of percentage ratios
- » Various thresholds & obligations for Non-RPT and RPT

RPT Case Study

- Related party at subsidiary company
 - » Paragraph 10.08(11)(n) & (o)
 - » Paragraph 10.08(9) – Exempt RPT
- Common major shareholder
 - » Paragraph 10.08(11)(l) (horizontal & vertical corporate structures)
- Common directorship
 - » Paragraph 10.08(11)(c) (horizontal & vertical corporate structures)
- Linking “persons connected” to common major shareholder rule

ABOUT THE TRAINER

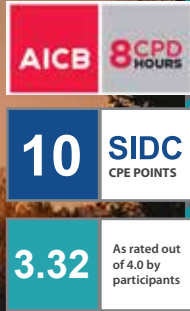
CHEE KAI MUN

Chee Kai Mun is the Director cum Principal Trainer of CKM Advisory Sdn Bhd, a company that focuses on providing specialized training relating to Bursa Malaysia’s Main and ACE Markets Listing Requirements (Listing Requirements).

Prior to the formation of CKM Advisory Sdn Bhd, he was the Vice President of Listing Advisory & Development at Bursa Malaysia. He was instrumental in setting up and subsequently spearheading the Listing Advisory division, which is a specialized team in Bursa Malaysia that provides guidance and advice to listed companies and their advisors (namely, investment banks, legal firms, audit firms and secretarial firms) in interpretation and application of the Listing Requirements.

Chee was also Director, Compliance & Advisory of Tricor Corporate Services Sdn Bhd, a corporate advisory firm in Kuala Lumpur. He was responsible for providing advisory services in compliance with Listing Requirements to their corporate secretarial and share registrar teams, as well as clients listed on the official list of Bursa Malaysia.

He has accumulated more than 20 years of extensive experience in corporate advisory work relating to Listing Requirements. Chee has hands-on experience in this field from 3 perspectives – as a regulator with Bursa Malaysia, an advisor-in-practice with Tricor and as a team member of the corporate finance division in a listed company. He has also conducted training in Listing Requirements for Directors, CEOs, CFOs and Company Secretaries of listed companies.



DEVELOPING STRUCTURED PRODUCTS WITH DERIVATIVES

Structured products have witnessed rising demand since the late 1990s but then lost the trust of investors in the aftermath of the credit crisis of 2008. The structured products market became very diverse with various payoff profiles in all asset classes, that many stakeholders (banks, regulators and investors) struggled to cope with the sheer amount of innovation that appeared. This programme provides participants with the knowledge and skills in the application of structured products and how these products fulfil the investment objectives of their clients.

PROGRAMME DETAILS

Date : 3 June 2020
 Time : 9:00 am – 5:00 pm
 Venue: Asian Banking School

PROGRAMME FEES*

RM1,400 | RM1,600
 AICB Member | Non-Member

**Subject to 6% Service Tax per pax*

TRAINING METHODOLOGY

Lectures, quizzes and hands-on exercises

PARTICIPANT PROFILE

Retail and HNWI sales staff covering multi asset classes (ERPs), licensed dealer representatives, wealth management product owners and finance managers

LEARNING OBJECTIVES

By the end of this programme, participants will be able to:

- Explain the uses of derivatives in the current financial markets
- Describe the benefits and risks of investing in structured products
- Explain how the components of structured products function
- Differentiate between the various derivative components in structured products
- Explain how derivatives are combined to provide customised payoffs profile

PROGRAMME OUTLINE

Primer on Derivatives and Structured Products

- » Brief history of derivatives
- » Role of derivatives – hedging, speculation, trading
- » Primer on forward, futures
- » Primer on swaps
- » Primer on options
- » The building block approach

Customising Payoff Profiles

- » Payoff profile of options
- » Combining options
- » Understanding volatility's impact
- » Cost considerations

Expanding the Utility of Structured Products

- » Exotic options - barrier, digital, lookback, Asian
- » Cash settlement versus physical settlement
- » Basket options – best-of and worst-of
- » Why correlation matters

Credit Risk in Derivatives

- » Mark-to-market of derivatives
- » Who bears credit risk
- » Brief introduction to ISDA and CSA - mitigation credit risk

Anatomy of Structured Products

- » Range accruals
- » Dual currency investment
- » Autocallable equity linked note

Exchange Traded Alternatives in Malaysia

- » Call option and warrants / TSR
- » Company issued warrants and structured warrants
- » Issuer and investor perspective

ABOUT THE TRAINER

CHONG JIN YOONG

Chong Jin Yoong, CFA is the Director and Principal Trainer of Ogos Learning, a company that focuses on education in the field of banking and finance. Prior to that, he was the head of the structured products development team in AmBank's Global Markets division that focused on structuring solutions and products for both institutional, corporate and retail clients. He has also been attached to the treasury of OSK Investment Bank as a licensed fixed income dealer.

He is an active member of the CFA Society of Malaysia and currently serves as its Honorary Secretary. He is an examiner for the Chartered Banker, PKMC and IPPC qualification programmes at the Asian Institute of Chartered Bankers (AICB) and contributes to their curriculum development. He has authored and co-authored multiple textbooks in collaboration with AICB and Oxford Fajar, including the ones for the PKMC licensing exam. He personally obtained the PKMC certification with Distinction in 2008.

