

# GRADUATE TRAINING & FINANCIAL SECTOR TALENT ENRICHMENT PROGRAMME (FSTEP)

*Uberisation of Banking and Workplace Learning*



# WHAT WE DO



# HOW WE DO IT



## GRADUATE TRAINING



## EXECUTIVE EDUCATION

We believe in giving people the opportunity to make an early impact, maximising their talents and supporting their individuality in the organisation. With that in mind, we have specially created the following modules for our Graduate Training and Executive Education programmes:



### TECHNICAL ACUMEN LEARN TECHNICAL COMPETENCIES IN BANKING & FINANCE

*Elevate your credibility and expertise in the financial services industry by mastering specific domains in banking and finance. Go in-depth with professionally developed courses taught by industry practitioners you can bank on.*

- Conventional Banking
- Investment Banking
- Islamic Banking
- Financial Regulation
- AML/CFT/KYC/CDD
- Payment System
- Professionalism, Ethics & Regulation
- Banking/Finance Operations
- Business Simulation
- Retail Lending
- Business & Credit Lending
- Risk In Financial Services
- Corporate Governance



### S-MART EXECUTIVES (Self-Managed, Assertive, Reliant, Transforming Executives) LEARN HOW TO BRAND YOURSELF

*Let your presence light up the room! Master the art of standing out through an authentic, consistent and powerful character that draws strength from within.*

- Groomed For Success
- Business Etiquette Is My Business
- Up My Presentation
- Nailing The Project
- Connecting The Dots
- Real Deal
- Winning & Keeping Customers
- Action Selling
- Getting Results
- Transformational Executive Presence



### FLAME (Future Leaders And Managers Enrichment) LEARN HOW TO MANAGE & LEAD

*Inspire extraordinary results by leveraging on organisation's biggest asset - people. Work your magic through human psychology, team synergy and strategic execution to manifest what was once impossible.*

- Insight-Out
- Connect Beyond Words
- Strategic Business Simulation
- The Learning Catalyst
- Sustainable Energy Management
- Brick By Brick (Project Management)
- Catch Me If You Can
- Mindset Excellence
- Dealing With Difficult People
- Motivating The Heart
- Team Synergy
- Managing 360
- Leadership & EQ
- Mindful Communication
- Keep Your Cool
- Fundamentals Of Mgt & Leadership
- Empowering Trust
- Leading Change



### FINTECH INNOVATOR LEARN HOW TO BE AN INNOVATOR

*Challenge the boundaries of convention, disrupt the marketplace and blaze a trail for others to follow. Courageously venture forward by cultivating an entrepreneurial and innovative mindset.*

- Discover Disruptive Innovation
- Build An Innovation Mindset
- How To Quickly Create KPI-Relevant Innovation
- How To Build & Lead Innovation Teams
- How To Manage Agile Innovation Projects
- How To Build A United, Resilient & High-Performing Team
- The Corporate Innovator
- Strategic Innovation & Transformation
- Digital Innovation Library
- Business Model Canvas For Banking Products & Services
- The Innovation Pandora
- Rabbithole Ideation Lab For Corporate Hackathon



### ENGLISH@WORK LEARN HOW TO USE ENGLISH TO COMMUNICATE EFFECTIVELY IN A BUSINESS CONTEXT

*Demonstrate your mastery in using English and project a professional image. Stamp your mark on the corporate world through effective workplace communication.*

- Grammar For Business
- Email & Business Writing
- Essential Communicative English
- Practical Communicative English
- Communicative English For Executives
- Report Writing
- English For Live Chat Communication
- English For Service Providers (Help Desk)



# S-MART Executives

In challenging and thriving times, performance is constantly monitored and assessed. To cruise through the headwinds and stand out, aggressive performance review and identifying potentials are top priorities in any financial institution. The S-MART Executives programme focuses on an in-depth inside-out exploration of self-awareness that aspires towards self-improvement and excellence.

This programme is pivotal in supporting executives to actively learn, develop and grow continuously in the four main core A-components - Appearance, Action, Attitude and Articulation.

The S-MART Executives programme:

- Shakes and wakes up inner potential towards personal growth.
- Cultivates the dynamic selves to initiate, change, perform, adapt and aim for optimum results.
- Develops confident selves to thrive in changing and competitive environment.

In pursuit of a dynamic growth mindset, S-MART Executives programme focuses on the following self-driven behavioural dimensions - Self-Managed, Self-Assertive, Self-Reliant and Self-Transforming.

