

Level: Intermediate

# RISK BASED APPROACH (RBA) METHODOLOGY: Technical Workshop

## PROGRAMME DESCRIPTION

This programme will provide a step-by-step guide on how to apply RBA methodology in AML/CFT risk assessment. Participants will acquire the knowledge and skills to design and execute Relationship-based Risk Assessment (RbRA) and Business-based Risk Assessment (BbRA) and learn to document and support the overall risk results obtained for compliance reporting.

## LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Understand the fundamentals of Risk Based Approach (RBA)
- Apply the methodology of RBA in identifying, assessing and understanding the ML/TF risks
- Apply preventive and mitigation measures that commensurate with the identified risk level

## PARTICIPANT PROFILE

- All staff of AML/CFT Compliance and Financial Crime Officers
- Internal and external audit staff
- All staff of Reporting Institutions applicable under the AMLATFPUAA 2001 First Schedule
- Any other staff dealing with RBA Assessment

## TRAINING METHODOLOGY

Lectures and workshop-styled approach

## ABOUT THE TRAINER

Lim Poh Hong is Head of Compliance at one of the leading remittance companies with global presence in Malaysia. Prior to joining the Money Services Business (MSB) industry, she was a finance lecturer with several universities for more than 10 years.

She was a former President of the Group of Compliance Officers (GOCO) for the MSB industry and one of its pioneer committee members. Poh Hong was invited to share her experience in implementing RBA as a panel speaker at the National Compliance Conference in December 2018. Presently, she is an examination committee member for the Certified AML/CFT Compliance Officer (CAMCO) Programme jointly offered by the Asian Institute of Chartered Bankers and the Asian Banking School. She is also an industry panel expert for the Malaysia National Occupational Skills Standards (NOSS).

Poh Hong has an MBA (Finance) and a Bachelor of Science (Agribusiness) from Universiti Putra Malaysia (UPM), is a Certified AML Specialist from ACAMS (US) and is also a Certified Training Professional from the Finance Accreditation Agency (FAA).



## PROGRAMME STRUCTURE

### MODULE 1: What is RBA?

- Introduction to RBA
- Objectives of RBA
- RBA Application
- Key elements and main coverage of RBA implementation

### MODULE 2: RBA on Customers

- Creating customer's risk profile
- Identifying relevant risk factors for the customer
- Assigning individual customer risk scores and creating risk status
- Assigning corporate customer risk scores and creating risk status
- Assessing overall customer risk for the company

### MODULE 3: RBA on a Reporting Institution (RI)

- Creating the RI's risk profile
- Identifying risk factors for the RI
- Assigning the RI's risk scores and creating risk status
- Assessing company-wide ML/TF risks of the RI

### MODULE 4: Risk Mitigation and Control Measures

- Identifying relevant ML/TF risk mitigation and control measures on:
  - The RI
  - The customers
- Undertaking risk monitoring and review

### Practical Session

- Develop and apply the RbRA and BbRA

## PROGRAMME DETAILS

**Date:** 1 December 2020

**Time:** 9:00 am – 5:00 pm

**Venue:** Sunway Putra Hotel, Kuala Lumpur

## PROGRAMME FEES\*

**MYR 900**

AICB Members

**MYR 1,100**

Non-AICB Members

*\*Subject to 6% Service Tax*

## ABOUT US

The **ASIAN BANKING SCHOOL (ABS)** is dedicated to developing talent and is the largest specialised provider of quality banking training programmes in the ASEAN region.

As the industry's preferred partner in learning and development, ABS offers customised and open enrolment training programmes that cover a comprehensive list of banking areas developed by its Specialist Training Consultancy Team or in collaboration with strategic learning partners that includes some of the top business schools in the world. This includes its Executive Education programmes with Cass Business School in London, the University of Cambridge Judge Business School, the Frankfurt School and INSEAD.

ABS also works closely with the Asian Institute of Chartered Bankers in raising competency standards for the industry through the delivery of training workshops related to professional qualifications developed and awarded by the professional body; and is the exclusive training partner for the Chartered Banker Institute in the UK. It plays a significant role in enriching the talent pipeline for the financial services sector through the industry recognised Financial Sector Talent Enrichment Programme (FSTEP) and Graduate Training programmes; and is also responsible for designing, developing and delivering the industry-wide Ethics and AML/CFT programmes.

For more details, kindly contact:

**Asian Banking School** (201201039737)

Level 3, Bangunan AICB

10, Jalan Dato Onn

50480 Kuala Lumpur, Malaysia

Name : Aazad Ananthan / Nurul Shakira

Tel : +603-2742 7822/7821 ext. 343/318

Email : [training@asianbankingschool.com](mailto:training@asianbankingschool.com)

Website : [www.asianbankingschool.com](http://www.asianbankingschool.com)

Connect with us also on:

 Asian Banking School

 Asian Banking School (ABS)

