

An abstract graphic design featuring a series of overlapping, curved, light blue and white shapes that create a sense of depth and movement, resembling a stylized spiral or a series of nested, curved planes. The background is a solid light blue.

ASIAN
BANKING
SCHOOL

JANUARY – JUNE 2025 PUBLIC PROGRAMMES

BANKING OPERATIONS

BRANCH MANAGEMENT

COMPLIANCE

CORPORATE FINANCE

CREDIT

DIGITAL BANKING

FINANCE / AUDIT

FINTECH / INNOVATION

INVESTMENT BANKING

LANGUAGE

MULTI-DISCIPLINES

PROFESSIONALISM & ETHICS

RELATIONSHIP MANAGEMENT

RISK MANAGEMENT

SOFT SKILLS

SUSTAINABLE FINANCE

TRADE FINANCE

TRANSACTION BANKING

TREASURY & CAPITAL MARKET

WEALTH MANAGEMENT

ABOUT OUR PROGRAMMES

Asian Banking School (ABS) offers leading-edge and industry relevant training programmes that cover a comprehensive list of banking areas. They are designed and developed in-house by our Specialist Training Consultancy Team who are all HRD Corp Certified Trainers or in collaboration with strategic learning partners that includes some of the top business schools in the world.

Our open enrolment programmes are generally offered on a six-month interval to allow us to continuously review and update our course materials based on a more intuitive understanding of what banks and other financial institutions need in this fast-changing dynamic financial services landscape.

The programmes listed in the following pages are all HRD Corp and STF Credit claimable and are delivered in differing methods through face-to-face classrooms, online learning or hybrid. Many of these can be customised as in-house training to suit the needs of your organisation. Aside from what you see here, ABS has over 300 programmes that are HRD Corp claimable.

ABS also provides a broad spectrum of consultancy services to create tailor-made training programmes that are specifically aligned with your organisation's strategic learning requirements.

**OUR TRAINING PROGRAMMES ARE
GROUPED INTO THE BANKING AREAS OF:**

Please visit
www.asianbankingschool.com/our-programmes
to find out more details about our programmes.



TABLE OF CONTENTS	PAGE
1. <u>COMPLIANCE</u>	03
2. <u>CREDIT</u>	16
3. <u>DIGITAL BANKING</u>	18
4. <u>INVESTMENT BANKING</u>	26
5. <u>PROFESSIONALISM & ETHICS</u>	31
6. <u>RISK MANAGEMENT</u>	36
7. <u>SUSTAINABLE FINANCE</u>	46
8. <u>TRANSACTION BANKING</u>	64
8. <u>TREASURY & CAPITAL MARKET</u>	66

PROGRAMME CALENDAR 2025

PROGRAMME TITLE	LEARNING LEVEL	PAGE	FEB	MAR	APR	MAY	JUN
COMPLIANCE Understanding Foreign Exchange Policy and its Application in Banking Transactions	Foundation	03			14 – 15		
AML/CFT Essentials: Sanctions Management	Intermediate	06			17		
AML/CFT Essentials: STR Tips, Obligations and Processes (TOP)	Intermediate	08					11
Foreign Exchange Policy (FEP): Application of Rules of Borrowing and Lending (Notice 2)	Intermediate	10				8	
Foreign Exchange Policy: Application of Rules on Investment in Foreign Currency Assets (Notice 3)	Intermediate	12				26	
Ultimate Beneficial Owner: Overcoming Challenges to Identify UBO	Advanced	14					24
CREDIT Deciphering the Role of Interest Rates in Credit Analysis	Foundation	16				27	
DIGITAL BANKING Artificial Intelligence in Banking and Finance	Intermediate	18				21	
Data-Driven Decision Making: Harnessing the Power of AI	Intermediate	20					17
Digital Transformation: “Banking is Necessary, Banks are Not”	Intermediate	22			8		
Machine Learning for Credit Scoring and Risk Management	Intermediate	24					30
INVESTMENT BANKING Introduction to Investment Banking	Foundation	26				13	
The Psychology of Finance and Investment Decisions	Intermediate	28					9
PROFESSIONALISM & ETHICS Introduction to Ethics in Banking	Foundation	31	12 – 21			6	
Business Ethics for Managers	Advanced	34				22	
RISK MANAGEMENT Climate Risk Management: Stress Testing, Scenario Analysis, Climate Risk Models and GHG Accounting	Intermediate	36		24			
Managing Wholesale Market Conduct	Intermediate	38			14		
Navigating Geopolitical Risk in the 21st Century	Intermediate	40			28		
Operational Risk Management	Intermediate	42					12
Wholesale Market Conduct - Risk Assessment, Surveillance and Compliance	Intermediate	44				19	
SUSTAINABLE FINANCE Understanding SDGS & Climate Risk Awareness for Banks	Foundation	46				14	
Climate Change and Principle-based Taxonomy (CCPT)	Intermediate	48		19			
ESG and Sustainable Finance: Latest Trends, Client Engagement and Supporting Clients’ Sustainability Journey	Intermediate	50			16		
ESG Disclosure, Reporting and Compliance	Intermediate	52					26
ESG in Asset and Wealth Management	Intermediate	54					4
Certified Expert In Biodiversity Finance	Advanced	56		1			
Certified Expert In ESG & Impact Investing	Advanced	58		1			
Certified Expert In Sustainable Finance	Advanced	61		1			
TRANSACTION BANKING The Custodian Bank: Then, Now and the Future	Intermediate	64			10		
TREASURY & CAPITAL MARKET Bond Pricing and Interest Rates: Interpreting Signals for Economic Forecasting	Intermediate	66				29	
Evolution of Basel Capital Adequacy Frameworks: Concepts and Principles	Intermediate	68				28	

Note: All information presented is correct at the time of publication but may be subject to change.

UNDERSTANDING FOREIGN EXCHANGE POLICY AND ITS APPLICATION IN BANKING TRANSACTIONS

The Foreign Exchange Policy (FE Policy) is part of the broad prudential toolkits that generally aim to preserve Malaysia's monetary and financial stability. The policy has become a 'compliance-focused area' among financial institutions in Malaysia and bank officers should have comprehensive understanding of the policy to ensure reasonable compliance and adopt market standards in the due diligence process.

This two-day programme will explain the rules within the FE Policy and how they are being applied in banking transactions. All seven Notices in the policy will be covered including the Directions to Financial Institutions and Minimum Due Diligence.

PROGRAMME DETAILS

WHEN

14 – 15 April 2025
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 2,500

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001222028

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Interpret key rules of the FE policy
- Apply respective rules in banking transactions
- Undertake appropriate due diligence measures to ensure compliance

TRAINING METHODOLOGY

Interactive presentation, case scenarios, quizzes and sharing of practical experience

TRAINING REQUIREMENTS

Must have a minimum of 2 years' working experience in handling banking transactions involving FE Policy

PARTICIPANT PROFILE

Staff in banking institutions with working experience of at least 2 years in any business units or back-office department who deals with banking transactions involving FE Policy rules

PROGRAMME OUTLINE

Overview of Foreign Exchange (FE) Policy

- FE Rules by Balance of Payment components
- Balancing between the benefits and costs of capital flows
- FE Rules by Legal Notices
- Laws applicable to the FEA Rules
- FE rules of neighboring countries

Directions to Financial Institutions

- General operational requirements
- Requirements for submission of report on breaches and non-compliances

Understanding the Structure and Rules of FE Policy

- 4Ws Approach
- Activity / Discussion

Key terminologies in FE Policy

- Resident & non-resident, Labuan entities, group of entities, parent-subsidiary relationships etc

Hedging of currency and dealings in gold and precious metal (Notice 1)

- Key pointers
- Related definitions
- Dealings in currency by Resident: buying & selling of foreign currency against the Ringgit
 - » Own account transaction
 - » Dynamic hedging framework for institutional investors
 - » Transaction on behalf of related entities or clients
- Dealings in currency by non-resident: buying & selling of foreign currency against the Ringgit
 - » Own account transaction
 - » Dynamic hedging framework for institutional investors
 - » Transactions on behalf of related entities or clients
- Appointed overseas office framework
- Buying and selling of foreign currency against another foreign currency by residents and non-residents
- Dealings in gold and other precious metals
- Foreign Currency Trading
- Case Scenarios

Obtaining and Extending of Borrowing and Guarantee (Notice 2)

- Key pointers
- Related definitions
- Borrowing by resident individual, sole proprietor, partnership and entity in :
 - » Ringgit from non-resident
 - » Foreign currency from resident and non-resident
- Borrowings by non-residents in Ringgit and foreign currency
- Exchange of debts
- Financial & non-financial guarantee
- Case Scenarios

Investment in Foreign Currency Assets (Notice 3)

- Key pointers
- Classifications of investment transactions
- Investment in foreign currency asset by
 - » resident individual, sole proprietor and partnership
 - » resident entity
 - » resident intermediaries
- Case Scenarios

Payments, Receipts and Account Operations (Notice 4)

- Key pointers
- Related definitions
- Payment and receipts for approved transactions
- Payment involving Specified Persons and Restricted Currency
- Payment/receipts in Ringgit between
 - » a non-resident and resident
 - » non-residents
- Opening and maintaining of Ringgit account by non-resident
- Management of Ringgit Assets by NR Financial Institution or NR Intermediary
- Payment/receipts in Foreign Currency between:
 - » residents
 - » resident & non-resident
- Opening and maintaining of foreign currency accounts
- Case Scenarios

Issuance of Securities and Financial Instruments (Notice 5)

- Issuance of Security and Islamic Security by resident & non-resident
- Issuance of financial instruments and Islamic financial instruments by licensed financial institutions and Bursa Malaysia
- Subscription or transfer of security or financial instrument
- Case Scenarios

Import and export of Currency (Notice 6)

Export of goods and retention of its proceeds (Notice 7)

- Key pointers
- Related definitions
- Scope of exports of goods
- Repatriation required of proceeds of export of goods
- Payment of proceeds of export of goods in Ringgit
- Case Scenarios

ABOUT THE TRAINER

SHAHRUL ADZUAN AHMAD

Shahrul Adzuan Ahmad is a Training Consultant at the Asian Banking School and has 27 years of working experience in the banking sector, with 24 of those years doing training and development at several banks.

His working experience includes branch operations, credit, training administration support as well as developing, delivering, and facilitating training programmes. The training programmes that he has conducted include Foreign Exchange Policy (formerly known as Foreign Exchange Administration Rules), credit, AML/CFT, leadership development and soft skills. At the Asian Banking School, Shahrul has conducted multiple training programmes relating to the Foreign Exchange Policy, Certified Credit Executive (CCE) and Retail Credit Professional (RCP). He also maintains a steady working relationship with the Foreign Exchange Policy Department of Bank Negara Malaysia for regular industry updates and training requirements as well as matters related to the Foreign Exchange Policy.

Shahrul holds a Bachelor of Science degree in Human Resources Development from the University of Technology Malaysia and a Diploma in Banking Studies from the Institute of Technology MARA (now known as the University of Technology MARA). He is a Certified Training Professional (CTP), DiSC - Certified Behavioural Consultant (CBC) and Certified HRDF Trainer.

Learning Level | Intermediate

AML/CFT ESSENTIALS: SANCTIONS MANAGEMENT

Sanctions management is a key element in a financial institution's Anti-Money Laundering and Countering Financing of Terrorism (AML/CFT) risk management framework and is an important part of the regulator's requirements in combatting financial crime in Malaysia.

This one-day programme will equip participants with a better understanding of the relevant regulatory requirements as well as provide them with additional skills in identifying red flags related to sanctions risk. Additionally, participants will also be able to enhance their knowledge in screening and other controls relevant in this area.

PROGRAMME DETAILS

WHEN

17 April 2025
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700.00

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001430014

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Appreciate the fundamentals of a bank's sanctions management framework
- Develop skills to identify red flags relevant to sanctions risk
- Implement the key areas of screening in a sanctions management programme
- Effectively operate the main controls relevant for sanctions management
- Work on the regulator requirements with regards to sanctions management

TRAINING METHODOLOGY

Lectures including presentations, case studies and discussions

PARTICIPANT PROFILE

Middle to senior-level executives from the Compliance division managing sanctions advisory and screening, as well as relevant staff in payment services or trade financing services who perform remittance screening or trade documentation review and filtering in the bank

PROGRAMME OUTLINE

Sanctions Management Fundamentals

- Key components to a strong sanctions management framework
 - » Regulatory framework
 - » Risk assessment
 - » Screening and due diligence
 - » Transaction monitoring
 - » Escalation
 - » Continuous review

Sanctions Obligations

- Regulator requirements
 - » Learn to observe reporting guidelines
 - » Staff training requirements
 - » Maintaining audit trail

Skills and Controls

- Red Flag identification
 - » Involvement of sanctioned elements
 - » Suspicious elements
 - » Customer behaviour
- Screening fundamentals
 - » List management
 - » Process management
 - » Compliance and reporting
 - » Challenges
- Controls implementation
 - » Policies and procedures
 - » Relevant tools
 - » Internal controls and segregation of duties

ABOUT THE TRAINER

JOHN CHEAH

John Cheah has 24 years of working experience with a career that spanned being at an international consultancy and 3 foreign banks. He spent 4 years in external audit and 20 years in banking, where he specialised in Anti-Money Laundering and Counter Terrorism Financing (AML/CFT). His audit experience included performing internal and external audits at various types of companies, especially at insurance firms.

His banking career saw him covering multiple roles within the AML/CFT Compliance function. This included policy advisory, transaction monitoring, suspicious transaction reporting, procedures development and other related areas. He spent the last 10 years of his career developing and enhancing the AML/CFT framework of a commercial bank, which included pioneering an AML/CFT review team, designing AML/CFT risk assessments for branches and the entity, as well as running the bank's AML/CFT training programme for all segments within the organisation.

John has a Bachelor's degree in Accounting and Finance from the University of London and was an ACCA member. He moved into the space of Financial Crime Compliance soon after and has been a certified member of the Association of Anti-Money Laundering Specialists (ACAMS) since 2011. He also holds the Advanced Diploma in AML/CFT issued jointly by the Asian Institute of Chartered Bankers and International Compliance Association. John is also a HRD Corp certified trainer.

AML/CFT ESSENTIALS: STR TIPS, OBLIGATIONS AND PROCESSES (TOP)

Suspicious Transaction Report (STR) reporting is a key element in a financial institution's Anti-Money Laundering and Countering Financing of Terrorism (AML/CFT) risk management framework and is an important part of the regulator's requirements in combatting financial crime in Malaysia.

This one-day programme will equip participants with a better understanding of the relevant regulatory requirements as well as provide them with additional skills in identifying red flags from customer transactions/behaviours. Additionally, participants will also be able to enhance their STR writing skills to ensure a better quality STR submission to the Money Laundering Reporting Officer (MLRO) function and subsequently to regulators.