## SELF-MANAGED

Initiate work on issues, make decisions, organise work and ultimately being able to run on autopilot with minimal supervision to achieve corporate and personal goals and results

## SELF-TRANSFORMING

Adapt and change to stay relevant and competitive in changing and challenging times to produce consistent results



## SELF-ASSERTIVE

Communicate confidently and willingly to express improvements and ideas for better performance

## SELF-RELIANT

Tap on own wealth of knowledge, skills and resources to bring desirable changes to the workplace



1



### GROOMED FOR SUCCESS

CM

Embody appropriate corporate dressing, grooming and gain self confidence in projecting a professional image outlook

Display positive presence, poised deportment and gestures

2



### BUSINESS ETIQUETTE IS MY BUSINESS

CO CM

Exemplify principles of business and social behaviour

Demonstrate the art of handshakes and proper introduction to foster business relationships

Showcase dining etiquette and business entertaining skills

3



### UP MY PRESENTATION

BA OE CM RD

Develop confidence using power presentation tips

Master delivery techniques through your vocal variety and body language

Employ visual aids for strategic message impact and audience appeal

4



### NAILING THE PROJECT

BA OE CM RD CL

Apply key steps in a project cycle namely: planning, execution and evaluation

Align business results with project goals and objectives

Manage processes and expected outcomes with existing resources and constraints

5



### CONNECTING THE DOTS

CM RD CL

Adopt assertive communication to build a productive work relationship

Tap on questioning skills to gather information in decision-making and problem-solving

Embrace active listening to enhance interpersonal relationships at workplace

6



### REAL DEAL

CO CM RD CL

Develop the confidence and know-how to build a long-term mutually beneficial negotiation process

Obtain the best results from the inner workings of negotiation strategies

Ensure success in business environment through influencing, negotiating and building relationship

7



### WINNING & KEEPING CUSTOMERS

BA CO CM RD CL

Understand psychological needs of customers

Exude customer excellence through handling difficult customers

Respond actively to rectify damage control service situation

8



### ACTION SELLING

BA CO CM RD CL

Develop professionalism and confidence in selling

Engage and build long-term mutually beneficial relationship with customers

Gather information about customers' needs and pain points

Present the appropriate features and benefits of solutions

Close the deal

9



### GETTING RESULTS

CM RD CL

Establish and build their influence

Obtain faster and better results through effective delegation

Motivate and implement changes

10



### TRANSFORMATIONAL EXECUTIVE PRESENCE

CM RD CL

Project a powerful, natural and vibrant executive presence that inspires and creates buy-in

Create trustworthiness and rapport with new and existing stakeholders

Clear personal barriers to experience transformational executive presence

#### CORE COMPETENCY

BA Business Acumen  
CO Customer Orientation

OE Operations Excellence  
CM Communication

RD Results Driven  
CL Collaboration

LP Leaderpreneur



# Future Leaders And Managers Enrichment (FLAME)

The Future Leaders And Managers Enrichment (FLAME) programme is designed to groom the participants in the areas of leadership, teamwork and personal development. It can also be used as an assessment platform to determine the real crèmes of the crop.

A flame was chosen to signify the dispelling of darkness/ignorance and the spark of something new. Just like the philosophy of a flame, this programme will shed light and awareness on various areas of know-how to nurture a holistic and well-rounded future financial leader.



## LEADING SELF

If there's ever a doubt on where to expand your leadership skills, this is it. This is the 20% effort which will bring about 80% of results in your leadership journey – by working on self-mastery first.

## LEADING OTHERS

This domain aims to make  $1+1 = 3$ . Every individual effort executed strategically multiplies the impact on performance. Learn to create the conditions for collaborative performance.








## LEADING ORGANISATION

Volatile, Uncertain, Complex & Ambiguous (VUCA) defines the businesses of today. Steering the ship has never been harder and it will only get tougher with the advance of technology. Learn practical frameworks to navigate these rough waters.

1	2	3	4	5	6
INSIGHT-OUT	CONNECT BEYOND WORDS	STRATEGIC BUSINESS SIMULATION	THE LEARNING CATALYST	SUSTAINABLE ENERGY MANAGEMENT	BRICK BY BRICK (PROJECT MANAGEMENT)
CM CL	CO CM CL	BA RD CM CL LP	BA OE CL RD LP	RD CL	BA OE CM RD
<p>Overview of the entire programme and what's in it for the participants</p> <p>Revisit and look into their past, present and future and how it shapes who they are today</p> <p>Understand the importance of self-awareness that leads to self-discovery</p> <p>Explore personal traits, strengths and weaknesses</p> <p>Create a self-improvement plan for career development</p>	<p>Building rapport and deeper relationships</p> <p>Persuading and convincing others</p> <p>Negotiation</p> <p>Listening deeply</p>	<p>Teams will compete face-to-face using a board simulation</p> <p>Each team will have to plan and respond strategically in an increasingly tough market</p> <p>Teams to present their business case reports and findings from the simulation</p>	<p>Learning by doing - Apply skills and past experiences in this experiential case study/simulation</p> <p>Case study: Discuss and debate case studies of real companies and crisis to see the cause and effect relationships</p> <p>Problem solving simulation: Think critically, fail safely and problem solve a simulated business challenge using the information and resources provided</p>	<p>Time/Priority Management</p> <p>Willpower management</p> <p>Understanding and cultivating empowering habits</p>	<p>Learn the techniques of strategic implementation and articulating a strategy into organisational action</p> <p>Understand the dynamics of execution and how to keep projects and people on track</p>