Aside from this, Jin Yoong has been conducting training on fixed incomes, derivatives and structured products for the CFA programme (Level 1, 2 and 3) for CFA candidates since 2009. He also conducts SIDC accredited CPE courses and is a course instructor for the Securities Commission's Islamic Capital Markets Graduate Training Scheme and Licensing exams (Module 7 and 12).

ABOUT US



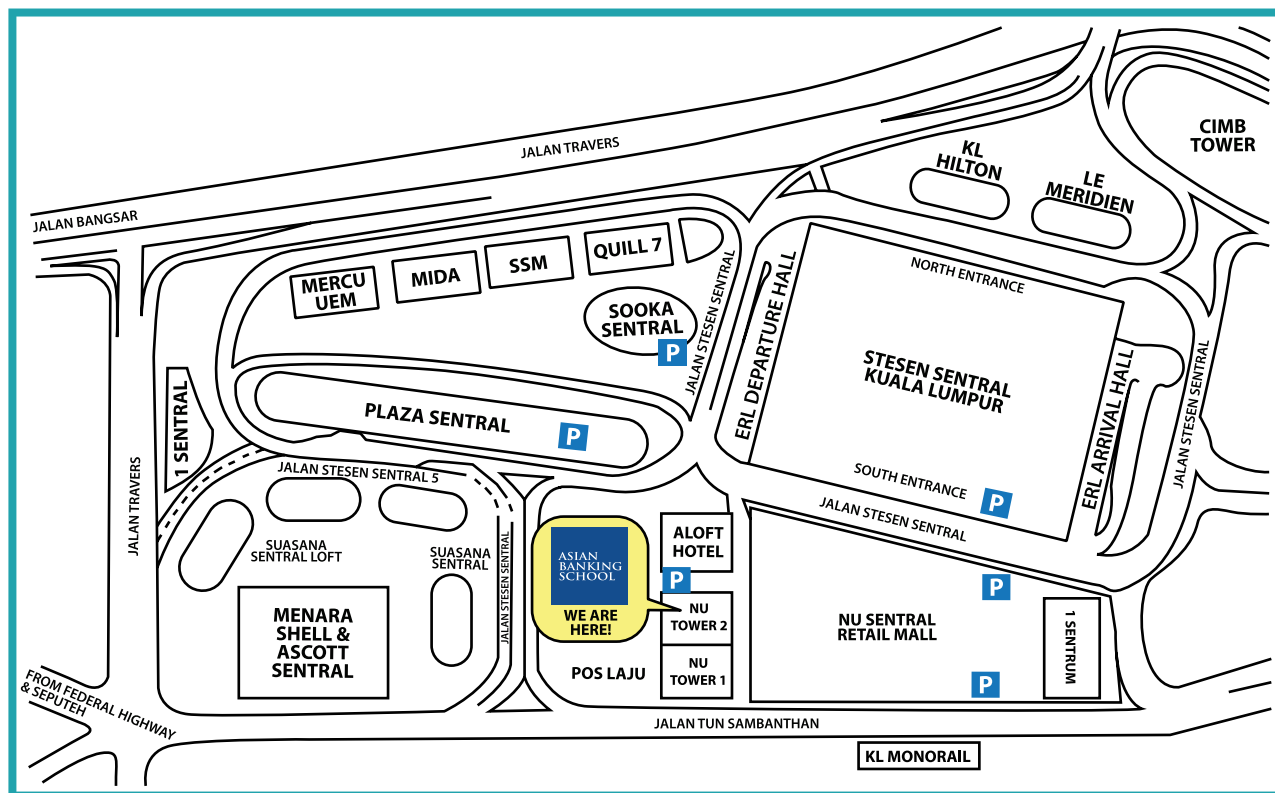
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As the industry's preferred partner in learning and development, ABS offers customised and open enrolment training programmes that cover a comprehensive list of banking areas developed by its Specialist Training Consultancy Team or in collaboration with strategic learning partners that includes some of the top business schools in the world. This includes its Executive Education programmes with Cass Business School in London, the University of Cambridge Judge Business School and INSEAD.

ABS also works closely with the Asian Institute of Chartered Bankers in raising competency standards for the industry through the delivery of training workshops related to professional qualifications developed and awarded by the professional body; and is the exclusive training partner for the Chartered Banker Institute in the UK. It plays a significant role in enriching the talent pipeline for the financial services sector through the industry recognised Financial Sector Talent Enrichment Programme (FSTEP) and Graduate Training programmes; and is also responsible for designing, developing and delivering the industry-wide Ethics and AML/CFT programmes.

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The Asian Banking School (ABS) is conveniently located in Nu Tower 2 in the new business hub of the city, Kuala Lumpur Sentral. Adjacent to Aloft Hotel and next to the NU Sentral Shopping Mall, it is only steps away from Kuala Lumpur Sentral Station, Malaysia's largest transit hub, and a 5-minute walk from the Monorail Station.



TRAVELLING TO ABS:

Option 1: Travel by car

- Park at NU Tower / Aloft Hotel visitor car park; or
- Park at NU Sentral

Option 2: Public transportation (KL Sentral Station)

- KTM Komuter
- Rapid KL LRT, Monorail, Bus
- KLIA Transit
- KLIA Express
- MRT (Muzium Negara Station)

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