PROGRAMME DETAILS

WHEN

11 June 2025
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700.00

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001430520

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Gain the skills to craft good quality STR submissions
- Identify red flags that will lead to STR reporting
- Enhance their ability in writing higher quality STRs to MLRO for onward submission to the regulator
- Better manage regulator expectations by understanding the reporting obligations of a financial institution as well as regulator expectations in STR reporting

TRAINING METHODOLOGY

Lectures including presentations, case studies, and discussions

PARTICIPANT PROFILE

Middle to senior-level executives from the Compliance division and Business Units / Channels / Branches who require skills in detecting suspicious transactions via red flags, raise STR and submit to the MLRO for review and onward submission to the regulator

PROGRAMME OUTLINE

STR Fundamentals Overview

- Introduction to the STR process
 - » Detection of potentially suspicious transactions
 - Systems-based
 - Manual
 - » First-level documentation and justification
 - » Second-level review and strengthening of documentation
 - » Submission to MLRO
- Role of Transaction Monitoring Systems
 - » Threshold monitoring
 - » Unusual activity monitoring
 - » Watchlist screening
- Red Flags identification
 - » Pattern detection
 - » Out-of-pattern transactions
 - » Transaction anomalies

Writing Tips

- Best Practices in STR writing
 - » Knowing where to look and what to find
 - » The 5Ws and 1H
 - » Understanding requirements
 - » Provide context
 - » Review and verify
- Case Study
 - » Analyse a sample case
 - » Select key points required to make a rational decision
 - » Structure the storyboard with relevant justifications
 - » Compose a thorough introduction
 - » Close with a strong conclusion

STR Obligations

- Regulatory requirements
 - » Learn to observe reporting guidelines
 - » Staff training requirements
 - » Maintaining audit trails
- Regulator expectations
 - » Strong internal policies and guidance
 - » Detailed, accurate, and timely reporting

ABOUT THE TRAINER

JOHN CHEAH

John Cheah has 24 years of working experience with a career that spanned being at an international consultancy and 3 foreign banks. He spent 4 years in external audit and 20 years in banking, where he specialised in Anti-Money Laundering and Counter Terrorism Financing (AML/CFT). His audit experience included performing internal and external audits at various types of companies, especially at insurance firms.

His banking career saw him covering multiple roles within the AML/CFT Compliance function. This included policy advisory, transaction monitoring, suspicious transaction reporting, procedures development and other related areas. He spent the last 10 years of his career developing and enhancing the AML/CFT framework of a commercial bank, which included pioneering an AML/CFT review team, designing AML/CFT risk assessments for branches and the entity, as well as running the bank's AML/CFT training programme for all segments within the organisation.

John has a Bachelor's degree in Accounting and Finance from the University of London and was an ACCA member. He moved into the space of Financial Crime Compliance soon after and has been a certified member of the Association of Anti-Money Laundering Specialists (ACAMS) since 2011. He also holds the Advanced Diploma in AML/CFT issued jointly by the Asian Institute of Chartered Bankers and International Compliance Association. John is also a HRD Corp certified trainer.

FOREIGN EXCHANGE POLICY: APPLICATION OF RULES ON BORROWING AND LENDING (NOTICE 2)

The Foreign Exchange Policy (FE Policy) is part of the broad prudential toolkits that generally aim to preserve Malaysia's monetary and financial stability. The policy has become a 'compliance-focused area' among financial institutions in Malaysia and bank officers should have comprehensive understanding of the policy to ensure reasonable compliance and adopt market standards in the due diligence process.

This one-day programme is designed to enable participants to appraise the FE Policy rules in Notice 2 that are relevant to their job functions. The rules of Notice 2 including its general minimum due diligence will be presented and participants will also be involved in group discussions to discuss different scenarios of banking business and transactions where the rules apply.

PROGRAMME DETAILS

WHEN

8 May 2025
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001291928

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Appraise the rules of borrowing and lending in Notice 2
- Identify key due diligence measures relevant to the respective rules
- Apply the rules with appropriate due diligence measures to ensure compliance

TRAINING METHODOLOGY

Interactive presentation, quizzes and group discussion of case studies

PARTICIPANT PROFILE

Banking executives (Managers and Officers) with at least 2 years of experience in business unit and branch operations. Participants should have a good understanding of the overall FE Policy and its due diligence measures.

PROGRAMME OUTLINE

Overview of FE Policy

- FE rules by Balance of Payment components
- Laws applicable to the FE Policy

Rules on Borrowing and Guarantee (Notice 2)

- Key pointers and requirements
- Classifications of borrowing, lending & guarantee
- Rules and due diligence measures on:
 - » Borrowing by resident individual and entity in:
 - » Ringgit from non-resident
 - » foreign currency from resident and non-resident
 - » Borrowings by non-residents in Ringgit from resident
 - » Exchange of debts
 - » Financial & non-financial guarantee

Case Scenarios

- Borrowing by residents in Ringgit from non-resident
- Borrowing by residents in foreign currency from resident and non-resident
- Borrowing by non-residents in Ringgit and foreign currency from resident
- Issuance and obtaining financial guarantee
- Repayment arising from financial guarantee

ABOUT THE TRAINER

SHAHRUL ADZUAN AHMAD

Shahrul Adzuan Ahmad is a Training Consultant at the Asian Banking School and has 27 years of working experience in the banking sector, with 24 of those years doing training and development at several banks.

His working experience includes branch operations, credit, training administration support as well as developing, delivering, and facilitating training programmes. The training programmes that he has conducted include Foreign Exchange Policy (formerly known as Foreign Exchange Administration Rules), credit, AML/CFT, leadership development and soft skills. At the Asian Banking School, Shahrul has conducted multiple training programmes relating to the Foreign Exchange Policy, Certified Credit Executive (CCE) and Retail Credit Professional (RCP). He also maintains a steady working relationship with the Foreign Exchange Policy Department of Bank Negara Malaysia for regular industry updates and training requirements as well as matters related to the Foreign Exchange Policy.

Shahrul holds a Bachelor of Science degree in Human Resources Development from the University of Technology Malaysia and a Diploma in Banking Studies from the Institute of Technology MARA (now known as the University of Technology MARA). He is a Certified Training Professional (CTP), DiSC - Certified Behavioural Consultant (CBC) and Certified HRDF Trainer.

FOREIGN EXCHANGE POLICY: APPLICATION OF RULES ON INVESTMENT IN FOREIGN CURRENCY ASSETS (NOTICE 3)

The Foreign Exchange Policy (FE Policy) is part of broad prudential toolkits that generally aim to preserve Malaysia's monetary and financial stability. The policy has become a 'compliance-focused area' among financial institutions in Malaysia and bank officers should have comprehensive understanding of the policy to ensure reasonable compliance and adopt market standards in due diligence processes.

This one-day programme is designed to enable participants to appraise the FE Policy rules in Notice 3 on investment transactions for foreign currency assets. Participants will learn the relevant rules and due diligence measures and will also be provided with several case studies for discussion which will enhance their knowledge and skills in appraising and applying the rules.

PROGRAMME DETAILS

WHEN

26 May 2025
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001292191

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Appraise the rules of Investment in Foreign Currency Assets of the FE Policy
- Identify key due diligence measures relevant to the respective rules
- Apply the rules with its appropriate due diligence measures to ensure compliance

TRAINING METHODOLOGY

Interactive presentation, quizzes and group discussion of case study

PARTICIPANT PROFILE

Banking executives (Managers and Officers) with at least 2 years of experience in business unit and branch operations. Participants should have a good understanding of the overall FE Policy and its due diligence measures.

PROGRAMME OUTLINE

Overview of FE Policy

- FE rules by Balance of Payment components
- Laws applicable to the FE Policy

Rules on Investment in Foreign Currency Assets (Notice 3)

- Key pointers and requirements
- Classifications of investment transactions
- Rules and due diligence measures for investment in foreign currency asset by:
 - » resident individuals
 - » resident entity
 - » resident intermediaries

Case scenarios

- Investment in Foreign Currency Asset by resident individual
- Investment in Foreign Currency Asset by resident entity

ABOUT THE TRAINER

SHAHRUL ADZUAN AHMAD

Shahrul Adzuan Ahmad is a Training Consultant at the Asian Banking School and has 27 years of working experience in the banking sector, with 24 of those years doing training and development at several banks.

His working experience includes branch operations, credit, training administration support as well as developing, delivering, and facilitating training programmes. The training programmes that he has conducted include Foreign Exchange Policy (formerly known as Foreign Exchange Administration Rules), credit, AML/CFT, leadership development and soft skills. At the Asian Banking School, Shahrul has conducted multiple training programmes relating to the Foreign Exchange Policy, Certified Credit Executive (CCE) and Retail Credit Professional (RCP). He also maintains a steady working relationship with the Foreign Exchange Policy Department of Bank Negara Malaysia for regular industry updates and training requirements as well as matters related to the Foreign Exchange Policy.

Shahrul holds a Bachelor of Science degree in Human Resources Development from the University of Technology Malaysia and a Diploma in Banking Studies from the Institute of Technology MARA (now known as the University of Technology MARA). He is a Certified Training Professional (CTP), DiSC - Certified Behavioural Consultant (CBC) and Certified HRDF Trainer.

ULTIMATE BENEFICIAL OWNER: OVERCOMING CHALLENGES TO IDENTIFY UBO

This one-day programme will provide participants with the important criteria to identify and verify beneficial owner (BO). The availability of BO is a key requirement in the fight against ML/TF. It also aims to raise capability in understanding and adapting the regulations to comply and determine the BO in complex legal persons and legal arrangements set-up with the guidance of international and local guidelines; including from best practices.

PROGRAMME DETAILS

WHEN

24 June 2025
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,900

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001355095

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Appraise the requirement to identify and verify the natural person behind legal persons and legal arrangements
- Examine criteria used and obstacles to identify BO
- Analyse the technical aspects required by the regulator under BO requirements

TRAINING METHODOLOGY

Lecture, discussion, class activity and infographics

PARTICIPANT PROFILE

Operations, business and compliance staff

PROGRAMME OUTLINE

What is BO?

- Identifying the key components such as the natural person who is the beneficial owner of the customer
- Taking reasonable measures to verify the accuracy of the information obtained and keeping records of all relevant documents
- Performing further regulatory obligations based on the risk category of the beneficial owner such as CDD, sanction screening and high-risk jurisdiction

Unwrapping BO

- Differences between Legal Persons and Legal Arrangements- obtaining information that describes the ownership, control and structure of the legal persons/ legal arrangements relating to the beneficial owner
- Apply the ownership through the three cascading tests and thresholds and what amounts to the controlling elements to determine BO
- Conducting customer risk profiling to identify the risk category of the beneficial owner
- Challenges for an effective BO approach

Practical BO Cascading Steps Case Studies

ABOUT THE TRAINER

AAZAD ANANTHAN

Aazad Ananthan Abdullah is a trainer, consultant and compliance practitioner. He comes with 27 years of working experience in various international banks including Labuan offshore banks and premier training institute for financial institutions.

Aazad graduated with a Bachelor of Jurisprudence from Universiti Malaya (Malaya), Bachelor of Business Administration from Universiti Utara Malaysia and Master of Commercial Law, Malaya. He has an Advanced Certification in Anti-Money Laundering jointly awarded by the Asian Institute of Chartered Bankers (AICB) and International Compliance Association in collaboration with University of Manchester Business School, UK, Certified Integrity Officer (CeIO) awarded by Malaysia Anti-Corruption Academy (MACA); and holds a Certificate in Sustainable Finance awarded by United Nations Institute for Training and Research (UNITAR).

Aazad specialises and delivers training in the subjects of financial crime, anti-money laundering, international sanctions, ultimate beneficial ownership, anti-bribery/corruption (ABC) and is a HRDC certified trainer. In 2022, he presented in a forum on “Managing Compliance Risks: e-Know Your Customer” jointly organised by the Regulatory Commission of Mongolia and Financial Education HUB.

DECIPHERING THE ROLE OF INTEREST RATES IN CREDIT ANALYSIS

An interest rate is the cost of borrowing money. Or, on the other side of the coin, it is the compensation for the service and risk of lending money. In both cases, it keeps the economy moving by encouraging people to borrow, to lend, and to spend.

Prevailing interest rates are always changing, and different types of loans offer different interest rates. If you are a lender, you must understand the reasons for these changes and differences. Moreover, the lender is also critical to proactively make the necessary adjustments in the credit lending process and credit structure arising from interest rate change.

This one-day programme aims to equip bankers with the ability to forecast global interest rate movements and their implications on overnight policy rates in Malaysia, offer comprehensive explanations of different lending rates to potential borrowers, and effectively anticipate and manage the effects of interest rate changes on the credit lending process and credit structure.

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Forecast global interest rate movement and its impact on Overnight Policy rates in Malaysia
- Provide solid explanations to potential borrowers on various types of lending rates
- Foresee and manage the impact of interest change on the credit lending process and credit structure

TRAINING METHODOLOGY

Lecture, case studies and discussions

PARTICIPANT PROFILE

Junior credit banking officer

PROGRAMME DETAILS

WHEN

27 May 2025
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,500

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001413910

PROGRAMME OUTLINE

The mechanism of global interest rate change

Types of lending rates

Relationship between interest rate and inflation, currency exchange rate, bond price & time value of money

Impact of interest change on conventional credit lending process and digital lending

ABOUT THE TRAINER

DR CHIN NYUK SANG

Dr. NS Chin is a trainer and consultant for small, medium enterprises (SME) in the area of fund raising. He is also facilitating an FSTEP session related to central banking.

A PhD holder in Finance graduate and from the Multimedia University, Dr. Chin had served Bank Negara Malaysia (BNM) for 29 years in various capacities. He started as a banking supervisor to regulate and supervise all banking institutions in Malaysia; followed by an involvement in corporate debt restructuring during the Asian Financial Crisis in 1997. In 2006, he was seconded to Agensi Kaunseling Dan Pengurusan Kredit (AKPK) and headed the Ipoh branch for 2 years. Finally, as a performance consultant, Dr. Chin was tasked to strengthen the capacity building of BNM staff in their core areas including financial inclusion, sustainable development and economic policy development

Dr. Chin is a certified trainer by the Association for Talent Development in the USA and the Human Resource Development Corporation in Malaysia. As a part-time senior lecturer with Universiti Malaya, Dr. Chin has conducted numerous face-to-face classes primarily on international banking, financial economics, monetary economics, international finance & emerging economic markets. Dr. Chin holds an associate membership of Asian Institute of Chartered Banker.

Learning Level | Intermediate

ARTIFICIAL INTELLIGENCE IN BANKING AND FINANCE

This one-day programme is designed to provide banking and finance professionals with a good understanding of the applications, challenges, and ethical considerations of implementing artificial intelligence in the financial industry. The programme content includes theoretical concepts, hands-on exercises, case studies and discussions to ensure practical knowledge and skill development.

PROGRAMME DETAILS

WHEN

21 May 2025
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001414396

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Explain the fundamental concepts of artificial intelligence in relation to banking and finance
- Understand the capabilities and limitations of AI technologies in financial applications
- Discuss emerging trends and technologies in AI for banking and finance

TRAINING METHODOLOGY

Lecture, case studies and discussions

PARTICIPANT PROFILE

Middle to senior level executive

PROGRAMME OUTLINE

Introduction to AI in Banking and Finance

- Definition and Overview of Artificial Intelligence
- Importance of AI in the Financial Industry
- Historical Development and Evolution of AI in Finance

AI Technologies for Financial Services

- Machine Learning and; Its Applications in Finance
- Natural Language Processing (NLP) in Banking
- Computer Vision and Image Recognition in Finance

Data Management and Preprocessing in Finance

- Importance of Quality Data in AI Applications
- Data Collection, Cleaning, and Integration
- Handling Big Data in the Financial Sector

Predictive Analytics and Credit Scoring

- Machine Learning Models for Credit Risk Assessment
- Predictive Analytics in Loan Approval Processes
- Fair Lending and Ethical Considerations

Algorithmic Trading and Financial Markets

- Introduction to Algorithmic Trading
- Role of AI in Financial Market Prediction
- High-Frequency Trading and Risk Management

Customer Service and Personalisation

- AI-Powered Customer Service in Banking
- Personalised Financial Recommendations
- Chatbots and Virtual Assistants in Finance

Fraud Detection and Security

- AI-based Fraud Detection Systems
- Cybersecurity in Banking with AI
- Biometric Authentication and Privacy Concerns

AI in Wealth Management

- Robo-Advisors and Automated Investment Platforms
- AI-enhanced Portfolio Management
- WealthTech Trends and Innovations

Ethical and Regulatory Considerations in AI

- Ethical Dilemmas in Financial AI
- Regulatory Guidelines and Compliance
- Addressing Bias and Fairness in Financial AI

Future Trends and Emerging Technologies

- Exploring the Future of AI in Banking and Finance
- Emerging Technologies and their Impact
- Continuous Learning and Adaptation in the Industry

Case Studies: AI Implementation in banking and finance

ABOUT THE TRAINER

CHEAH WEE LEONG

Cheah Wee Leong is the Director of Investment Banking at the Asian Banking School. He has more than 25 years' experience working in the banking industry with local and foreign banks in various roles and capacities. A seasoned professional, Wee Leong brings with him broad experience as a former banker and management consultant.