<p>7</p> <p></p> <p><b>CATCH ME IF YOU CAN</b></p> <p>OE CM CL</p> <p>See the use of critical thinking and creative problem solving through the lens of a detective</p> <p>Analyse evidences, interview suspects and link fuzzy clues to reverse engineer an adrenaline-pumping crime scene</p> <p>Cut through the clutter and make sound judgement in a world filled with noise</p>	<p>8</p> <p></p> <p><b>MINDSET EXCELLENCE</b></p> <p>OE RD</p> <p>Understanding Mental Blueprints and how it govern behavioural patterns</p> <p>Discovering your Mental Blueprint and how to consciously design a more empowering one</p> <p>Applying the right attitude to live your ideal Mental Blueprint</p>	<p>9</p> <p></p> <p><b>DEALING WITH DIFFICULT PEOPLE</b></p> <p>CO OE CM CL</p> <p>Solving problems, not evading them</p> <p>Navigate through inevitable differences and conflicts</p> <p>Causes of 'difficult' behaviours</p> <p>Before you confront, prepare a script</p>	<p>10</p> <p></p> <p><b>MOTIVATING THE HEART</b></p> <p>CM CL</p> <p>Understanding the types of intrinsic and extrinsic motivations</p> <p>Learning how to get emotional buy-in and ownership from subordinates, peers and even bosses!</p>	<p>11</p> <p></p> <p><b>TEAM SYNERGY</b></p> <p>CM CL</p> <p>Understand the importance of teamwork</p> <p>Learn to manage pitfalls in team</p> <p>Learn to engage and motivate team members</p> <p>Learn to make team decisions</p>	<p>12</p> <p></p> <p><b>MANAGING 360</b></p> <p>CO OE CM CL</p> <p>Manage upwards, downwards and laterally as a young leader</p> <p>Learn to handle a multigenerational &amp; multicultural workforce sensitively and effectively</p>
<p>13</p> <p></p> <p><b>LEADERSHIP &amp; EQ</b></p> <p>OE CM CL</p> <p>Get to know the concept of Emotional Intelligence (EQ) and how does it affect you as a person and your leadership capabilities</p> <p>Know the areas of EQ and what you can do to train yourself through mindful, daily practices</p>	<p>14</p> <p></p> <p><b>MINDFUL COMMUNICATION</b></p> <p>CM CL</p> <p>Honing your interpersonal skills through mindful listening and speaking</p> <p>Building active listening skills through simple questioning techniques</p> <p>Giving and receiving feedback/correction</p>	<p>15</p> <p></p> <p><b>KEEP YOUR COOL</b></p> <p>CO CM CL</p> <p>Understanding stress and its impact of productivity</p> <p>How to be a stress buster - identifying your stress signals</p> <p>Dealing with difficult people - how to manage conflicts and differences</p> <p>Building bridges to difficult people</p>	<p>16</p> <p></p> <p><b>FUNDAMENTALS OF MANAGEMENT &amp; LEADERSHIP</b></p> <p>OE RD LP</p> <p>Explore the topic of leadership, leadership styles, tools and techniques</p> <p>Explore the topic of management, management styles, tools and techniques</p> <p>Look at the differences between leadership and management and when to use what</p>	<p>17</p> <p></p> <p><b>EMPOWERING TRUST</b></p> <p>OE CM RD CL</p> <p>Learning to entrust responsibilities, grant authority and create accountability</p> <p>Practical step-by-step to achieve effective delegation</p> <p>Briefing on the Social Catalyst project</p>	<p>18</p> <p></p> <p><b>LEADING CHANGE</b></p> <p>BA OE RD CL</p> <p>Understand change management and its importance</p> <p>Understand and recognise the level and types of change in an organisation</p> <p>Discuss the resistance of change</p> <p>Learn how leaders and managers can lead change in the organisation</p>

#### CORE COMPETENCY

 Business Acumen	 Operations Excellence	 Results Driven	 Leaderpreneur
 Customer Orientation	 Communication	 Collaboration	



