

ABS | PUBLIC PROGRAMMES

# COMPLIANCE & OPERATIONAL RISK

BUILDING COMBINED RESILIENCE  
IN MANAGING RISK



## OVERVIEW



HRDC PROGRAMME NO  
10001671725



### WHEN

29 June 2026 | 9:00 AM - 5:00 PM

### WHERE

Asian Banking School

### LEARNING LEVEL

Intermediate

### PROGRAMME FEE\*

MYR 1,900

\*Subject to 8% Service Tax Per Pax

To register for this programme, please visit the Public Programme page on our website at [www.asianbankingschool.com/our-programmes/public-programmes](http://www.asianbankingschool.com/our-programmes/public-programmes)

In today's fast-evolving financial landscape, financial institutions face increasing exposure to complex compliance obligations and operational risks driven by regulatory change, digital transformation, cyber threats and third-party dependencies.

Building resilience in this environment requires leaders to not only understand existing frameworks but also anticipate and prepare for emerging risks that can disrupt operations, reputation, and regulatory standing. This programme is designed to equip compliance, audit and operational risk professional, frontline and operations' staff with the knowledge, tools, and forward-looking strategies to effectively manage compliance obligations linked with operational risk. This programme will provide a deep dive into identifying emerging risks, assessing vulnerabilities, implementing robust controls and ensuring organizational agility in responding to unforeseen challenges.

## METHODOLOGY

Lecture including presentations, case studies and discussions

## PARTICIPANT PROFILE

Staff in the frontline, operations, compliance, operational risk and audit

## PROGRAMME OUTLINE

### Learning Objectives

By the end of the programme, participants will be able to:

- Recognize the intersection of compliance and operational risk in today's regulatory and business context
- Understand how new risk drivers affect resilience strategies
- Apply governance, oversight, and accountability principles in managing compliance and operational risk effectively
- Build resilience through proactive compliance risk identification, stress testing, scenario planning and effective compliance risk culture

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### Programme Outline

#### **Module 1: Understanding the Evolving Landscape of Compliance & Operational Risks**

- Defining Operational Risk vs Compliance Risk
- Risk Taxonomies – Conduct risk, product risk, cyber risk, etc
- Regulatory and international expectation
- External drivers – Digital disruption, supply chain risks, economic volatility etc.
- Activity 1: Group discussion (Supply Chain Vendor Failure)

#### **Module 2: Identification and implementation of measures to manage emerging new risks**

- Emerging tech related risks
- Cyber compliance obligations
- Reliance on supply chain and implementing measures to manage all 3<sup>rd</sup> party related risks
- Business continuity resilience framework
- Activity 2: Case Study (Penalty by regulator for 3<sup>rd</sup> party failure 3<sup>rd</sup> party failure)

#### **Module 3: Strengthening governance, controls and monitoring:**

- Board and senior management accountability
- Compliance monitoring and tools
- 3 lines of defence collaboration
- Crisis escalation and mediation
- Activity 3: Board crisis role play (IT outage of core banking system)

#### **Module 4: Building future-ready compliance & operational risk resilience:**

- Designing compliance & ops risk appetite
- Scenario planning & stress testing practices
- Enhancing practices to manage reputational risks
- Leveraging technology to build future ready mitigation system
- Activity 4: Group discussion (Automating key areas of monitoring through AI system)

### TRAINER

V. Maslamani is a seasoned financial services professional with over 35 years of experience across compliance, AML/CFT, corporate governance, operational risk, trade finance, treasury, commercial banking, investment banking, Islamic banking and insurance. He began his career at Bank Negara Malaysia, where he was involved in the examination and investigation of banks, Islamic banks, investment banks and stockbroking companies before moving into the private sector.

Throughout his career, he has held several senior leadership roles, including Senior Examiner at Bank Negara Malaysia, Head of Treasury Operations, Team Lead for Loans Recovery after the 1998 Financial Crisis, Branch Manager of KL Main Branch at Bank Utama, Head of Operational Risk at RHB Bank, Chief Compliance Officer of RHB Bank and Group Chief Compliance Officer of RHB Banking Group. He later joined Al Rajhi Bank, where he successfully restored its USD correspondent banking relationship by strengthening the bank's compliance standards.

Professionally, he is a Chartered Banker, Chartered Professional in Islamic Finance and Certified Professional in AML/CFT. He holds Bachelor's degrees in Accounting and Economics. He has contributed significantly to Malaysia's compliance landscape, including chairing the Compliance Officers' Networking Group, supporting the development of AML/CFT Compliance Certification, organising key financial crime conferences and establishing compliance-related industry initiatives. He is also a respected speaker and trainer known for his strong ethics, business insight and ability to influence stakeholders.

## ABOUT ASIAN BANKING SCHOOL

The **ASIAN BANKING SCHOOL (ABS)** is dedicated to developing talent and is the largest specialised provider of quality banking training programmes in the ASEAN region.

As the industry's preferred partner in learning and development, ABS offers customised and open enrolment training programmes that cover a comprehensive list of banking areas developed by its Specialist Training Consultancy Team or in collaboration with strategic learning partners that includes some of the top business schools in the world. This includes its Executive Education programmes with Bayes Business School (formerly Cass) in London, the University of Cambridge Judge Business School, Frankfurt School of Finance and Management, INSEAD, the University of Edinburgh Business School, Bologna Business School, Bangor Business School, ESSEC Business School, Tsinghua University and the Saïd Business School, University of Oxford.

ABS began operations in 2015 and has continued to set the standard for developing talent in the banking industry through its innovative, unique, and relevant training programmes that have transformed the banking education landscape. In 2023, ABS established its Singapore office as part of its expansion plans to provide personalised training consultancy services within the region and is well positioned to be a gateway to the global markets.

## CONTACT US

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