Wee Leong started his career at Citibank in 1993 before joining US management consultancy firm Accenture in 1997. At Accenture, he was involved in managing large bank merger-integration, process re-engineering and transformation projects. He has worked in projects in various countries including Hong Kong, China, Singapore, Indonesia, Thailand, Mauritius and Saudi Arabia.

In 2003, Wee Leong joined CIMB as its Head of Operational Risk Management and led the implementation of the bank's operational risk management and Basel 2 - Operational Risk framework. Subsequently, he assumed various senior roles in Trade Finance, Regional Transaction Banking, Corporate Banking, Treasury and Markets division and Group CEO office at CIMB. His last role at CIMB was as Director, Group Strategy. He has been designing and developing courses and conducting training regularly (covering various topics) with the Asian Banking School since 2017.

Wee Leong holds a Bachelor of Business Administration from the USA and a Master of Business Administration (with distinction) from the Anglia Ruskin University, United Kingdom. He obtained training from the Citibank Asia Pacific Banking Institute in Singapore in 1995. He is a certified Chartered Banker, Finance Accreditation Agency (FAA) Certified Training Professional and a holder of the "Certificate in Climate Risk" (awarded by the Chartered Body Alliance, UK) and PRINCE2 Foundation and Practitioner Certificate in Project Management. Wee Leong completed the "Leading the Sustainability in Transformation in Banking" programme with the Frankfurt School of Finance and Management (1 week course in Frankfurt, Germany) in October 2022.

DATA-DRIVEN DECISION MAKING: HARNESSING THE POWER OF AI

Organisations that describe digital transformation as simply the incorporation of digital technology into all areas of their business often end up overlooking its power. However, a digital transformation that puts data (and Artificial Intelligence) at the core of its operations will allow an organisation to create blue oceans and profitability instead of seeing it as a set of processes. This one-day programme looks at why an AI-driven digital transformation is formidable and how it changes the value an organisation brings to its customers, and itself.

PROGRAMME DETAILS

WHEN

17 June 2025
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001360675

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Gain a strategic understanding of how they can better prepare their organisation for AI
- Appreciate the high-value business cases that exploits Data and AI
- Recognise the risks and ethical issues arising from Data and AI usage
- Understand the latest AI breakthroughs like Generative AI
- Walk away with a list of “quick wins” – industry use cases they can start right away in their organisation

TRAINING METHODOLOGY

Interactive group discussions, lectures, exercises, case studies and sharing of real-world experiences

PARTICIPANT PROFILE

Middle to senior level executives

PROGRAMME OUTLINE

- Opportunities in AI and how it ties in with digital transformation
- AI-driven industry business cases from around the region
- AI landscape and maturity stages in organisations
- The AI ecosystem (from data ingestion to analytics to organisational transformation)
- A C-level guide to artificial intelligence covering subtopics such as “Why AI now?”, high-value industry-specific business cases, ethical AI, AI risks and the need to develop smarter people
- Discussion on the latest AI breakthroughs and trends like Generative AI (ChatGPT, DALL-E), deepfakes, the singularity, and the future of humanity
- Implementation of analytics and AI in Financial Services
- Group activity: AI-driven monetization business case brainstorming and prioritisation: A logical process of analysis and prioritisation to identify “quick wins” AI business cases for the participants’ organisation. The identified “quick wins” business cases will justify the AI proof-of-concepts and projects

ABOUT THE TRAINER

PETER KUA

Peter Kua is the cofounder and Chief Data Officer of Growth.Pro Data Science Consulting & Training. His responsibilities include finding ways data can be used as a competitive advantage as well as identifying new business opportunities with data. He also headed the Data Science team in REV Media Group (formerly known as Media Prima Digital) and was instrumental in driving the National Big Data Analytics Initiative under MDEC in the areas of thought leadership and industry development. He played a key role in developing the first National BDA Framework that delivered strategic recommendations and action plans to achieve the National BDA vision.

Peter has conducted face-to-face training which includes public masterclasses in Big Data Strategy for NTT Data, PAS Selangor, CIIF, PosAviation, Hitachi, FGV, Perodua, Maxis, SIRIM, and Principal Asset Management CIMB. In addition, he also trained several in-house Big Data Strategy workshops for organizations such as OCBC, Keysight, TNB, TM One, and Johnson & Johnson. During the MCO period, Peter conducted several 2-day Big Data Strategy public virtual classes for Citibank, Alliance Bank, Bank Islam, Intel, Osram, Dell, Sarawak Energy, Optics Balzers, Penang Port, and Maxis Broadband. Most of his audience who had attended his in-house and public classes are senior managers and above.

Peter's core professional strengths include data science & big data strategies and thought leadership. His industry experience includes the media, internet, manufacturing, FMCG, e-learning and agriculture.

NG KENG FAI

Keng Fai is the Lead Trainer and Data Science Lead at Growth.Pro Data Science Consulting & Training, where he designs interactive corporate training programs in AI, data science, and machine learning. He also develops frameworks and prototypes for AI-driven solutions, helping businesses integrate cutting-edge technology into their operations.

With a diverse background spanning academia, entrepreneurship, and industry, Keng Fai has previously lectured at UniRazak and served as a vocational trainer at New Era Institute of Vocational and Continuous Education (Kajang). He also founded and led software development teams in fintech and edtech startups, combining his expertise in marketing, software engineering, and AI to deliver impactful solutions.

Keng Fai also brings corporate training experience across manufacturing, fintech, and financial institutions, equipping professionals with future-ready AI and data competencies. He has led specialized workshops and upskilling programs for manufacturing giants, trained fintech teams on AI-powered automation and fraud detection, and conducted analytics and AI strategy sessions for banks and financial service providers.

His cross-industry experience includes robotics/mechatronics engineering, with roles in oil & gas and manufacturing at Flextronics. Additionally, he has consulted for and coached clients such as Beyond Insights, Plus Minus Zero, and Watson-Marlow, helping them leverage AI and data-driven strategies.

During the Malaysian Movement Control Order (MCO), Keng Fai conducted e-training sessions for entrepreneurs across industries, covering marketing, web development, and data analytics for clients like TOC Automotive College and La Gourmet. His expertise also earned him an invitation to Astro AEC's SME Great Helper, where he advised on digital transformation for the music industry.

Learning Level | Intermediate

DIGITAL TRANSFORMATION: “BANKING IS NECESSARY, BANKS ARE NOT”

It has taken years to fully understand what Bill Gates meant when he said, “Banking is necessary, banks are not”. He said it in 1994 and it is becoming true. Advances in technology are causing the banking industry to develop faster with the focus on efficiency, transparency and better customer experience. The current digital revolution is disrupting the way people bank, directed by evolving customer needs, behaviours and expectations. Customers now expect an anytime, anywhere personalised user experience – i.e., banking everywhere, not necessarily at a bank!

At the same time, Covid-19 has disrupted ‘normal’ banking and reset it to the ‘new normal’ to where traditional standard operating procedures were dismantled in favour of agility and the use of online technology to tackle unforeseen marketplace disruption. It exposes the importance of digital offerings to maintain customer onboarding, customer relationship and customer centric mobile banking facilities.

Now more than ever, traditional bankers need to adapt and evolve in order to face the challenges ahead as challenger banks and new FinTech companies explore and experiment with innovations and new technologies to build or create the bank of the future.

This intensive one-day programme presents the overview of what digital transformation means, what role they will play, and assist in preparing bank staff for the future when banks will shift their concentration to new technologies.

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Recognise and take advantage of new opportunities presented by FinTech
- Understand the key fundamental technologies and principles behind the evolution of FinTech
- Obtain market intelligence and insights of established FinTech models in leading markets
- Comprehend the ever-evolving customer behaviour in banking
- Identify gaps at the current workplace and conceptualise changes with FinTech models
- Understand why digital transformation fails

TRAINING METHODOLOGY

Lectures, case studies and video presentations

PARTICIPANT PROFILE

Executives who desire to upskill themselves to embrace the digital transformation in banking

PROGRAMME DETAILS

WHEN

8 April 2025
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001155743

PROGRAMME OUTLINE

Module 1

What is Disrupting Banking and Finances?

- The importance of technology in Finance
- Evolution of banking and the mobile revolution
- FinTech innovation
- Adoption of emerging technologies
- Case Study – Ant Financial

Module 2

The ABCDs of Future Banking

- AI – Artificial Intelligence
- Block Chains
- Cloud Computing
- Data is the new oil
- Case Study – AI and Data Analytics

Module 3

Emerging Innovation in FinTech

- Challenger and Neo banks
- Payment Systems
- Peer to Peer Lending
- Crowd Funding
- FinTech competitors in Malaysia (2020)
- Case Study – Challenger/Neo Banks

Module 4

Digital Transformation

- First Principles Design Thinking
- Agile organisation - cultivating a digital culture
- Technology fallacy and human capital
- Case Study – Digital Transformation of DBS

ABOUT THE TRAINER

DR VIJAYAN PARAMSOTHY

Dr Vijayan Paramsothy the Director of Graduate Training & FSTEP at the Asian Banking School. He started his career working in one of the big 8 Chartered Accountants and Management Consultancy firms in the UK. He has over 20 years of banking experience working in local and foreign banks up to a senior management level, ranging over a diverse range of disciplines.

He is currently involved in structuring bespoke technical and soft skill programmes for banks. In addition, he is involved in the curriculum working committee for professional qualification programmes such as the Chartered Banker and Bank Risk Management. He is also a designated Chartered Banker trainer, bringing a fresh approach to self-directed learning using mind-mapping techniques, case studies and problem-based learning. Dr Vijay has published banking and finance related text books and journal articles internationally, including, "Success Factors for the Implementation of Entrepreneurial Knowledge Management in Malaysian Banks" (Journal of Information & Knowledge Management, 2013).

Dr Vijay holds an Honours Degree in Accounting and Finance from Scotland, a Master of Science Degree in Multimedia Technology (Banking), a Doctor of Business Administration (Banking Strategy and Marketing) from Australia, and a Doctor of Philosophy (Knowledge Management in Banking) from Malaysia. He recently successfully completed his Chartered Banker MBA from the Bangor Business School. He is also a Certified Training Professional (ARTDO) and a Certified HRD Corp trainer.

Learning Level | Intermediate

MACHINE LEARNING FOR CREDIT SCORING AND RISK MANAGEMENT

This one-day programme focuses on the application of machine learning in credit scoring and risk management within the financial domain (banks and financial institutions). Participants will gain insights into credit scoring and risk assessment methodologies, explore data preprocessing and feature engineering techniques, and understand the application of various machine learning algorithms. The programme also covers model interpretability and explainability, presents real-world case studies in credit risk, addresses ethical considerations and fair lending practices, and concludes with a hands-on activity guiding participants in building a credit scoring model.

PROGRAMME DETAILS

WHEN

30 June 2025
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001413714

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Understand credit scoring and risk assessment principles in finance
- Apply data preprocessing and feature engineering techniques for credit data
- Implement machine learning algorithms for credit scoring
- Interpret and explain machine learning models for credit risk
- Analyse real-world case studies to gain practical insights
- Navigate ethical considerations and promote fair lending practices
- Gain hands-on experience in building a credit-scoring model

TRAINING METHODOLOGY

Interactive group discussions, lectures, exercises, case studies and sharing of real-world experiences

PARTICIPANT PROFILE

Senior managers, managers, and executives from financial institutions

PROGRAMME OUTLINE

Credit Scoring and Risk Assessment in Finance	Real-world Case Studies in Credit Risk
Data Preprocessing and Feature Engineering	Ethical Considerations and Fair Lending
Machine Learning Algorithms for Credit Scoring	Hands-on Activity: Building a Credit Scoring Model
Model Interpretability and Explainability	Q&A and Discussion

ABOUT THE TRAINER

PETER KUA

Peter Kua is the cofounder and Chief Data Officer of Growth.Pro Data Science Consulting & Training. His responsibilities include finding ways data can be used as a competitive advantage as well as identifying new business opportunities with data. He also headed the Data Science team in REV Media Group (formerly known as Media Prima Digital) and was instrumental in driving the National Big Data Analytics Initiative under MDEC in the areas of thought leadership and industry development. He played a key role in developing the first National BDA Framework that delivered strategic recommendations and action plans to achieve the National BDA vision.

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INTRODUCTION TO INVESTMENT BANKING

This one-day programme will provide participants with an understanding of the roles and responsibilities of licensed intermediaries and other representatives, and the regulations governing the business activities and market practices in the Malaysian capital markets. It will also provide an overview of Investment Banking, the functions of various areas and functions within an Investment Bank and the common forms of Investment Banking products, services and solutions.

PROGRAMME DETAILS

WHEN

13 May 2025
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,500

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001292134

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Identify the overall financial systems and regulatory framework that affects the local capital markets
- Explain capital and how they can be used by Investment Bankers to help their clients
- Understand the roles and functions of investment banks

TRAINING METHODOLOGY

Lecture, case studies, exercises and discussions

PARTICIPANT PROFILE

Retail and commercial bankers and anyone who is interested in the fundamentals of Investment Banking

PROGRAMME OUTLINE

Introduction

- What is financial and capital markets
- What is investment banking
- Roles and function of investment banks
- Inside an investment bank - sell vs. buy side
- Regulations governing Malaysian capital markets

Inside an Investment Bank

- Capital markets
- Financial advisory
- Trading and brokerage
- Research
- Asset management

Investment Banking products and services

- Debt and fixed income
- Equities
- IPOs
- Corporate finance
- Sales and trading

The Future of the Investment Banking Landscape

- FinTech
- Big Data, AI and algorithms
- Blockchain
- Robotic process automation

ABOUT THE TRAINER

CHEAH WEE LEONG

Cheah Wee Leong is the Director of Investment Banking at the Asian Banking School. He has more than 25 years' experience working in the banking industry with local and foreign banks in various roles and capacities. A seasoned professional, Wee Leong brings with him broad experience as a former banker and management consultant.

Wee Leong started his career at Citibank in 1993 before joining US management consultancy firm Accenture in 1997. At Accenture, he was involved in managing large bank merger-integration, process re-engineering and transformation projects. He has worked in projects in various countries including Hong Kong, China, Singapore, Indonesia, Thailand, Mauritius and Saudi Arabia.

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THE PSYCHOLOGY OF FINANCE AND INVESTMENT DECISIONS

"The investor's chief problem - and even his worst enemy - is likely to be himself."

Benjamin Graham, 20th-century American economist and famous value investor

Behavioural finance studies the biases and influences that affect the financial behaviours of investors and financial practitioners. It recognises that our abilities to make difficult and complex financial decisions are limited due to the biases and errors of judgement to which humans are susceptible. Being aware of the principles of behavioural finance can help investors check their perceptions against facts.

In this one-day programme, you will learn about the wide range of biases in decision making and information processing errors affecting judgments in finance. It examines cognitive biases, discusses the impact of biases on financial decision-making and also explores the behaviour of investors, fund managers and corporate decision makers. Understanding the different types of biases can be extremely valuable in studying and managing better market outcomes. Advisors who understand the psychological or emotional factors that influence investors to behavioural biases can differentiate their services and better serve their clients.