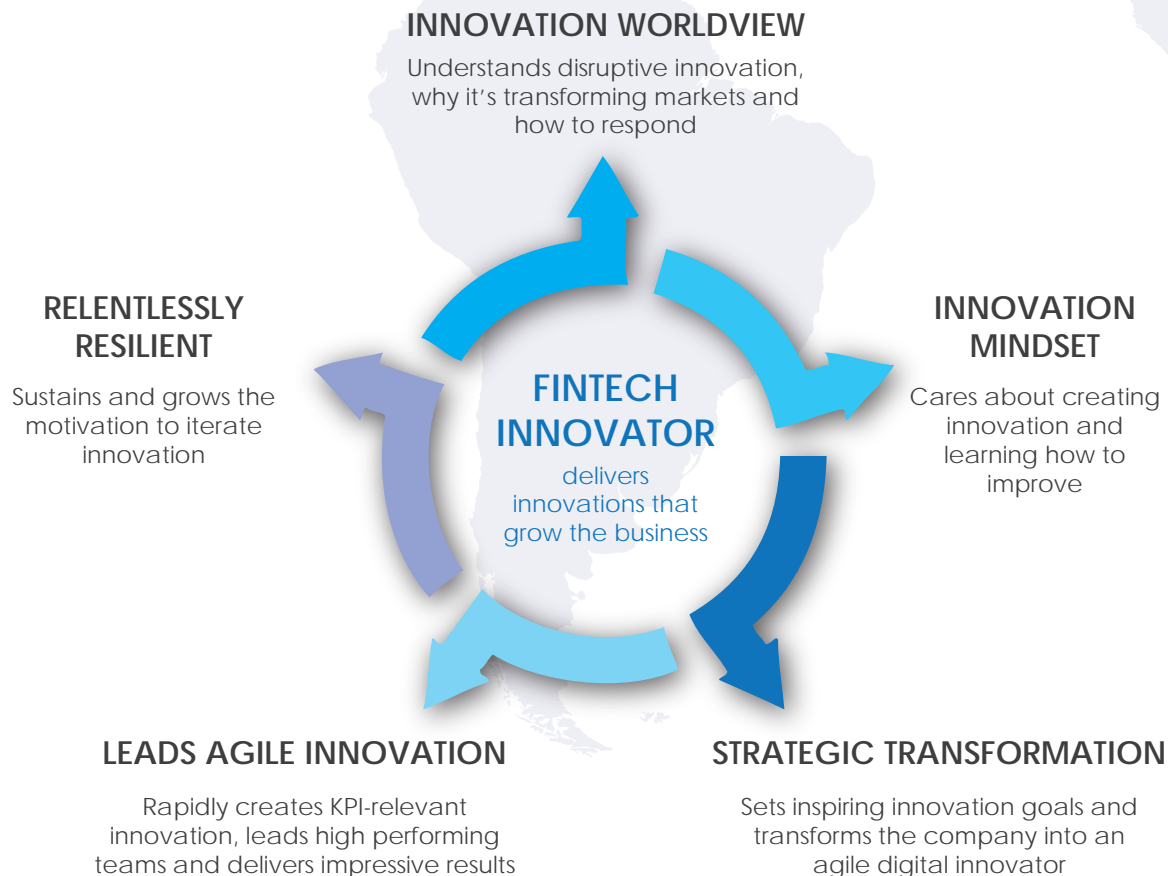
# FINTECH INNOVATOR

Disruptive innovation is rapidly transforming the financial services reality. It's time to change but who will lead that change? Traditional employees lack the mindset, skillset, and tools to transform the business. A new kind of talent is needed to transform the business into an agile digital innovator so that it can survive and thrive in today's complex and chaotic reality.

The FinTech Innovator programme transforms traditional employees into corporate innovators. It enables employees to discover disruptive innovation, build an innovation mindset and develop the strengths, skills and tools they will need to transform the business.

Employees become corporate innovators by:

- Understanding the threats and opportunities of disruptive innovation.
- Developing an innovation mindset.
- Building the strategies that transform the business into an agile digital innovator.
- Rapidly creating KPI-relevant innovations that create growth.
- Building and leading high-performing innovation teams.
- Scaling the impact of their innovations to create competitive advantage.
- Learning how to grow their resilience over multiple innovation cycles.



1



### DISCOVER DISRUPTIVE INNOVATION

BA RD LP

Enable employees to learn about disruptive innovation and how to respond to it

Participants create future scenarios for the company based on today's innovation trends, then imagine they are the CEO and decide what to do now to ensure future success

What do you need to change to succeed? Create innovative ideas on how to win in today's disrupted reality and test them using 'innovation wargaming' (a powerful turn based simulation) to identify the best innovations

2



### BUILD AN INNOVATION MINDSET

CM LP

Engage employees to upskill and transform themselves by developing an advanced innovation mindset

Employees apply the new mindset to their lifepath and individually identify the new skills and roles they want to develop to make sure they are ready for the future

3



### HOW TO QUICKLY CREATE KPI-RELEVANT INNOVATION

BA CO RD

Use the creativity cycle to develop commercially realistic innovation ideas that could quickly provide competitive advantage

Expand on the innovation ideas using the value proposition canvas to build a minimum viable concept that can be easily implemented after the workshop

4



### HOW TO BUILD & LEAD INNOVATION TEAMS

CM CL LP

Upgrade leadership skills by mastering the differences between transactional, transformational and complexity leadership and learn how to use these 3 styles to build an innovation team

Be more than a manager by learning how to lead a high-performing innovation team using vision, values and adaptive strategy

5



### HOW TO MANAGE AGILE INNOVATION PROJECTS

BA OE RD

Deliver better innovation projects faster by mastering the difference between waterfall and agile project management methodologies

Learn how to organise an agile innovation project using the '7 Pillars' framework and control it using Objectives and Key Results (OKRs)

6



### HOW TO BUILD A UNITED, RESILIENT & HIGH-PERFORMING TEAM

CM CL LP

Grow the energy of your team by managing their physical, mental, emotional and social health

Increase the resilience and motivation of the team by developing an authentic and powerful sense of purpose.

Build trust by addressing the sources of conflict in the team as well as developing the strengths of that team

7



### THE CORPORATE INNOVATOR

BA LP

Transform traditional managers into corporate innovators by mastering the skill of 'leading agile innovation'; the ability to rapidly create KPI-relevant innovations that grow the business

Enable managers to turbocharge their KPIs by rapidly creating exciting KPI-relevant innovation projects, generate internal political support for the project and rapidly complete the project to over-deliver on their KPI

8



### STRATEGIC INNOVATION & TRANSFORMATION

BA RD LP

Create a strategic analysis of the company's current reality by evaluating its current ability to cope with disruptive innovation

Identify how to transform the company's growth and future by building an advanced innovation ecosystem

9



### DIGITAL INNOVATION LIBRARY

BA CM LP

Join 28,000 corporate learners to master 15+ hours of advanced leadership, management, innovation and collaboration skills.

Access your learning on any device, anywhere, anytime for life

Certified by the London-based Institute of Leadership & Management

10



### BUSINESS MODEL CANVAS FOR BANKING PRODUCTS & SERVICES

BA RD LP CO

Take a step back to look at the one page view of big picture of the business, customers and value proposition

Think strategically and develop clearer plan of your business (products and services) growth

Analytically use hard data and heart data points to make better decision when embarking innovation

11



### THE INNOVATION PANDORA

BA RD LP CO

Intense creative session to get the senior level managers and top management out of comfort zone

Playfully push the boundaries to create compelling, creative and innovative customer experience for their main products and services

Heighten collaborative culture, break silos and tear down artificial boundaries

12



### RABBITHOLE IDEATION LAB FOR CORPORATE HACKATHON

BA RD LP CO

Rapidly turn ideas into tangible prototype

Collaborate to launch ideas for testing with potential users

Work with actual mobile programmers to develop actual working prototypes

#### CORE COMPETENCY

BA

Business Acumen

OE

Operations Excellence

RD

Results Driven

LP

Leaderpreneur

CO

Customer Orientation

CM

Communication

CL

Collaboration





# ENGLISH@WORK

In nailing the complex daily work requirements spot on, executives need to demonstrate their mastery in using English, i.e. the international business language.

This course will aid executives in stamping their mark on the corporate world by advancing their effectiveness in workplace communication, especially in terms of fluency and accuracy in speaking and writing.

This course will provide a multi-sensorial experience involving activities to apply acquired new skills and reinforce language awareness. Language activities will cover authentic and lively illustrations that encompass raw and real daily work situations.

The English@Work programme series is designed for executives in the financial services industry to:

- Project a positive and professional image through high standard of oral and written communication.
- Communicate ideas fluently and clearly.
- Enhance confidence in using English to manage social and job-related functions.
- Reinforce understanding of key grammar structures.



GRADUATE  
TRAININGEXECUTIVE  
EDUCATION

1

**GRAMMAR FOR BUSINESS**

Possess a clearer understanding and mastery of the basics of English grammar

Use grammar to develop the skill of writing in a simple, direct and business-like manner

Use grammar rules to analyse own writing

Project a positive and professional competency through written correspondence enhance confidence, motivation and efficiency in using English

2

**E-MAIL AND BUSINESS WRITING**

Match their writing styles to readers' requirements

Plan and organize their writing to achieve their goals

Write clear, concise, complete, courteous and correct e-mails, minutes and written correspondence

Project a positive and professional image through written correspondence

Build confidence and motivation in using English

3

**ESSENTIAL COMMUNICATIVE ENGLISH**

Enhance confidence and motivation in using English

Develop effective oral English skills to handle daily social and job-related functions including effective communication with customers, product and service description

Understand the structure of the English grammar system

Project a positive and friendly image

Deliver standard and neutral articulation and pronunciation

4

**PRACTICAL COMMUNICATIVE ENGLISH**

Enhance confidence and motivation in using English in group communication

Develop effective oral English skills to handle daily social and job-related functions including communicating with peers and superiors, meetings and problem-solving

Understand the structure of the English grammar system and use accurate language in writing and questioning.