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Describe the common errors in trading and financial markets
- Identify the main behavioral biases and heuristics which can affect market outcomes
- Analyse social factors that distort decision-making in financial markets

TRAINING METHODOLOGY

Lecture, case studies, exercises and discussions

PARTICIPANT PROFILE

Investors, fund managers, traders and anyone interested in understanding behavioural finance and how it affects the markets and corporate decision making

PROGRAMME DETAILS

WHEN

9 June 2025
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700
**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001155965

PROGRAMME OUTLINE

Introduction

- Behavioural finance and decision-making biases: how psychological influences can affect market outcomes
- Reflective vs reflexive approaches to decision making
- Heuristics and mental short-cuts: make decision-making simpler and faster through shortcuts and good-enough calculations but it can also be prone to bias and errors in judgment
- Why investors are often irrational: the cyclical investment process is ladden with psychological pitfalls. Only by avoiding inherent behavioral biases can investors hope to reach impartial decisions

Case Study

- Traditional Finance vs Behavioural Finance - how investors' "humanness" can lead them to make sub-optimal financial decisions they would not make if they were entirely rational as traditional finance theory supposes them to be

Self-deception Biases

- Self-deception can severely limit the way we learn. When we mistakenly think we know more than we actually do, we tend to miss information that we need to make an informed decision. It is important to learn how self-deception biases impact decision making in finance and trading
- Overconfidence bias: overconfidence is the driving force behind the irrational exuberance that can cause a market to crash. People who feel more financially secure than they actually are may spend too much or not save enough. Or they may be overactive traders who believe they can time their investment decisions to beat the market but end-up doing the opposite
- Illusion of knowledge and control: why people think they can control random and uncontrollable events and why online traders tend to trade more frequently than they should
- How to guard against self-deception biases

Case Study

- The trouble with confidence (according to Daniel Kahneman - the "Father" of Behavioural Finance)

Cognitive Biases

- Hindsight bias: regrets and why this leads to investors unknowingly making poor decisions going forward
- Confirmation bias: why investors often do not always behave rationally and why this supports argument that the market behaves inefficiently
- Representative bias: tendency of decision-makers to make decisions based on stereotypes, to see patterns where perhaps none exist
- Anchoring bias: people rely too much on pre-existing information or the first information they find. They anchor their decision on mental reference points
- How to guard against cognitive biases

Case Study

- How cognitive biases can impact investment decisions and what can be done to control this?
- How to improve your daily decision making: top cognitive biases to avoid?

Emotional Biases

- Overview of emotional biases
- The narrative fallacy: we love stories and we let our preference for a good story cloud the facts and our ability to make informed decisions
- Loss aversion and prospect theory
- How to guard against emotional biases: looking towards logic rather than emotion in investment decisions

Case Study

- Overcoming emotional biases to have a successful investing experience
- Theranos and Elizabeth Holmes - how everyone was fooled by narrative

Social Biases

- Herding bias: many investors often follow what they perceive other investors are doing rather than make decisions based on their own analysis
- Impact of social influence on investor's trading behaviour, for example sell-offs and rallies
- Guarding against social and herding biases

Case Study:

- Herd mentality in the financial markets
- How to break from the crowd and be a successful investor

Conclusion: be aware of biases that affect investment decisions

Exercise and discussion: How to overcome "investor irrationality?"

ABOUT THE TRAINER

CHEAH WEE LEONG

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INTRODUCTION TO ETHICS IN BANKING

Since January 2017, all new graduates hired by the banking industry are required to complete the Introduction to Ethics in Banking programme. Designed, developed and delivered by the Asian Banking School (ABS), over twenty thousand bankers have gone through the training since its inception, which considering the importance of the subject matter, bodes well for the industry itself.

In our continuous efforts to deliver programmes that are innovative and current with the times, ABS has developed an online learning version of the Introduction to Ethics in Banking programme, alongside the existing classroom training option.

The classroom training is an 8-hour face-to-face session, while the online programme comprises of 8 hours self-paced e-learning that is followed by a 1½ hour webinar, both of which must be completed within 7 days upon registration. As the programme is at an introductory level, it will focus on ethical issues that are commonly encountered at the operational level, which includes integrity, conflict of interest, confidentiality and whistleblowing.

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Define professionalism in banking
- Understand what is meant by ethics in the context of banking
- Understand types of ethical theories and its application in the workplace
- Analyse the psychological approach to ethics using Kohlberg's Theory
- Understand the context of unethical behaviour towards employees in terms of sexual harassment and workplace bullying

TRAINING ASSESSMENT

- Participants are required to complete a self-assessment for both classroom & online training (MCQ format)
- If they fail, they need to re-register and take the whole programme again
- All participants are required to proceed for membership registration with AICB upon completion of the whole programme

PARTICIPANT PROFILE

Graduate trainees or new hires at the banks with less than 2 years of working experience. This programme has also been extended to executives (non-managerial) of banks who would like to learn about ethical decision making.

PROGRAMME DETAILS

WHEN

6 May 2025 (Face-to-face)
12 – 21 February 2025 (Online)
9:00 am – 5:00 pm

WHERE

Face-to-face: Asian Banking School
Online: ABS LMS & Zoom

FEES*

RM 1,100

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001210282
(Face-to-Face)

10001167163
(Online)



The Asian Institute of Chartered Bankers (AICB) will award 8 CPD hours for those attending classroom training and 10 CPD hours for those who go through the online learning option

TRAINING METHODOLOGY

ONLINE TRAINING

This programme will be delivered on an ABS learning platform that incorporates online learning and self-assessment, followed by a webinar at the end. It offers an interactive and case-based hybrid learning experience that provides participants with the framework and tools to make ethical decisions.

Part A : E-Learning

- The programme is self-paced and includes an e-learning section that consists of 6 modules followed by a self-assessment - both of which must be completed within 7 days

Part B : Webinar

- 1.5-hour live session with the trainer which builds on the e-learning session completed in Part A
- The webinar features Kahoot, polling, chat, case discussions and a Q & A session where participants can practice the application of ethical decision making tools
- Participants need to pass the online assessment to be eligible to register for the webinar
- A digital certificate will be issued upon completion of both parts A & B of the online learning programme, after which participants will be eligible to apply for Affiliate Membership with AICB

CLASSROOM TRAINING

In classroom training, lessons are divided into 3 modules where participants will have an interactive learning experience with the trainer. Participants will have to pass an assessment at the end of the programme, after which a certificate of completion will be issued for participants to proceed with membership registration with AICB.

PROGRAMME OUTLINE

What is ethics and why is ethics important in banking?

- The nature of ethics, and its specific meaning in the context of business
- Fundamental ethical concepts: integrity, honesty, probity, transparency, fairness, objectivity, responsibility, accountability, judgement, scepticism
 - Discussion of case 1
- Duties of a banker, based on rules that must be followed and principles that govern behaviours
- Ethics based on consequences, but consequences to whom? Reconciling actions with the interests of stakeholders and the public interest
 - Discussion of case 2

Professionalism:

- Defining 'professional' and why bankers have to try harder than lawyers, doctors and accountants
- Characteristics of a profession
- Professional codes of conduct
 - Discussion of case 3

Ethical decision taking:

- Influences on our decisions, and their conflicting impact on what we do. These include rewards and threats, expectations of family, friends, colleagues and the law, perceptions of what is right and what is wrong.
- Decision taking frameworks
- Dealing with conflicts of interest
- Dealing with ethical dilemmas
 - Discussion of case 4

ABOUT THE TRAINER

MICHELLE LOO PHOY WAN

Michelle Loo has more than 20 years experience in the banking and training industry. On the banking side, her experience is in secured and unsecured lending, wealth products, bancassurance, SME banking and branch management.

Michelle started her career in the banking industry with HSBC Bank as a Mortgage Executive. In 2008, she joined OCBC as a Business Development Manager in SME Banking and during which time, the team she was in achieved Top 5 in the nationwide overall performance. Following that, she joined Maybank as a Mortgage Consultant. She was one of the pioneers in the Direct Sales Team and recognized as the Nationwide Top Team for 3 consecutive years. She then went on to join Alliance Bank with the position of Branch Manager. Subsequently, she joined Public Bank in Regional Sales and Support in SME Bancassurance, supporting 19 branches in the Klang Valley. Her main task was to maintain Regional top sales, which she achieved for 2 consecutive years.

She is actively involved in developing classroom and e-learning content, storyboarding and gamification, as well as conducting training for qualification, non-qualification and customised programmes at ABS. She holds a Bachelor's Degree in Economics majoring in Finance from University Putra Malaysia. She is also a Certified HRDF Trainer. She obtained the Professional Banker qualification (Associate Banker of AICB) in 2021 and received her qualification as a Certified Expert in Sustainable Finance from the Frankfurt School of Finance and Management, Germany in 2023.

SYLPHY CHUI

Sylphy Chui has more than 20 years of experience in the banking and training industry. Her experience in the banking sector are in credit sales and marketing, credit evaluation and credit approvals, consumer and commercial loans, and branch management.

She started her career as a Senior Sales and Marketing Executive at a Public Bank Berhad branch where she gained valuable knowledge in branch operations. She then went on to the regional office, where she supported more than 15 branches in the sales team and gained recognition as the Top Three Sales Achiever for 2003 and 2004. In 2005, after receiving the Regional Top Sales Achiever Award, Sylphy was promoted to Sales and Marketing Manager, leading the sales team in the Regional Office. She was promoted again in 2007 and became Business Manager at a branch in Kuala Lumpur, where she led the Credit Department. In 2012, she was promoted to Branch Manager. During this time, the branch she managed maintained themselves as an award-winning branch for five years consecutively from 2013 to 2017.

Sylphy holds a Bachelor's degree in Commerce, double majoring in Economics and Marketing, from Curtin University of Technology, Perth, Australia and is also a Certified Credit Professional (CCP) through the Institute of Bankers Malaysia (now known as the Asian Institute of Chartered Bankers). She is a Certified Training Professional through the Finance Accreditation Agency and a Certified HRDF Trainer.

JOHN CHEAH

John Cheah has 24 years of working experience with a career that spanned being at an international consultancy and 3 foreign banks. He spent 4 years in external audit and 20 years in banking, where he specialised in Anti-Money Laundering and Counter Terrorism Financing (AML/CFT). His audit experience included performing internal and external audits at various types of companies, especially at insurance firms.

His banking career saw him covering multiple roles within the AML/CFT Compliance function. This included policy advisory, transaction monitoring, suspicious transaction reporting, procedures development and other related areas. He spent the last 10 years of his career developing and enhancing the AML/CFT framework of a commercial bank, which included pioneering an AML/CFT review team, designing AML/CFT risk assessments for branches and the entity, as well as running the bank's AML/CFT training programme for all segments within the organisation.

John has a Bachelor's degree in Accounting and Finance from the University of London and was an ACCA member. He moved into the space of Financial Crime Compliance soon after and has been a certified member of the Association of Anti-Money Laundering Specialists (ACAMS) since 2011. He also holds the Advanced Diploma in AML/CFT issued jointly by the Asian Institute of Chartered Bankers and International Compliance Association. John is also a HRD Corp certified trainer.

BUSINESS ETHICS FOR MANAGERS

Bowen McCoy, a senior manager at Morgan Stanley, and a group of mountaineers have been preparing over six years to climb the Himalayas. Thousands of dollars had been spent on this expedition. At an extremely challenging part of the climb, the group comes upon a holy man – a Sadhu, who was dying from hypothermia. The group, and thereby each individual within the group, were faced with an unfortunate dilemma – to continue the climb and achieve their goals or carry the Sadhu two days back to a town to save the life of a stranger.

This one-day programme explores the challenges of personal ethics when applied to a group – when personal moral values clash with group norms and how organisational culture can impact the ethical climate of an entity.

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Appraise the levels of accountability in an organisation
- Assess the philosophical and psychological approaches to business ethics
- Reflect on cultural typologies and how they influence organisational behaviour
- Formulate a view on what constitutes ethical and professional behaviour in an organisation
- Evaluate practical decision making and moral judgement and finding the balance between the two

TRAINING METHODOLOGY

Interactive group discussions, lectures, exercises, case studies and sharing of real-world experiences

PARTICIPANT PROFILE

Managers, Heads of Department, Division Heads, Senior Executives and C-Suites in the capacity of managing sales force, operational planning, staff performance, strategic thinking and organisational culture

PROGRAMME DETAILS

WHEN

22 May 2025
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,900

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001153696

PROGRAMME OUTLINE

Levels of Accountability in an Organisation

This module examines Bank Negara Malaysia's (BNM) approaches to strengthening conduct and culture in the financial services industry. Corporate governance principles are examined and case studies done to demonstrate that a code of ethics alone does not deter inappropriate behaviour.

Case Study: Carries Tolstedt

Case Study: Aman Shah

Philosophical and Psychological Approaches to Business Ethics

Prescriptive ethical theories developed by philosophers designed to help individual decide what they should do in response to ethical dilemmas are compared and contrasted with psychological theories that describe what individuals actually do due to mental and emotional processes that influence how people think and behave.

Case Study: Ethical issues in Artificial Intelligence in banking and finance

Case Study: Katharine Gun

Cultural Typologies and Organisational Behaviour

This module examines the role of culture in organisational behaviour. Hofstede theory is discussed to understand how cultural dimensions differ in various cultures and its impact in ethical decision making.

Case Study: CNN

Professional Behaviour in an Organisation

Here we consider what professionalism means in banking and the role of professional bodies. While professionalism may be seen to be demonstrating technical competencies, various behavioural attributes have been acknowledged as critical in creating the basis of a profession.

Case Studies: A series of caselets using MCQs to test the ability of participants to make ethical decisions in various real life banking scenarios.

Practical Ethical Decision Making

In making real world ethical decisions, one has to take into consideration sustainability, political forces, eastern philosophies and long term consequences in arriving at pragmatic ethical decisions.

Case Study: Ibn Rushd's influence in practical ethical decision making

ABOUT THE TRAINER

DR VIJAYAN PARAMSOTHY

Dr Vijayan Paramsothy the Director of Graduate Training & FSTEP at the Asian Banking School. He started his career working in one of the big 8 Chartered Accountants and Management Consultancy firms in the UK. He has over 20 years of banking experience working in local and foreign banks up to a senior management level, ranging over a diverse range of disciplines.

He is currently involved in structuring bespoke technical and soft skill programmes for banks. In addition, he is involved in the curriculum working committee for professional qualification programmes such as the Chartered Banker and Bank Risk Management. He is also a designated Chartered Banker trainer, bringing a fresh approach to self-directed learning using mind-mapping techniques, case studies and problem-based learning. Dr Vijay has published banking and finance related text books and journal articles internationally, including, "Success Factors for the Implementation of Entrepreneurial Knowledge Management in Malaysian Banks" (Journal of Information & Knowledge Management, 2013).

Dr Vijay holds an Honours Degree in Accounting and Finance from Scotland, a Master of Science Degree in Multimedia Technology (Banking), a Doctor of Business Administration (Banking Strategy and Marketing) from Australia, and a Doctor of Philosophy (Knowledge Management in Banking) from Malaysia. He recently successfully completed his Chartered Banker MBA from the Bangor Business School. He is also a Certified Training Professional (ARTDO) and a Certified HRD Corp trainer.

Learning Level | Intermediate

CLIMATE RISK MANAGEMENT: STRESS TESTING, SCENARIO ANALYSIS, CLIMATE RISK MODELS AND GHG ACCOUNTING

This one-day programme is designed to equip participants with the knowledge and skills needed to understand, assess and manage climate-related risks in the banking industry. Participants will learn about climate risk stress testing, scenario analysis and the integration of climate considerations into strategic decision-making.