Use tactful and effective language in work relationships

Project a positive and friendly image. Deliver standard and neutral articulation and pronunciation

5

**COMMUNICATIVE ENGLISH FOR EXECUTIVES**

Enhance confidence and motivation in using effective English

Develop effective oral English skills to handle daily social and job-related functions including managing difficult situations and presentation

Understand the structure of the English grammar system and use accurate language in persuasive and letter writing

Use tactful and effective language in work relationships

Project a positive and friendly image

Deliver standard and neutral articulation and pronunciation

6

**REPORT WRITING**

Study the appropriate use of language in reports

Choose words that support message

Develop a positive report style

Outline and review the structure in advance

Identify and obtain the right information

Present well-analysed results in a report

Present information attractively

Edit draft for maximum impact

7

**ENGLISH FOR LIVE CHAT COMMUNICATION**

Write clear, concise, complete, courteous and correct written communication

Improve chat etiquette knowledge and ability to communicate with customers

Project a positive and professional online image

Build confidence and motivation in using customer-focused language

Communicate quickly and effectively in writing

Manage customer expectations through using appropriate writing style and content

8

**ENGLISH FOR SERVICE PROVIDERS (HELP DESK)**

Develop fluency and accuracy of oral English skills to handle daily job-related functions including describing issues and problem-solving

Use tactful and effective language

Project a positive and friendly image



# TALENT SOURCING

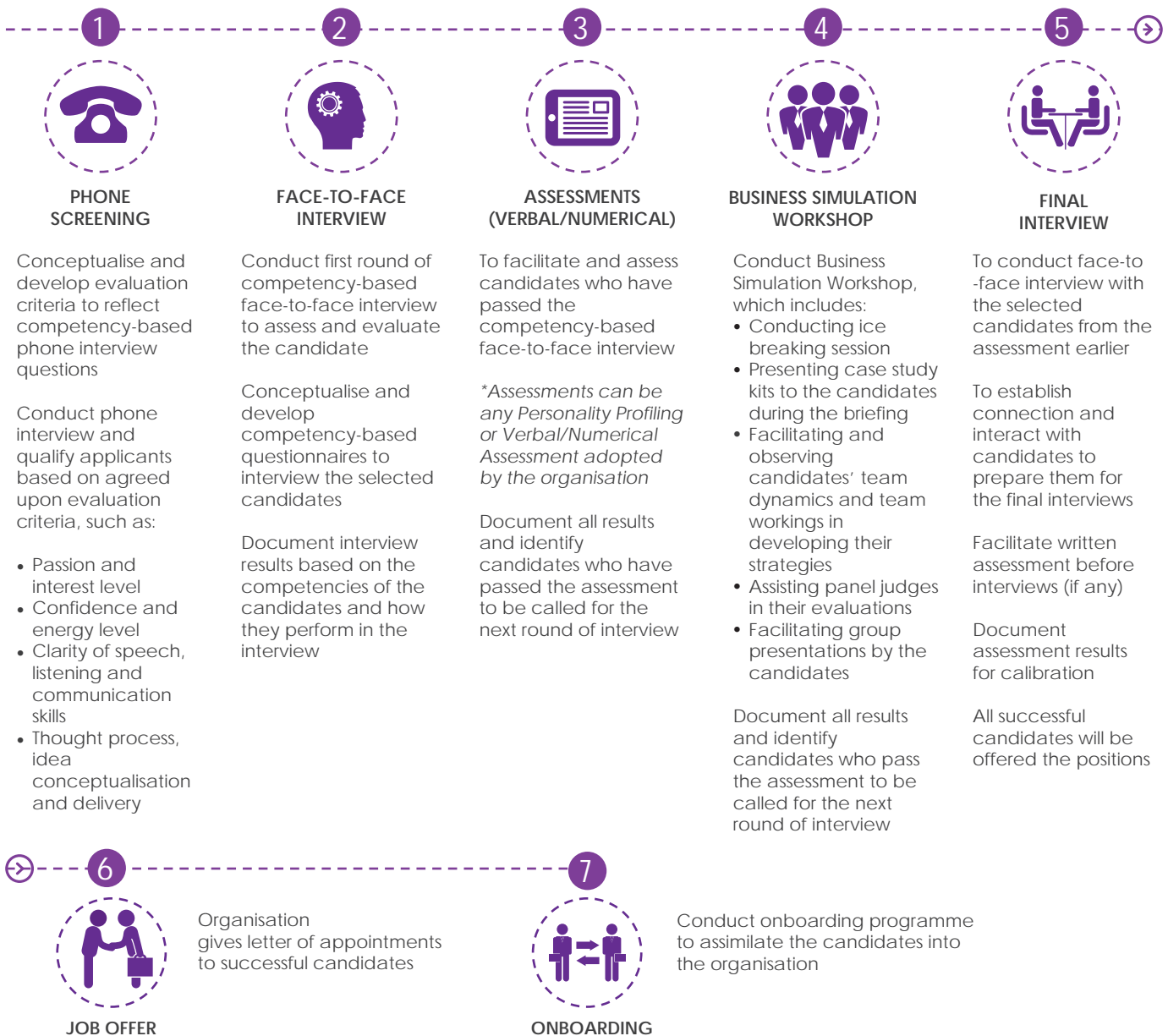
We believe talents are very crucial in contributing to the success and growth of an organisation. However, more often than not, it is a challenging task to find a good talent. Knowing that, we would like to assist you to source for the right talent for the right job.



Today, many initiatives are implemented to attract talents and ultimately develop them to become the best in the industry. With access to our team of consultants, internally and with partner consulting firms, we can work closely with you to provide the best possible solutions to your talent sourcing needs.

We can customise talent sourcing strategies that suit your organisational needs; from attracting, assessing, selecting, onboarding to programme launch.

Journey map of our talent sourcing initiatives:





# CERTIFICATION

We believe in enhancing your career, having the advantage over your competitors and to be a better candidate for future job advancement with certification. With that in mind, we proudly present to you the following certification programme:

## CERTIFIED PRACTITIONER IN ANTI-MONEY LAUNDERING & COUNTER FINANCING OF TERRORISM (CP-AML/CFT)

The Certified Practitioner in Anti-Money Laundering and Counter Financing of Terrorism (CP-AML/CFT) is a certification in AML/CFT and designed for those who wish to deepen their understanding on the complexities surrounding AML/CFT today. This certification is a comprehensive programme, covering a wide range of in-depth modules of AML/CFT. This programme is designed for those who perform or wish to undertake a specialist role in AML/CFT functions. By the end of the programme, you will have a detailed understanding of AML/CFT and its functions, industry specific knowledge and information beneficial to your role within the industry.

### TARGET AUDIENCE

- All staff of AML/CFT Compliance and Financial Crime Officers
- Managers with responsibility for Internal Compliance Controls
- Internal and External Audit Staff
- Accountants, Company Secretaries and Legal Practitioners
- All Reporting Institution staff under AMLATFPUAA 2001 First Schedule

### COURSE FORMAT

- 4-month part-time programme
- 2-full day face-to-face workshops or 4 evening sessions
- Comprehensive course manuals
- An intermediate level programme resulting in professional certification

### ASSESSMENT

- 30% - Submission of one assignment (3,000 - 3,500 words)
- 70% - Two hours of 100 Multiple Choice and Multiple Selection Questions

### ENTRY REQUIREMENT

- Have a good general education; and
- Have the ability to complete the reading and written aspects of the programme in English

### CERTIFICATE AWARDED

Upon successfully completing the programme, you will be awarded a certificate of completion jointly by Asian Banking School (ABS) and Asian Institute of Chartered Bankers (AICB)

### DESIGNATION

Individuals who have completed this certification programme and with at least 3 years of relevant work experience is eligible to apply to be an Associate Member of Asian Institute of Chartered Bankers (AICB). Upon approval, members are entitled to use the designation, Certified Practitioner - AML/CFT (CP - AML/CFT)

### CONTINUING PROFESSIONAL DEVELOPMENT

Associate members who have attained the professional designation must retain membership with AICB, adhere to the AICB's Code of Professional Conduct and Continuing Professional Development (CPD) requirements. Members are required to undertake:

- a) at least 35 hours of relevant CPD activity in each calendar year, of which 20 hours must be structured CPD; and
- b) at least 10 hours of the structured CPD must be in areas directly related to Banking and 5 hours of the structured CPD in Ethics

# LIST OF MODULES



## HISTORY OF MONEY LAUNDERING & TERRORISM FINANCING

Origin of money laundering and typologies

Origin of terrorism financing and typologies



## WORLD UNITED AGAINST MONEY LAUNDERING & TERRORISM FINANCING

AML/CFT groups in the world

Financial Action Task Force (FATF)

Asia/Pacific Group on Money Laundering (APG)



## MALAYSIAN AMLATFPUAA & REGULATORY FRAMEWORK

Compliance Officer as "Gatekeeper"

Financial Intelligence and Enforcement Department

AMLATFPUAA 2001 (The Act)

Relevant Provisions of The Act

Dealing with Law Enforcement Agencies



## IMPLICATION OF NON-COMPLIANCE IN AML/CFT

Reputation & financial losses

Collateral confiscation

Licence revocations



## AML/CFT GUIDELINES IN MALAYSIA

Applying Risk-Based Approach (RBA)

Customer Due Diligence (CDD)

Enhanced Customer Due Diligence (ECDD)

Ongoing monitoring

Legal arrangements



## REPORTING SUSPICIOUS TRANSACTIONS

Defining suspicious transactions

Identifying suspicious transactions

Monitoring red flags and process of reporting suspicious transactions

Maintaining quality of suspicious transactions



## SUPPORTING REGULATIONS

Mutual Assistance in Criminal Matters Act 2002

Strategic Trade Act (STA) 2010

The Prevention of Terrorism Act 2015

Security Offences (Special Measures) Act 2012



## AML/CFT REGIME ACROSS ASEAN COUNTRIES

Summary of AML/CFT regulations in Asean countries



## REVIEW OF AML/CFT CASES

Success of prosecution from local and international perspective

Issues relating to money laundering and terrorism financing cases



## THE WAY FORWARD

Evolving typologies in AML/CFT



# ABOUT ABS

The ASIAN BANKING SCHOOL (ABS) is the industry provider of premium learning development programmes. Dedicated to developing talents, it specializes in professional banking education and serves as a channel for intellectual content and thought leadership for the banking sector.