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Understand how banks measure and manage climate risk
- Discuss relevant regulatory approaches to climate risk management and stress testing
- Identify and learn the different approaches to climate scenario analysis

TRAINING METHODOLOGY

Lecture, case studies and discussions

PARTICIPANT PROFILE

Senior management and anyone who would like to learn about climate risk management

PROGRAMME DETAILS

WHEN

24 March 2025
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001416437

PROGRAMME OUTLINE

Understanding Climate Change and its Impact

- Explore the science of climate change
- Examine physical and transition risks associated with climate change and sources of environmental risk
- Understand the importance of climate risk management for banks

Regulatory Frameworks and Disclosure Requirements

- Overview of relevant climate risk management and stress test guidelines
- Principles for climate risk management and scenario analysis
- Discuss emerging standards and best practices

Introduction to Stress Testing

- Define stress testing and its relevance to climate risk management
- Examine the key components of a stress-testing framework

Scenario Development

- Scenario approaches and how to create climate scenarios
- Understand the scenarios' impact on banking operations
- Practice scenario development exercises.

Data and Assumptions

- Identify data sources for climate risk modelling
- Discuss assumptions used in climate stress testing
- Address data limitations and uncertainties

Analysing Stress Test Results

- Interpret the results of climate stress tests
- Identify vulnerabilities and risks
- Develop strategies for risk mitigation

Greenhouse Gas Accounting and Reporting

- Reporting greenhouse gas emissions using recognised accounting methodologies
- Scope 1, Scope 2, and Scope 3 emissions and their relevance to various industries
- GHG emissions reports for disclosure and compliance

ABOUT THE TRAINER

CHEAH WEE LEONG

Cheah Wee Leong is the Director of Investment Banking at the Asian Banking School. He has more than 25 years' experience working in the banking industry with local and foreign banks in various roles and capacities. A seasoned professional, Wee Leong brings with him broad experience as a former banker and management consultant.

Wee Leong started his career at Citibank in 1993 before joining US management consultancy firm Accenture in 1997. At Accenture, he was involved in managing large bank merger-integration, process re-engineering and transformation projects. He has worked in projects in various countries including Hong Kong, China, Singapore, Indonesia, Thailand, Mauritius and Saudi Arabia.

In 2003, Wee Leong joined CIMB as its Head of Operational Risk Management and led the implementation of the bank's operational risk management and Basel 2 - Operational Risk framework. Subsequently, he assumed various senior roles in Trade Finance, Regional Transaction Banking, Corporate Banking, Treasury and Markets division and Group CEO office at CIMB. His last role at CIMB was as Director, Group Strategy. He has been designing and developing courses and conducting training regularly (covering various topics) with the Asian Banking School since 2017.

Wee Leong holds a Bachelor of Business Administration from the USA and a Master of Business Administration (with distinction) from the Anglia Ruskin University, United Kingdom. He obtained training from the Citibank Asia Pacific Banking Institute in Singapore in 1995. He is a certified Chartered Banker, Finance Accreditation Agency (FAA) Certified Training Professional and a holder of the "Certificate in Climate Risk" (awarded by the Chartered Body Alliance, UK) and PRINCE2 Foundation and Practitioner Certificate in Project Management. Wee Leong completed the "Leading the Sustainability in Transformation in Banking" programme with the Frankfurt School of Finance and Management (1 week course in Frankfurt, Germany) in October 2022.

MANAGING WHOLESALE MARKET CONDUCT

On 31 December 2021, Bank Negara Malaysia (BNM) issued “The Wholesale Market Conduct Practices Guidance Document,” a supervisory guidance document that provides practical support to market participants in managing policy, procedures and controls surrounding wholesale financial market conduct risk.

This one-day programme is designed to provide an appreciation of the “The Wholesale Market Conduct Practices Guidance” for those working in financial markets, as well as those working in compliance, risk management, internal audit and other related roles. It will also help raise awareness of participants on the importance of developing a strong ethical culture and internal control environment across the organisation.

The programme will cover good practices in key wholesale market conduct areas such as avoiding market abuse, implementing misconduct risk assessments and governance and oversight frameworks, trade and communication surveillance, internal control and culture aspects. It will also focus on understanding misconduct risks and how to develop and ensure good conduct culture to mitigate non-compliance of conduct rules.

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Have a deeper understanding of BNM’s “Wholesale Market Conduct Practices Guidance Document”
- Develop awareness of wholesale market conduct management
- Respond to the need to ensure strong conduct and control environment within the organisation

TRAINING METHODOLOGY

Lectures, case studies, exercises and discussions

PARTICIPANT PROFILE

Treasury, wholesale banking, compliance, risk management, audit personnel and anyone who needs to understand Wholesale Market Conduct and how it impacts his/her job

PROGRAMME DETAILS

WHEN

14 April 2025
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001252759

PROGRAMME OUTLINE

What is “conduct risk”

- Background and development
- Key challenges and common failures
- Penalties and enforcement actions

Case study: Market abuse and manipulation

Responsibility to preserve an ethical and honest market place

- Treatment of reference or fixing rate
- Position parking
- Dealing at non-current rates
- Dealing for personal account
- Entertainment and gifts
- Anti-Money Laundering and Counter Financing of Terrorism

Case study and exercise: What are the drivers of misconduct?

Sharing of information

- Handling of confidential information
- Conflict of interest

Case Study: Bank’s “conflict of interest policy” examples

Traceability, auditing and record keeping

Robust and clear policies, procedures and organisational structure

- Segregation of duties and authorisation
- Confirmation of dealings
- Security in dealing area
- After-hours and off-premises dealing

Managing conduct risk

- Culture
- Corporate governance
- Conflicts of interest
- Incentives and drivers of behaviour

The Role of Culture in Promoting Good Conduct

- Remuneration and key performance indicators
- Consequence management
- Training

Discussion: Actions to improve conduct and culture in financial institutions

ABOUT THE TRAINER

CHEAH WEE LEONG

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NAVIGATING GEOPOLITICAL RISK IN THE 21ST CENTURY

Geopolitical risk has become a critical consideration for governments, businesses and individuals. This programme is designed to provide participants with a comprehensive understanding of the complex and fast evolving geopolitical landscape in the 21st century. It will explore the key factors, trends, and strategies for managing geopolitical risk in an era characterised by global interdependence. Key geopolitical trends and strategies will be examined for informed decision-making in a rapidly changing global environment. By the end of this one-day programme, participants will learn how to proactively manage geopolitical risks, protecting their organisations' interests and enhancing resilience in the face of dynamic and rising geopolitical challenges.

PROGRAMME DETAILS

WHEN

28 April 2025
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700
**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001357265

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Analyse the major geopolitical trends and challenges of the 21st century
- Discuss geopolitical risks and its impact on business
- Develop strategies for identifying, assessing, and mitigating geopolitical risks
- Apply critical thinking and problem-solving skills in the context of geopolitical risks
- Enhance crisis management and decision-making skills in the context of geopolitical risks

TRAINING METHODOLOGY

Lecture, case studies and discussions

PARTICIPANT PROFILE

Investment managers, fund managers, investment bankers, corporate bankers, treasury & global markets teams, strategic planning teams, research analysts, risk and compliance officers and anyone seeking to understand how geopolitical risk affects their work and organisation

PROGRAMME OUTLINE

Introduction to Geopolitical Risk

- Understanding Geopolitical Risk
- Top Geopolitical Risks and their significance to business and financial institutions
- Predicting future geopolitical trends

Key Geopolitical Trends

- Multipolarity vs. Unipolarity
- Regional hotspots and conflicts
- Climate change and environmental geopolitics
- Trade wars and geoeconomic Risks
- Technology, cybersecurity and digital risks

Identifying and Assessing Geopolitical Risks

- Tools and methods for assessing Geopolitical Risk
- Analysing political, economic, social, and technological factors
- Geopolitical Risk indexes and indicators

Mitigating Geopolitical Risk in Business and Finance

- Impact on global markets
- Supply chain resilience and risk diversification
- Investment strategies in risky environments
- Strategies for managing risk in business operations

Managing Geopolitical Risk in the Digital Age

- Cybersecurity threats and responses
- Data privacy and sovereignty
- Social media and influence campaigns

Scenario Analysis and Stress Testing

- Preparing for unpredictable events
- Developing contingency plans
- Risk scenarios for organisational resilience

Implementing Geopolitical Risk Management

- Integrating Crisis and Geopolitical Risk Management into organisational culture
- Preparing for uncertainty and complexity
- Monitoring and continuous improvement

Crisis Management in Geopolitical Risk

- Crisis identification and response
- Decision-making under stress
- Media and public relations in crisis situations

Case Studies: Examining real-world examples of organisations successfully managing geopolitical risk and those facing challenges

ABOUT THE TRAINER

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OPERATIONAL RISK MANAGEMENT

This one-day programme provides practical knowledge and tools to increase understanding of managing Operational Risk Management and non-financial risks in financial institutions. Learning is achieved via theory and approach examples to assist participants apply the relevant application in their workplace. Additionally, insight will be achieved through the use of real-world examples.

This programme incorporates Q&A sessions and participants are encouraged to ask questions and interact with the trainer and other participants. By the end of it, participants should have an understanding of the framework and knowledge required to use in practice the key techniques and tools of Operational Risk Management.

PROGRAMME DETAILS

WHEN

12 June 2025
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001153901

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Explain the roles and importance of Operational Risk Management
- Conduct assessment on business processes to identify associated risks
- Develop action plans to mitigate associated risks within the business entity
- Direct and coordinate appropriate activities in Operational Risk Management programme
- Perform roles and responsibilities in developing risk management culture and enhancing Operational Risk Management across the organisation

TRAINING METHODOLOGY

Lecture including presentations, case studies and discussions

PARTICIPANT PROFILE

Business line managers, risk owners, control owners, risk management and compliance officers, internal audit and any employee who is responsible for instituting effective “3 lines of defence” in the organisation

PROGRAMME OUTLINE

Introduction to Operational Risk

- Definitions of Operational Risk
- Examples of significant Operational Risk events and losses
- Why Operational Risk Management matters

Case Study: Rogue trading

Definition of Risk Classes

- Potential forms of Operational Risk losses
- Categorising operational risk events and losses
- Top operational risk issues globally

Case Study: Regulatory breaches and penalties

Operational Risk Governance

- Risk management organisation
- “3 lines of defence” and leadership support
- Building a sound risk culture

Control Self Assessments

- Consider the use of qualified assessments of Operational Risk
- Review how Risk and quality can be measured in a subjective fashion
- Discuss the benefits of CSA

Key Risk Indicators

- Examples of KRIs
- Monitoring and reporting
- Early warning system and taking actions to mitigate risks

Building Scenario Analysis and Stress Testing

- Stress testing vs. scenario analysis
- Multiple event scenarios and dependencies
- Building scenarios and stress tests

Managing Operational Risk

- Common challenges of Operational Risk Management
- Techniques to improve ORM
- Best practices and ORM in the digital age

ABOUT THE TRAINER

CHEAH WEE LEONG

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Learning Level | Intermediate

WHOLESALE MARKET CONDUCT – RISK ASSESSMENT, SURVEILLANCE AND COMPLIANCE

This one-day programme focuses on the risk management and compliance aspects of “The Wholesale Market Conduct Practices Guidance Document” issued by Bank Negara Malaysia (BNM) on 31 December 2021. It will cover topics related to risk identification and surveillance, internal governance and controls and strengthening governance framework to mitigate risks related to misconduct. The roles of surveillance analysts to monitor and detect wholesale market abuse and misconduct (eg. setting-up an effective surveillance system) in their organisation will be analysed and discussed.

PROGRAMME DETAILS

WHEN

19 May 2025
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700
**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001254302

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Identify actions to be taken to perform market conduct risk assessment
- Develop, manage and operate robust surveillance programmes
- Establish strong internal control environment and risk aware culture to manage conduct risks

TRAINING METHODOLOGY

Lectures, case studies, exercises and discussions

PARTICIPANT PROFILE

Compliance, risk management, audit personnel, staff who are part of the “2nd line of defence” and anyone who would like to understand Wholesale Market Conduct and how it impacts his/her job

PROGRAMME OUTLINE

Risk Identification and Surveillance

- Financial institutions should have mechanisms in place to detect and deter market misconduct. An effective surveillance programme is necessary to detect misconduct, while a strong internal control environment serves to deter or prevent misconduct.

Market Conduct Risk Assessment

Monitoring For and Investigating Misconduct

- Misconduct to avoid:
 - » Wash Trading
 - » Position Parking
 - » Front Running
 - » Off-Market Rates
 - » Insider Dealing
- Surveillance of dealers' activities is the core pillar supporting an institution's ability to detect market misconduct by its dealers.

Case studies: regulatory actions on misconduct at financial institutions

Surveillance

- Trade Surveillance
- Communications Surveillance

Case study: How do financial institutions improve trade and communications surveillance?

Internal Governance and Controls

- Risk management
- Compliance
- Internal audit
- Reporting of non-compliance and audit findings
- Non-compliance by Dealers and Brokers

Control Environment in Managing Conduct Risks

- Governance and reporting
- Front-Middle-Back Office controls
- Segregation of duties
- Handling of inside information
- Conflicts of interest
- The role of Compliance and Internal Audit in managing wholesale conduct risk
- The role of culture in promoting good conduct

Key cultural drivers of misconduct

- The role of cultural drivers of misconduct
- Interplay between cultural drivers of misconduct and governance frameworks
- Lessons learned and good practices

Strengthening Governance Frameworks to Mitigate Misconduct Risk

- Mitigating cultural drivers of misconduct
- Strengthening individual responsibility and accountability
- Addressing the "rolling bad apples" phenomenon

Case studies and discussions

ABOUT THE TRAINER

CHEAH WEE LEONG

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UNDERSTANDING SDGS & CLIMATE RISK AWARENESS FOR BANKS

This one-day programme will provide an overview of the SDG and Paris Agreement, with a focus on how these initiatives are driving change across the globe. The programme aims to create awareness and explore how these global commitments are translated into our national and Bank Negara Malaysia (BNM) commitment, and the role that financial institutions can play in achieving these goals.

Participants will learn basic science-based knowledge on climate change and how to differentiate climate change mitigation and adaptation according to the BNM Climate Change and Principle-based Taxonomy (CCPT).

By end of this programme, participants will have a solid understanding of how to relate their to your bank's SDGs and climate agenda with global, national and central bank commitment, and what their role is in achieving these commitments.

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Explain how the SDG and Paris Agreement are driving change across the globe
- Interpret the role of financial institutions in achieving the SDG and Paris Agreement
- Identify their role in supporting their organisation's SDG and Climate commitment

TRAINING METHODOLOGY

Lecture, games, videos, quizzes, discussions throughout the modules, participants will be provided with references, publications, and relevant databases to dive deeper into specific discussed topics

PARTICIPANT PROFILE

Staff of financial institutions interested in the topic of sustainability & climate risk

PROGRAMME DETAILS

WHEN

14 May 2025
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,500

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001305747

PROGRAMME OUTLINE

SDG & Paris Agreement Commitment

- UN SDGs & Paris Agreement, Nationally Determined Contributions (NDCs)
- Broad concept of Sustainable Finance
- Understand our national and central bank commitment
- Activity: Identify your bank's sustainability commitment and strategies

Overview of Sustainable Risk

- Financial impact to banks & financing customers
- E,S,G Risks & Opportunities
- Climate Risk: Physical Risk & Transition Risk & Stranded Assets
- Activity: case study

Understanding Climate Change

- The science of climate change
- Climate mitigation & adaptation
- Decarbonisation & Renewable Energy
- Activity: calculate your carbon footprint

ABOUT THE TRAINER

MICHELLE LOO PHOY WAN

Michelle Loo has more than 20 years experience in the banking and training industry. On the banking side, her experience is in secured and unsecured lending, wealth products, bancassurance, SME banking and branch management.