Created for the industry by the industry as an independent entity, ABS was built upon the decades-long experience of the Institute of Bankers Malaysia (IBBM) in delivering professional qualifications and training programmes. It was registered in 2012 and after its official launch by the Bank Negara Malaysia Governor at the end of 2013, ABS started full operations in August 2015.

At the helm of ABS is Chief Executive Officer Professor Colyn Gardner, who has had a long illustrious career immersed in banking. He has been a practising banker, a banking consultant to many of the world's largest banks and organisations, an innovative training course developer, a professor of banking, a successful Conference Speaker on banking topics and a corporate client of banks. He founded and built several companies that have been listed on the London Stock Exchange, including DC Gardner Group plc; the largest and leading banking training consultancy of its time, and a name that is still respected around the world today. His entrepreneurial and innovative spirit, commitment and strength in the areas of talent development has him steering ABS towards its strategic direction in delivering highly regarded training for banking professionals internationally.

As one of the industry's preferred partners in learning and development, ABS offers industry relevant training programmes that cover a comprehensive list of banking areas. These programmes are designed and developed in-house by our

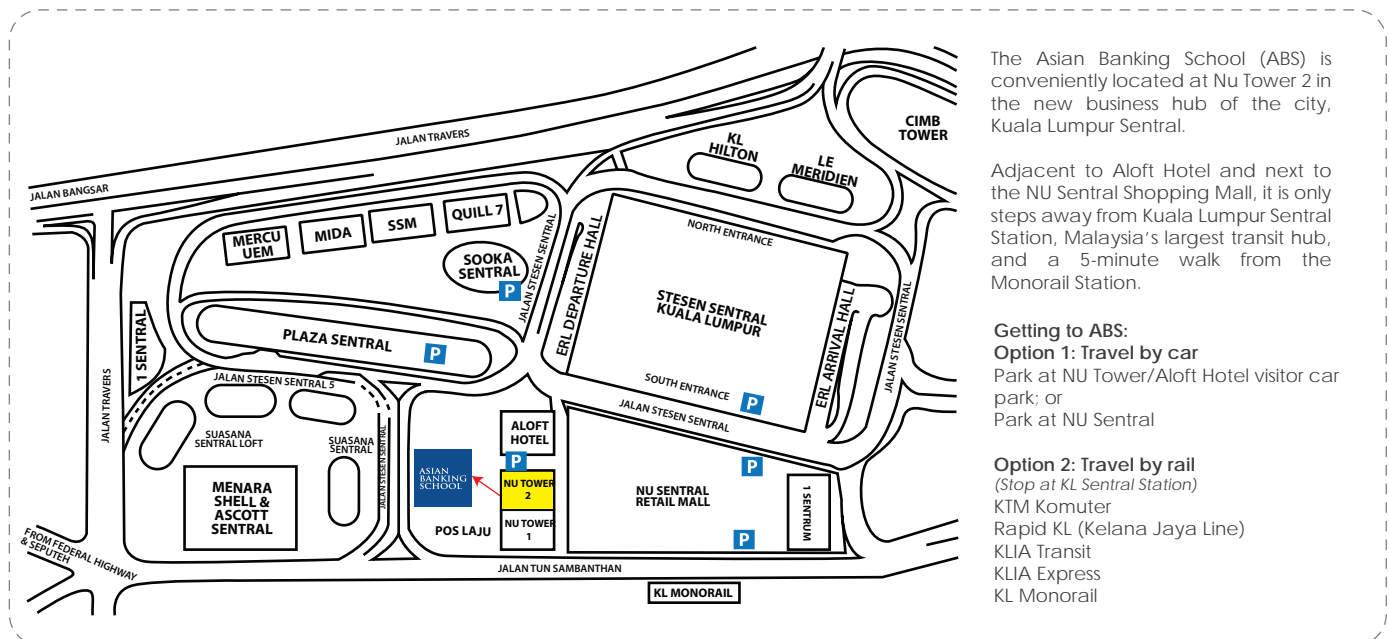
Specialist Training Consultancy Team or in collaboration with strategic learning partners that include some of the top business schools in the world. It also provides specialised consulting services and tailored learning solutions to meet the specific needs of our clients.

Through its sector-leading customised and open enrolment Executive Education programmes including the ground-breaking Global Banking Leaders Programme with Cass Business School and Summer School Programme with the University of Cambridge Judge Business School, ABS equips banks and its Senior Executives with the right knowledge to take them up and move them forward.

ABS works closely with the Asian Institute of Chartered Bankers in raising competency standards for the banking industry through the delivery of training workshops related to professional qualifications developed and awarded by the professional body.

ABS also plays a significant role in enriching the talent pipeline for the financial services sector through the industry recognised Financial Sector Talent Enrichment Programme (FSTEP) and Graduate Training programmes.

Guided by the transformation blueprint for the Malaysian banking education landscape, it is the aim of ABS to innovate the approach of developing talents, and in turn raise the calibre and dynamism of professionals in the industry.



For training enquiries, please contact:  
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