Michelle started her career in the banking industry with HSBC Bank as a Mortgage Executive. In 2008, she joined OCBC as a Business Development Manager in SME Banking and during which time, the team she was in achieved Top 5 in the nationwide overall performance. Following that, she joined Maybank as a Mortgage Consultant. She was one of the pioneers in the Direct Sales Team and recognized as the Nationwide Top Team for 3 consecutive years. She then went on to join Alliance Bank with the position of Branch Manager. Subsequently, she joined Public Bank in Regional Sales and Support in SME Bancassurance, supporting 19 branches in the Klang Valley. Her main task was to maintain Regional top sales, which she achieved for 2 consecutive years.

She is actively involved in developing classroom and e-learning content, storyboarding and gamification, as well as conducting training for qualification, non-qualification and customised programmes at ABS. She holds a Bachelor's Degree in Economics majoring in Finance from University Putra Malaysia. She is also a Certified HRDF Trainer. She obtained the Professional Banker qualification (Associate Banker of AICB) in 2021 and received her qualification as a Certified Expert in Sustainable Finance from the Frankfurt School of Finance and Management, Germany in 2023.

SHARON LIEW SIU WEI

Sharon Liew is a Senior Consultant at the Asian Banking School (ABS) and has more than 17 years of banking and training experience. She started her career as a Senior Associate at BDO; leading audits of the government-linked group of companies under the Prime Minister's Office from 2005-2007. Following that, she joined Bank Negara Malaysia (BNM) as Financial Supervisor where her responsibilities included on-site and off-site surveillance of financial institutions in Malaysia. Pursuing her passion for teaching, Sharon then went on to teach banking and finance at the Tunku Abdul Rahman University College from 2014 to 2021.

At ABS, Sharon develops and delivers customised Sustainable Finance programmes for clients including designing Sustainable Finance Structured Learning Pathways. Currently, Sharon is working on expanding partnerships with government agencies to enhance the scope of training offerings to the banking and non-banking industry. She is also involved in conducting Qualification-related training.

Sharon conducts training in English, Bahasa Malaysia, Mandarin and Cantonese and has a teaching permit registered under the Ministry of Higher Education Malaysia. She is a qualified Chartered Accountant registered under the Malaysian Institute of Accountants and is a Certified HRDF Trainer. Sharon has a Bachelor of Accounting from the University Putra Malaysia and a Postgraduate Diploma in Teaching from the Open University Malaysia. She also holds a Professional Certificate in Islamic Finance from INCEIF, Diploma in Teaching English to Speakers of Other Languages (TESOL) from the London Teacher Training College and is a Certified Teacher in Teaching Chinese as a Foreign Language (CTCSOL) from Hanban. She is a Certified Expert in Sustainable Finance awarded by the Frankfurt School of Finance & Management, Germany.

CLIMATE CHANGE AND PRINCIPLE-BASED TAXONOMY (CCPT)

Financial institutions have a critical role to play in supporting orderly transitions while managing their exposures to climate risk from lending, investment and underwriting activities. Assessing exposures to climate-related risks in a consistent and effective way, both within a financial institution and across the industry, is therefore essential.

On 30 April 2021, Bank Negara Malaysia (BNM) published Malaysia's national climate focused sustainability taxonomy for the financial sector, the Climate Change and Principle based Taxonomy (CCPT). The CCPT sets out five Guiding Principles (GPs) intended to help financial institutions to assess and categorise economic activities according to the extent to which they meet climate objectives and promote transition to a low-carbon economy.

The CCPT provides a framework to facilitate robust and consistent assessments of economic activities and their associated impacts on climate mitigation, adaptation, and the transition to low carbon and more sustainable practices. This one-day programme aims to provide participants with an understanding of the key elements, features and requirements outlined under the CCPT. The CCPT and how to apply and implement it within financial institutions will also be examined and discussed.

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Explain the "Climate Change and Principle-based Taxonomy" (CCPT)
- Identify climate change impact and opportunities for financial institutions
- Interpret the CCPT and attempt to classify borrowers under the CCPT guidelines

TRAINING METHODOLOGY

Lecture, case studies and discussions

PARTICIPANT PROFILE

Sustainability champions, executives and officers from business units, credit, risk, compliance, audit and anyone whose role requires a good understanding of climate change and principles-based taxonomy

PROGRAMME DETAILS

WHEN

19 March 2025
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001292229

PROGRAMME OUTLINE

Introduction

- Overview of climate change and its impacts
- Importance of principles-based taxonomy in addressing climate change

Understanding Climate Change

- Definition and causes of climate change
- Greenhouse gas emissions and their impact on the climate
- Impacts of climate change on different sectors and regions

Principles-based Taxonomy

- Definition of principles-based taxonomy
- Key principles of principles-based taxonomy
- Guiding principles for the assessment of economic activities

Application of Principles Based Taxonomy to Climate Change

- Encouraging low-carbon and sustainable activities
- Assessment of economic activities
- Classification of economic activities
- Disclosing and reporting on climate impact of investments

Case Studies

- Examples of companies in different sectors implementing principles-based taxonomy
- Evaluation of the impact of principles-based taxonomy on climate change

Preparing for CCPT implementation

- Challenges and opportunities
- Discussion on data collection issues, data verification, classification challenges etc.

Case studies and discussions

ABOUT THE TRAINER

CHEAH WEE LEONG

Cheah Wee Leong is the Director of Investment Banking at the Asian Banking School. He has more than 25 years' experience working in the banking industry with local and foreign banks in various roles and capacities. A seasoned professional, Wee Leong brings with him broad experience as a former banker and management consultant.

Wee Leong started his career at Citibank in 1993 before joining US management consultancy firm Accenture in 1997. At Accenture, he was involved in managing large bank merger-integration, process re-engineering and transformation projects. He has worked in projects in various countries including Hong Kong, China, Singapore, Indonesia, Thailand, Mauritius and Saudi Arabia.

In 2003, Wee Leong joined CIMB as its Head of Operational Risk Management and led the implementation of the bank's operational risk management and Basel 2 - Operational Risk framework. Subsequently, he assumed various senior roles in Trade Finance, Regional Transaction Banking, Corporate Banking, Treasury and Markets division and Group CEO office at CIMB. His last role at CIMB was as Director, Group Strategy. He has been designing and developing courses and conducting training regularly (covering various topics) with the Asian Banking School since 2017.

Wee Leong holds a Bachelor of Business Administration from the USA and a Master of Business Administration (with distinction) from the Anglia Ruskin University, United Kingdom. He obtained training from the Citibank Asia Pacific Banking Institute in Singapore in 1995. He is a certified Chartered Banker, Finance Accreditation Agency (FAA) Certified Training Professional and a holder of the "Certificate in Climate Risk" (awarded by the Chartered Body Alliance, UK) and PRINCE2 Foundation and Practitioner Certificate in Project Management. Wee Leong completed the "Leading the Sustainability in Transformation in Banking" programme with the Frankfurt School of Finance and Management (1 week course in Frankfurt, Germany) in October 2022.

ESG AND SUSTAINABLE FINANCE: LATEST TRENDS, CLIENT ENGAGEMENT AND SUPPORTING CLIENTS' SUSTAINABILITY JOURNEY

As providers of finance and services to companies and other entities that are responsible for reducing GHG emissions, banks have the ability to boost the transition to a net-zero economy. Banks play a key role in promoting green and sustainable practices, given its roles as lender and advisor. Banks' client-facing staff are a vital link between finance and business action. Relationship managers and client-facing officers can facilitate two-way partnerships with companies to encourage net zero transitions and provide the necessary financial support.

This one-day programme is designed to equip sales and relationship managers with the knowledge and skills necessary to effectively engage with clients on Environmental, Social, and Governance (ESG) and sustainable finance topics. Participants will be provided with the latest ESG and Sustainable Finance developments and market insights in Malaysia, learn about the latest trends and opportunities and how to engage with clients to transition to a lower carbon business practice. This programme will also help relationship managers understand the importance of ESG risk profiling and harnessing financing opportunities that accelerate action.

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Discuss the latest ESG guidelines and trends affecting business and financial institutions in Malaysia
- Assist clients to raise their level of awareness of ESG and sustainability in their daily lives, organisation and business
- Participate in meaningful conversations with clients about their sustainability plans and associated financing needs

TRAINING METHODOLOGY

Lecture including presentations, case studies and discussions

PARTICIPANT PROFILE

Relationship managers, client account officers, sales personnel, risk and compliance officers and anyone interested to learn how to engage with business clients on ESG and Sustainable Finance

PROGRAMME DETAILS

WHEN

16 April 2025
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001358146

PROGRAMME OUTLINE

Introduction to Sustainable Finance

- Overview of sustainable finance concepts
- Global sustainability goals and frameworks
- The role of financial professionals in sustainable finance

Sustainable Finance Trends and Market Developments

- Latest trends in sustainable and green finance including guidelines and policies on climate taxonomy, national energy transition etc.
- Sustainable products and services
- Case studies of latest sustainable and green finance

Updates and Market Insights on ESG Developments

- Latest trends in the market
- Activities and sectors requiring green financing
- Sustainable financing opportunities in Malaysia

Client Engagement in Sustainable Finance

- Effective communication strategies for discussing sustainability with clients
- Identifying clients' sustainability values and preferences
- Navigating ESG topics with Corporate and Commercial customers

Roles of Sales personnel and Relationship Managers

- Why, What and How to start?
- Type of impactful questions to ask customers

How can you best prepare as a Relationship Manager?

- Developing robust processes for client engagement

Case studies and discussions: studies of companies in specific industries that has environmental and social impacts.

Discussion:

- What are the main challenges Relationship Managers face engaging clients and steering them towards low-carbon and green transition?
- What are the specific environmental, social and governance risks for the company and industry selected for study?
- How to engage in conversations with businesses and ask relevant questions and to obtain the necessary data required by your bank?

ABOUT THE TRAINER

CHEAH WEE LEONG

Cheah Wee Leong is the Director of Investment Banking at the Asian Banking School. He has more than 25 years' experience working in the banking industry with local and foreign banks in various roles and capacities. A seasoned professional, Wee Leong brings with him broad experience as a former banker and management consultant.

Wee Leong started his career at Citibank in 1993 before joining US management consultancy firm Accenture in 1997. At Accenture, he was involved in managing large bank merger-integration, process re-engineering and transformation projects. He has worked in projects in various countries including Hong Kong, China, Singapore, Indonesia, Thailand, Mauritius and Saudi Arabia.

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Learning Level | Intermediate

ESG DISCLOSURE, REPORTING AND COMPLIANCE

This one-day programme is intended to provide banking and finance professionals with good knowledge and skills related to Environmental, Social, and Governance (ESG) disclosure, reporting and compliance. The programme covers the principles, frameworks, regulations and best practices associated with ESG considerations in business operations. Participants will gain practical insights into integrating ESG factors into corporate strategy, reporting mechanisms and compliance requirements to enhance transparency, accountability and sustainable performance.

PROGRAMME DETAILS

WHEN

26 June 2025
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001416534

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Describe ESG frameworks, standards and reporting guidelines
- Explain regulatory requirements and compliance obligations related to ESG reporting
- Identify best practices in ESG reporting and communication specific to Malaysia
- Learn about stakeholder engagement and communication strategies for ESG disclosures

TRAINING METHODOLOGY

Lecture, case studies and discussions

PARTICIPANT PROFILE

Executives, managers, sustainability professionals, risk and compliance officers and anyone involved in ESG reporting and compliance from banks & financial institutions

PROGRAMME OUTLINE

Introduction to ESG in Malaysia

- Importance of ESG in Malaysia's economic and social development
- Regulatory landscape and key drivers of ESG reporting (Bursa Malaysia, Securities Commission Malaysia, etc.)
- Overview of upcoming mandatory ESG reporting requirements

Key Frameworks and Compliance

- Bursa Malaysia's Sustainability Reporting Guide: Requirements, key performance indicators (KPIs), and compliance strategies
- Comparison of ESG reporting standards and guidelines: understanding GRI, SASB, and TCFD frameworks and their alignment with Malaysian regulations
- Selecting appropriate frameworks for organisational needs and integrating international best practices with local requirements

ESG Risk Assessment and Materiality Analysis

- Identifying ESG risks and opportunities
- Conducting a materiality assessment considering Malaysian stakeholders and priorities
- Integrating ESG considerations into risk management processes

ESG Data Collection and Reporting

- Data collection methodologies and sources
- Key performance indicators (KPIs) for ESG measurement
- Reporting best practices and disclosure requirements

Regulatory Landscape and Compliance

- Overview of ESG-related regulations and mandates
- Compliance obligations for public and private entities
- Reporting deadlines and requirements

Stakeholder Engagement and Communication

- Engaging with stakeholders on ESG matters
- Developing effective communication strategies
- Addressing stakeholder concerns and feedback
- Building trust and credibility through transparent communication

Real-World Examples and Best Practices

- Analysing real-world examples of ESG reporting by Malaysian companies
- Identifying best practices in communication and stakeholder engagement
- Sharing experiences and challenges specific to the Malaysian environment

Case Studies and Discussions

ABOUT THE TRAINER

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Learning Level | Intermediate

ESG IN ASSET AND WEALTH MANAGEMENT

This one-day programme is designed to provide asset and wealth management professionals with a comprehensive understanding of ESG principles, strategies, and implementation techniques. Participants will learn to integrate ESG considerations into their investment strategies, assess the risks and opportunities associated with ESG factors, and align investments with sustainability goals. It equips participants with the knowledge and tools needed to incorporate ESG considerations into investment practices, thereby contributing to sustainable and responsible asset and wealth management.

PROGRAMME DETAILS

WHEN

4 June 2025
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001357055

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Explain ESG and its relevance in asset and wealth management
- Apply ESG concepts and principles in asset and wealth management
- Understand various ESG investment strategies and perform risk assessment

TRAINING METHODOLOGY

Lecture, exercises, case studies and discussions

PARTICIPANT PROFILE

Asset and wealth managers, fund's managers, risk managers and anyone who would like to have a good understanding of ESG for asset management in Malaysia

PROGRAMME OUTLINE

Understanding ESG Principles

- Definition and importance of ESG (Environmental, Social, Governance)
- Global trends in ESG investing
- Regulatory landscape and guidelines in Malaysia

Integrating ESG into Investment Decision-Making

- ESG integration in fundamental analysis
- ESG screening and exclusion criteria
- ESG engagement and shareholder activism

ESG Data and Metrics

- Sources of ESG data
- ESG rating agencies and their methodologies
- Analysing ESG metrics and scores

ESG Investment Strategies

- Screening and exclusion strategies
- Positive ESG integration

ESG Risk Assessment

- Identifying and assessing ESG risks
- Sector-specific ESG challenges and opportunities
- Building resilient portfolios through risk mitigation

ESG Reporting and Communication

- Reporting standards (e.g., GRI, SASB, TCFD)
- Case studies on effective reporting

ESG Regulations and Disclosure

- Regulatory developments in ESG and Sustainable Finance
- ESG disclosure requirements and frameworks
- Stakeholder engagement and communication

Case studies and discussions

ABOUT THE TRAINER

CHEAH WEE LEONG

Cheah Wee Leong is the Director of Investment Banking at the Asian Banking School. He has more than 25 years' experience working in the banking industry with local and foreign banks in various roles and capacities. A seasoned professional, Wee Leong brings with him broad experience as a former banker and management consultant.

Wee Leong started his career at Citibank in 1993 before joining US management consultancy firm Accenture in 1997. At Accenture, he was involved in managing large bank merger-integration, process re-engineering and transformation projects. He has worked in projects in various countries including Hong Kong, China, Singapore, Indonesia, Thailand, Mauritius and Saudi Arabia.

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Learning Level | Intermediate to Advanced

CERTIFIED EXPERT IN BIODIVERSITY FINANCE

Biodiversity underpins life on Earth. Healthy ecosystems provide ecosystem services essential to human well-being and economic activities. Scientists estimate that one million of the world's estimated 8 million species of plants and animals are on the verge of extinction, and this could curtail our ability to meet the Sustainable Development Goals (SDGs) and limit global warming to 1.5 degrees.

Why should financial institutions care? In today's world, financial institutions play a pivotal role in managing financial

resources and contributing to the conservation of our planet's invaluable biodiversity. They impact biodiversity through their lending or investment decisions. At the same time, they are exposed to physical, reputational, systemic, and transitional risks due to biodiversity loss. Hence, the disruption of biodiversity poses significant yet often overlooked risks to financial stability. The financial sector is increasingly acknowledging the financial risks associated with biodiversity loss and the integral role it could play in preserving the delicate balance of life on Earth. According to UNEP, if the world is to achieve its climate change, biodiversity, and land degradation objectives, investments in nature must triple by 2030.

Our Biodiversity Finance Course is designed to deepen your understanding of risks associated with biodiversity loss and empower you with the knowledge and tools needed to support the preservation of Earth's diverse ecosystems as financial actors.

PROGRAMME DETAILS

Next Intake – 1 Mar to 31 Aug 2025
Final exam will be in September 2025

Training Platform : Online
(Frankfurt School e-Campus)

*This programme has two intakes per year –
March & September*

FEES

Early Bird Fee (By 15 Jan 2025)

MYR 4,500 / SGD 1,300

Regular Fee (After 15 Jan 2025)

MYR 5,500 / SGD 1,600

(Fee shown is per participant and subject to 8% Service Tax. An additional final exam fee will be charged by the Frankfurt School for the second and third final exam attempt)



1 CPD Hour for each hour of study time, up to a maximum of 20 CPD Hours



HRDC Prog No:
10001377930

TRAINING METHODOLOGY

- An interactive e-learning course including video lectures, PDF scripts, practical exercises, online tests and case studies.
- A discussion forum for course related issues as well as for exchange of opinions and experiences with tutors, peers or the FSDF e-Campus team.
- Personalised support from the Frankfurt School e-Campus team.
- The possibility to achieve an internationally recognised Frankfurt School certificate after passing the 2-hour online final exam or a confirmation of course completion after completing the course.
- This online course can be complemented with a classroom component. It can be held at the Asian Banking School but only if there are sufficient numbers and with additional programme fees. This is voluntary and not a requirement to get certified by the Frankfurt School.

PARTICIPANT PROFILE

Finance experts and professionals, including retail bankers, financial advisors, asset managers, risk managers etc., and all other financial industry professionals and practitioners in the financial sector interested in the topic of sustainable and biodiversity finance.

PROGRAMME OUTLINE

The programme takes approximately 6 months assuming 3-4 hours of self-study per week. It consists of 5 mandatory units, which build upon each other. There are 2 intakes per year - March and September.

Unit 1: Setting the Scene – Introduction to Biodiversity and Ecosystem Services

In this unit, we introduce the participants to the complex world of biodiversity, providing a comprehensive overview of concepts, the science, and exploring the interplay and interdependence between biodiversity loss and climate change. The unit also provides regional and sectoral case examples of the importance of biodiversity to the overall society and business.

UNIT 2 : Biodiversity Loss Threat to the Financial System – Risks, Impacts and Dependencies

Within this module, we explore how the loss of biodiversity possesses the capacity to disrupt the financial system and the economy as a whole. It explains key terminologies and concepts of biodiversity finance and biodiversity loss as impact dependence and double materiality. This Unit delves into the intricate connections between biodiversity and financial stability, explaining double materiality and risk management strategies.

UNIT 3 : Public and Private Sector – Initiatives and Available Frameworks

The module is dedicated to biodiversity initiatives and frameworks. It is specifically designed to help participants develop a good understanding of the role of the public and private sectors in biodiversity finance. It introduces participants to initiatives currently under development at the regulatory level, such as taxonomies. This module also aims to cover platforms and tools available for assessing biodiversity-related risks and opportunities.

UNIT 4 : Measuring, Reporting and Strategy

In this module, participants will gain insights into the current tools, databases, initiatives, targets, metrics, and approaches that are used to evaluate biodiversity risks, and measure impacts, and interdependencies within a company's overall strategy.

UNIT 5 : Opportunities and Innovation

Having grasped the context of biodiversity finance, the risks associated, and current standards, tools, and frameworks, the fifth unit delves into the biodiversity finance landscape, exploring an array of financial opportunities, including projects related to biodiversity as nature-based solutions, climate-smart agriculture, and restoration. Lastly, the module further delves into the financial products, funds and forms of capital suited for investment in this realm.

UN SUSTAINABLE DEVELOPMENT GOALS

This programme equips you with the knowledge to advance the following SDGs:



THE FS-UNEP CENTRE

The content of this course was developed by the Frankfurt School - UNEP Collaborating Centre for Climate & Sustainable Energy Finance (<http://fs-unep-centre.org/>). The Centre is a strategic cooperation between Frankfurt School and the UN Environment programme. Its vision is to advance transformation to resilient low-carbon and resource-efficient economies by attracting new types of investors, in particular catalysing the financing of clean energy by the private sector. The Centre is UNEP's major knowledge hub for climate & biodiversity finance related aspects.



Frankfurt School

of Finance & Management

German Excellence. Global Relevance.

Learning Level | Intermediate to Advanced

CERTIFIED EXPERT IN ESG & IMPACT INVESTING

Environment Social and Governance (ESG) and Impact Investing are approaches to finance that are related to each other, although they may occupy different ends of the spectrum in terms of methodological intensity or operational mind-set. They have gained a lot of traction in recent years and will continue to do so in the (post-) Corona world. Calls for a green and socially equitable re-start are very prominent. The EU's Green New Deal ("Green Deal") and the UN's Sustainable Development Goals (SDGs) are good examples of how ESG criteria and ideas of Impact Investing are being incorporated into policy objectives.

PROGRAMME DETAILS

Next Intake – 1 Mar to 31 Aug 2025

Training Platform : Online
(Frankfurt School e-Campus)

*This programme has two intakes per year –
March & September*

FEES

Early Bird Fee (By 15 Jan 2025)

MYR 8,000 / SGD 2,450

Regular Fee (After 15 Jan 2025)

MYR 9,500 / SGD 2,900

(Fee shown is per participant and subject to 8% Service Tax. An additional final exam fee will be charged by the Frankfurt School for the second and third final exam attempt)



1 CPD Hour for each hour of study time, up to a maximum of 20 CPD Hours



HRDC Prog No:
10001266709

TRAINING METHODOLOGY

An interactive e-learning programme including video lectures, PDF scripts, examples, practical exercises, online tests, assignments and case studies. A discussion forum for course related issues as well as for exchange of opinions and experiences with trainers, peers or the FSDF e-Campus team. Personalised support from the Frankfurt School e-Campus team. The possibility to achieve an internationally recognised Frankfurt School certificate after passing the 2-hour online final exam or for those not wanting to take the final exam, a confirmation of course participation after completing the course.

PARTICIPANT PROFILE

Finance experts and professionals, including retail bankers, financial advisors, asset managers, risk managers etc. and all other financial industry professionals interested in the topic of sustainable finance. Also designed for those working in international DFIs, multilateral donor organisations, institutional investors, pension funds, insurance companies, asset and fund managers who want their investments to achieve positive impact.

PROGRAMME OUTLINE

The course takes approximately 6 months assuming 4-6 hours of self-study per week. It consists of 7 mandatory units, which build upon each other.

UNIT 1 : Introduction and Overview: What are ESG Criteria and Impact Investing

This introductory unit provides you with an understanding of how ESG criteria and Impact Investing relate to traditional mainstream finance. It familiarises you with major stakeholders, initiatives, and approaches for integrating non-financial issues into the day-to-day activities of investing. Key concepts and themes are introduced, which establish foundations for a learning journey that will be expanded upon over the subsequent units.

UNIT 2 : Historical and Theoretical Context

ESG and Impact Investing are orientated within different operational contexts: from asset management, through direct investment, to advisory services, etc. This unit helps you understand what is relevant for your context, whilst also making you aware of other requirements you may encounter in adjacent parts of the industry. It contextualises the historical evolution and theoretical development of ESG and Impact Investing to show how they complement each other, and to demystify why some narratives about managing non-financial factors appear to be fragmented and conflicting. Conceptual structures are presented that help you apply theory to praxis via a unified framework.

UNIT 3 : Strategies for Measuring and Managing ESG Criteria and Impact

There are different strategic pathways available to managing ESG and impact factors. Each pathway represents a different level of methodological intensity. You will be introduced to the techniques and tools that are relevant for each, and learn how to choose the pathway that is most suitable for your needs or circumstances. This unit also clarifies how these strategic pathways align with operational mind-sets such as compliance, risk management, as well as proactively managing performance against non-financial goals.

UNIT 4 : Integrating ESG and Impact Management in the Investment Process

Each of the strategic pathways for managing ESG and impact can be integrated at every stage of the investment process: from deal sourcing and due diligence, through ongoing investment management, to final exit or contract maturity. These all follow the same high-level process that aligns with the Deming quality management cycle. This is applied with different levels of intensity and granularity depending on the strategic pathway being followed. You will learn how this process can be deployed across all asset classes, across publicly traded securities and private over-the-counter transactions, and by retail investors as well as institutional investors.

UNIT 5 : Specialised Financial Instruments and Legal Contracts that Integrate ESG and Impact

This unit starts with an introduction of traditional asset classes and financial instruments (a refresher for anyone familiar with both investing and philanthropy). You will learn how ESG and impact features are incorporated into financial instruments. This can be achieved either by enhancing traditional instruments with additional requirements and obligations, such as with Green Bonds; or by developing innovative instruments and hybrid models that introduce new contractual and financial arrangements, such as Social Impact Incentives.

UNIT 6 : Ratings, Indicators, Non-Financial Reporting and Data

There are currently no universally accepted standards for reporting non-financial information, although there are several voluntary frameworks and norms established by industry initiatives. You will learn about the key regulations and legal requirements that are currently in various stages of development, notably by the European Commission. The unit also covers key trends, selected best practice, and challenges to help you navigate the various ratings, labels, certifications, and evaluation methods that are available. The challenges and opportunities in data collection are outlined, which explains why information from different providers of data and ratings is often weakly correlated.

UNIT 7 : Elective Module

Participants choose one module from the following options. The unit will conclude with an assignment that is tailored to the option you choose.

- 7a Marketing, Fundraising and Sales – this option allows you to delve deeper into designing and presenting a product, fund, or other business idea for ESG and impact investing. It covers fund design, investor targeting, and how to present to investors.
- 7b Due Diligence – this option allows you to delve deeper into assessing investment opportunities. It covers the different tools available and how to take into account the market context, governance, legal form and business models, as well as planning an on-site visit.

UN SUSTAINABLE DEVELOPMENT GOALS

This programme equips you with knowledge to advance all the SDGs



ABOUT THE TRAINERS



Prof. Dr. Zacharias Sautner is Professor of Finance at Frankfurt School of Finance & Management. He was previously Associate Professor of Finance at the University of Amsterdam and Research Fellow in Finance at the Saïd Business School of the University of Oxford. Zacharias Sautner works in the area of empirical corporate finance and focuses on ESG, climate finance, and corporate governance. His research was published in leading international journals such as the Journal of Finance, Review of Financial Studies, Management Science or Review of Finance and he has presented at conferences such as the AFA, WFA, and EFA. He was ranked #2 in Germany in Business Administration (#1 in Finance) based on A+ publications (age of 40 or below) by Forschungsmonitoring.org (February 2019). He teaches corporate finance, valuation, and corporate governance and has won different research and teaching awards.



Lucie Charrier is a Senior Financial Inclusion Expert with 15 years of experience in Latin America and the Caribbean, Africa, the Middle-East, Europe and Central Asia. Lucie Charrier is currently Senior Business Development Expert for the implementation of the Technical Assistance Programme of the European Investment Bank (EIB) to the Financial Sector that is being implemented by Frankfurt School of Finance and Management since May 2018 in the Caribbean region. Lucie is a Qualified Social Performance Auditor by CERISE since December 2015. Lucie was previously Country Manager Peru for Oikocredit and Senior Analyst at Planet Rating. Lucie has conducted more than 100 institutional, financial and social performance ratings, due diligence and evaluations, social audits, Smart Campaign's client protection principles certifications as well as technical assistance projects in Latin America and the Caribbean, Africa, the Middle-East, Europe and Central Asia.



Karl Richter is the co-founder of EngagedX, which is a consultancy specialising in impact investing, thought leadership, data and tech development, advocacy and policy work. Karl works internationally across private, public, and social sectors. He lectures at the Frankfurt School of Finance and Management, and has guest lectured at the Universities of Oxford and Cambridge. Karl's previous experiences include a 12 month assignment as Head of Research and Knowledge for the UNDP SDG Impact Finance initiative (UNSIF), where he led research to improve the analytical framework for social impact investing; membership of Groupe d'Experts de la Commission sur l'Entrepreneuriat Social (GECEs) of the European Commission to advise on its Social Business Initiative; part of the OECD expert group on social impact investing. Karl is currently Senior Fellow of the Finance Innovation Lab; and adviser to several organisations, including the China Alliance for Social Value Investment. Alliance for Social Value Investment.



Dina Lorenz studied economic law focusing on finance and started her career as a consultant and investment banker specialised in the acquisition and respective valuation of financial institutions. She now works as a Business Development Manager, Regulatory. Dina specialises in Impact Investing topics having worked for a Swiss Impact Investment Fund the last several years in Lima, Peru. Underlying her dedicated work within Impact Investing, she is a member of the Board of SA Oikocredit North Germany, a support association of Oikocredit International, a Netherlands' based worldwide cooperative and social investor, providing funding to the microfinance sector, fair trade organisations, cooperatives and small to medium enterprises in Africa, South-East Asia, India and Latin America. In addition, she is a consultant to the Green Sustainable Finance Cluster Germany as well as founding member on the establishment of the Federal Initiative Impact Investing in Germany.

CERTIFIED EXPERT IN SUSTAINABLE FINANCE

The global economy has grown rapidly in the last 200 years but this achievement has come at the cost of climate change and environmental damage. With increased environmental awareness among nations and people, a global strive towards sustainable development is now growing. You may ask yourself what does this have to do with finance? Making the necessary change, transformation of our economies and societies require commitments, innovations and, last but not least, finance and investments.

Sustainable Finance can help steer the global economy towards sustainability and accelerate the necessary change. The financial sector has an important role in deciding where capital flows and by implication where it does not flow to. In this programme, you will learn about creating impact, managing sustainability risks, and benefiting from sustainable business opportunities. It will familiarise participants with the business, regulatory and technical perspectives of sustainable finance and will acquaint them to take an active part in the discussion around the topic. The programme aims to enable financial professionals to integrate Sustainable Finance related aspects into their work through new knowledge and tools for advising their clients and business partners.

The programme provides a comprehensive introduction to the world of sustainability, no prior knowledge of Sustainable Finance or Finance is required.

TRAINING METHODOLOGY

- An interactive e-learning programme including video lectures, PDF scripts, examples, practical exercises, online tests and case studies.
- A discussion forum for course related issues as well as for exchange of opinions and experiences with tutors, peers or the FSD e-Campus team.
- Personalised support from the Frankfurt School e-Campus team.
- The possibility to achieve an internationally recognised Frankfurt School certificate after passing the 2-hour online final exam or a confirmation of course completion after completing the course.
- This online course can be complemented with a classroom component. It can be held at the Asian Banking School but only if there are sufficient numbers and with additional programme fees. This is voluntary and not a requirement to get certified by the Frankfurt School.

PROGRAMME DETAILS

Next Intake – 1 Mar to 31 Aug 2025
Final exam will be in September 2025

Training Platform : Online
(Frankfurt School e-Campus)

*This programme has two intakes per year –
March & September*

FEES

Early Bird Fee (By 15 Jan 2025)

MYR 8,000 / SGD 2,450

Regular Fee (After 15 Jan 2025)

MYR 9,500 / SGD 2,900

(Fee shown is per participant and subject to 8% Service Tax. An additional final exam fee will be charged by the Frankfurt School for the second and third final exam attempt)



1 CPD Hour for each hour of study time, up to a maximum of 20 CPD Hours



HRDC Prog No:
10001230425

PARTICIPANT PROFILE

Finance experts and professionals, including retail bankers, financial advisors, asset managers, risk managers etc. and all other financial industry professionals interested in the topic of sustainable finance.

PROGRAMME OUTLINE

The programme takes approximately 6 months assuming 5-8 hours of self-study per week. It consists of 10 mandatory units, which build upon each other.

UNIT 1 : The World of Sustainability

This first unit sets the scene and introduces participants to the world of sustainability by explaining the key factors that underpin the science of climate change and global warming, including climate diplomacy. It also provides an overview of the different rationales for why integrating sustainability considerations into the financial market is important and discusses the role of the financial system in the transformation to a low-carbon and more sustainable economy.

UNIT 2 : Towards a Sustainable Financial System

The institutional setup is important for mainstreaming sustainability in the financial system. In Unit 2, participants are introduced to the role of the financial system, involved stakeholders, and their interplay, especially in the context of driving the transition towards a sustainable financial system. The specific roles of the different financial actors are explained, accompanied by practical exercises in an interactive learning environment.

UNIT 3 : The Role of Regulation and Policy

Unit 3 demonstrates and explains through detailed examples of the role and rationale of regulation and policy in sustainable finance. There is also a “deep dive”, a closer look, at the EU sustainable finance regulatory and policy landscape provided in this unit.

UNIT 4 : Sustainable Finance in the Financial Institution's Strategy

The unit introduces the strategic implications of mainstreaming sustainable finance within institutions, continuing the structure introduced in previous units of looking at risk and impact management as well as the resulting opportunities from a strategic point of view.

UNIT 5 : Sustainability Risks and Impact Through Financing

Unit 5 discusses how to implement and manage sustainability risks and create impact through financing for financial actors. It will deepen the participants' knowledge of the risk and impact perspectives. An overview of (main) sustainable finance risks that financial actors are facing will be provided, together with steps to be taken to identify, measure and mitigate them. Moreover, the importance of impact measurement and its methodological concepts are introduced in the unit.

UNIT 6 : Sustainable Finance Opportunities (Products)

Unit 6 provides an overview of sustainable finance related opportunities. It focuses on debt and equity-related sustainable products, especially green bonds, and is complemented by a section on additional services.

UNIT 7 : Banking

This unit provides an overview of the market environment, potential, development, and criticism for wholesale and investment, retail, and corporate banking products and services.

UNIT 8 : Institutional Investors

Unit 8 provides an overview of the institutional investors, their mandates as well as implementation methods. Furthermore, the unit discusses the role and construction of benchmarks.

UNIT 9 : Insurance

The role of insurance in mitigation of sustainability is discussed in unit 9. Participants will learn what role insurance plays within the wider financial system and build an understanding on how climate-related financial risks are impacting the finance sector.

UNIT 10 : Recap and Outlook

The course concludes with a re-cap of the learning materials from unit 1 to unit 9, while also making an outlook of ongoing and upcoming developments in the sustainable finance sector.

UN SUSTAINABLE DEVELOPMENT GOALS

This programme equips you with the knowledge to advance the following SDGs:



ABOUT THE TRAINERS



KARSTEN LÖFFLER

Karsten Löffler heads the FS-UNEP Collaborating Centre for Climate & Sustainable Energy Finance. He used to represent the GSFCG in the EU Commission's Technical Expert Group (TEG) on Sustainable Finance, where he actively shaped the EU Taxonomy applying his extensive experience in renewable energy finance. In October 2020 he became a member of the Commission's newly established Platform on Sustainable Finance. Furthermore, Karsten had the honour to chair the Sustainable Finance Committee of the Federal Government. One of the tasks was to develop recommendations for a Sustainable Finance strategy for Germany.



PROF. DR. ULF MOSLENER

Prof. Dr. Ulf Moslener is professor for Sustainable Energy Finance at the faculty of Frankfurt School. As Head of Research at the Centre, his current fields of research are the economics of climate change, financing sustainable energy systems and climate finance. He is a board member of the newly founded Green and Sustainable Finance Cluster Germany, which support the process of transformation to a green and sustainable economy through innovative and scalable support from the financial industry. Dr. Moslener holds a Diploma in Physics and a PhD in Economics from the University of Heidelberg. After working at the Centre for European Economic Research (ZEW) in Mannheim, he joined KfW Development Bank in Frankfurt.



SEBASTIAN RINK

Sebastian Rink is a green and sustainable finance expert and researcher at the Frankfurt School – UNEP Collaborating Centre. He is, among other topics, analysing the question how banks can facilitate the transformation of SMEs' business models. Additionally, Sebastian is an associate in the Net Zero Banking Alliance Germany by the Green and Sustainable Finance Cluster Germany. His prior work includes co-developing the EU Taxonomy, policy advisory, advisory in the private financial sector, transaction management, and provision of training and education.

FURTHER COURSE TRAINERS & EXPERTS

- » Dr. Christine Grüning, Senior Project Manager, FS-UNEP Centre
- » Dr. Alexander Lehmann, Head of the Sustainable World Academy at Frankfurt School.
- » Malin Emmerich, Project Manager, FS-UNEP Centre
- » Menglu Neupert-Zhuang, Sustainable Finance Expert & Project Manager
- » Christina Anselm, Project Coordinator, FS-UNEP Centre
- » Youri Matheis, FS-UNEP Centre
- » Dr. Anne Michaels, Luiza Linton, and Urata Biqkaj-Müller, Magnolia Consulting GmbH

THE CUSTODIAN BANK: THEN, NOW AND THE FUTURE

Custodian Banks in the securities services business play a critical role in ensuring the safety of their clients' assets and interests.

Globally, the value of assets held in custody is estimated to be over USD200 trillion, with a considerable size held by the large Malaysian custodian banks.

In order to remain relevant and to create a sustainable business, a custodian today has moved away from the traditional role of settlement, safekeeping of assets and corporate action. The modern custodian has added new products into their suite of services, provides connectivity for clients within the value chain, advocates for market efficiency and leverages on technology to reinvent continuous transformation.

Given the size of this industry and the expanding role of a custodian, this one-day programme will enable participants to understand the custodian's business in this era. This includes the risks custodians face in discharging their duties, the use of technology in creating value for their clients and the support needed from decision makers and governing bodies.

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Define the evolving role of a custodian bank and value they bring to the Capital Market
- Identify key risks areas and implement appropriate controls
- Evaluate the use of technology globally and how these may be adapted locally
- Assess the support required from decision makers and governing bodies to facilitate further growth

TRAINING METHODOLOGY

Lectures including presentations, case studies and group discussions

PARTICIPANT PROFILE

Custodian bank practitioners (front, middle and back office), Business and Operations decision makers, Support and Control divisions (Audit, Compliance, Legal), Asset Managers and Trustees

PROGRAMME DETAILS

WHEN

10 April 2025
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001432346

PROGRAMME OUTLINE

Module 1 – Defining a Custodian

- Understand the relevance of a custodian
- Recognise the evolving role
- Appreciate the inter-connectivity within the wider ecosystem
- Compare the local, regional and global post-trade landscape
- Activity 1 – Case Study

Module 2 – Assessing Risks and Controls

- Review a typical custody agreement and the contractual obligation
- Recognise risks and the appropriate controls
- Build a sound risk culture and the right tone from the top
- Discuss regional/global decision-making and local autonomy
- Activity 2 – Group Discussion

Module 3 – The Evolving Landscape

- Assess key challenges faced today
- Create efficiency through technology and digitalisation
- Define the shift in revenue component weightage
- Review the impact of regulatory changes
- Activity 3 – Video Presentation, Q&A

Module 4 – The Future Custodian

- Expand and deepen suite of services
- Incorporate agility, client-centricity and technology to remain relevant
- Integrate and build partnerships
- Create a regulatory environment that supports growth
- Activity 4 – Group Discussion

ABOUT THE TRAINER

JACQUELINE WILLIAM SEBASTIAN

Senior Leader (Managing Director – last corporate title) with over 28+ years' experience in Banking, driving growth initiatives for a foreign bank's Global Transaction Banking and Securities Services in Sub-ASEAN (Singapore, Thailand, Philippines, Vietnam and Malaysia). Extensive product and business knowledge covering Securities Services (Regional Custody, Trustee, Fund Administration), Trade Finance and Cash Management.

Held the position of Non-Independent Director on five boards, overseeing the business, risk, and compliance of the banks' subsidiaries. Preserved the banks' reputation through decisions made as a voting member of various Management Committees.

A recognised leader for possessing strong communication and interpersonal skills, enabling partnerships across teams/clients, for impactful deliverables to various stakeholders. Excellent track record for driving business growth while balancing risks, practicing good business ethics, and utilising available resources efficiently.

BOND PRICING AND INTEREST RATES: INTERPRETING SIGNALS FOR ECONOMIC FORECASTING

The economy is in a confusing state. And in uncertain economic times, we go back to our bread and butter - economic indicators. Some of the best indicators can be found in one of the biggest financial marketplaces in the world, the interest rate and bond price. In this one-day programme, we will dive into the mysteries of the interest rate and bond market, reviewing what exactly they are and how they impact the economy, by analysing past events and case studies.

PROGRAMME DETAILS

WHEN

29 May 2025
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700
**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001417983

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Describe with confidence the workings of the interest rate and bond market
- Identify the main drivers of bond prices
- Analyse the impact of interest rates and economic conditions on bond prices
- Formulate strategies to deal with changes in interest rates and bond prices

TRAINING METHODOLOGY

Lectures including presentations, case studies and discussions

PARTICIPANT PROFILE

Banking & financial service professionals who want to gain an understanding of the bond market and the impact of changes in interest rates on the financial market

PROGRAMME OUTLINE

Getting a Feel of the Bond Market

- Why the bond market matters so much?
- Why bonds? Why not just borrow from banks?
- Who issues bonds? Who taps the bond market?
- Who invests in bonds?
- The key features of bonds
- Case study – Why did the company issue negative yield bonds?

Reading the Yield Curve

- Types of yield curves
- Application of yield curves
- Why it has been so accurate in predicting a recession
- Case study – Yield curve and recession

The Different Types of Yield

- Types of yields: Nominal yield, current yield & yield to maturity
- The determinants of bond price
- Bond risks – Interest rate risk and default risk
- Case study – the Collapse of Silicon Valley Bank - a study in the Inverse Relationship between Bond Price and Interest Rate
- Case study – Credit Suisse CoCo Bonds (AT1 bonds) - The anomaly of equity ranking higher than bond and how the market for AT1 is shaken

Drivers of interest rates

- Factors affecting interest rates
- Central bank's role in managing interest rates
- Case study – a cut in OPR and the bond price in Malaysia

ABOUT THE TRAINER

WONG LOKE LIM

Loke Lim has almost thirty years of banking and finance-related experience. He is the principal of i-Biz Concept Sdn Bhd, a financial training and management resources provider. He is also a director of a Bursa Malaysia-listed company and sits on the Board of Governors of the Financial Planning Association of Malaysia, where he was the Deputy President from 2011-2013. Previously, he was the Chief Executive/Licensed Representative of a fund management company, a general manager at KAF Investment Bank Berhad and the Group Chief Internal Auditor of a KLSE (now Bursa Malaysia)-listed company. He speaks regularly on topics relating to capital markets, treasury, accounting and wealth management, and conducted training programmes for capital market professionals, private bankers and wealth managers in various financial centres in the Asia-Pacific region. He is a chartered accountant, a fellow of the Association of Chartered Certified Accountants and a Certified Financial Planner.

EVOLUTION OF BASEL CAPITAL ADEQUACY FRAMEWORKS: CONCEPTS AND PRINCIPLES

Since its foundation in 1974, the Basel Committee has laid the foundation for ensuring the capital adequacy required for managing risk in banks. This one-day programme is designed to provide participants with a good understanding of the Basel I, Basel II, and Basel III capital adequacy frameworks. It explores the concepts of capital adequacy under the frameworks and elaborate on the changes introduced by each framework and latest reforms under Basel IV.

PROGRAMME DETAILS

WHEN

28 May 2025
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700
**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001417912

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Explain the Basel Bank Capital Adequacy framework and its objectives
- Identify the different types of capital and how they are calculated
- Analyse the capital requirements under Basel I, Basel II, and Basel III
- Outline the significance of Basel Bank Capital Adequacy for the financial system

TRAINING METHODOLOGY

Lectures including presentations, case studies and discussions

PARTICIPANT PROFILE

Financial/Banking service professionals who want to gain an overview of the Basel frameworks and their impact on the banking industry

PROGRAMME OUTLINE

Overview

- Evolution of Basel – Basel I, II and III
- The Three Pillars
- Capital Requirements
- Financial Stability and Basel III
- Investors and Basel III

Capital Adequacy

- What is Capital Adequacy Ratio?
- Tier One Capital
 - » Common Equity Tier 1 (CET1)
 - » Additional Tier 1 (AT1)
- Tier Two Capital
 - » Gone concern capital
- Case study: Credit Suisse CoCo Bonds (AT1 bonds)

Computation of Regulatory Capital

- Credit risk
- Market risk
- Liquidity risk
- Operational risk
- Case study: The impact of the collapse of Barings Bank

Basel III 2017 Reform or Basel IV

- Finalisation of Basel III
- Basel III vs Basel IV

ABOUT THE TRAINER

WONG LOKE LIM

Loke Lim has almost thirty years of banking and finance-related experience. He is the principal of i-Biz Concept Sdn Bhd, a financial training and management resources provider. He is also a director of a Bursa Malaysia-listed company and sits on the Board of Governors of the Financial Planning Association of Malaysia, where he was the Deputy President from 2011-2013. Previously, he was the Chief Executive/Licensed Representative of a fund management company, a general manager at KAF Investment Bank Berhad and the Group Chief Internal Auditor of a KLSE (now Bursa Malaysia)-listed company. He speaks regularly on topics relating to capital markets, treasury, accounting and wealth management, and conducted training programmes for capital market professionals, private bankers and wealth managers in various financial centres in the Asia-Pacific region. He is a chartered accountant, a fellow of the Association of Chartered Certified Accountants and a Certified Financial Planner.

ABOUT ABS

The **ASIAN BANKING SCHOOL (ABS)** is dedicated to developing talent and is the largest specialised provider of quality banking training programmes in the ASEAN region.

As the industry's preferred partner in learning and development, ABS offers customised and open enrolment training programmes that cover a comprehensive list of banking areas developed by its Specialist Training Consultancy Team or in collaboration with strategic learning partners that includes some of the top business schools in the world. This includes its Executive Education programmes with Bayes Business School (formerly Cass) in London, the University of Cambridge Judge Business School, Frankfurt School of Finance and Management, INSEAD, the University of Edinburgh Business School, Bologna Business School, Bangor Business School, ESSEC Business School, Tsinghua University and the Saïd Business School, University of Oxford.

ABS also works closely with the Asian Institute of Chartered Bankers in raising competency standards for the industry through the delivery of training workshops related to professional qualifications developed and awarded by the professional body; and is the exclusive training partner in ASEAN for the Chartered Banker Institute in the UK. It plays a significant role in enriching the talent pipeline for the financial services sector through the industry recognised Financial Sector Talent Enrichment Programme (FSTEP) and Graduate Training programmes; and is also responsible for designing, developing, and delivering the industry-wide Ethics and AML/CFT programmes.

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